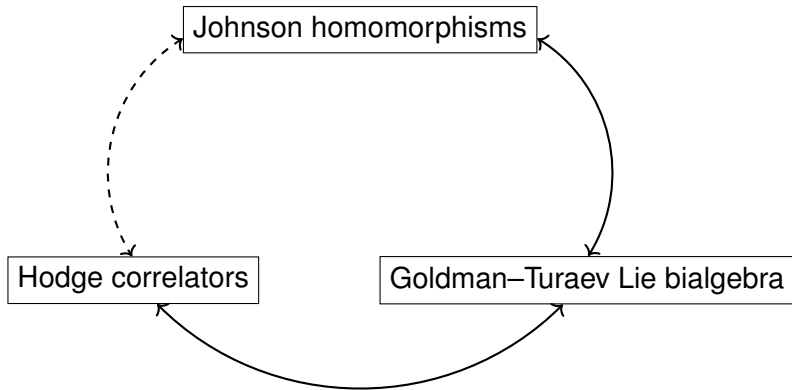


# Hodge Correlators, the Goldman–Turaev Lie Bialgebra and Johnson Homomorphisms

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# Overview

- ▶ A central problem is to determine the image of (geometric) Johnson homomorphisms.
- ▶ More generally, and perhaps more naturally, we want to bound the image *arithmetic* Johnson homomorphisms.
- ▶ Over  $\mathbb{R}$ , this is what is generated by the image of the geometric Johnson homomorphism and also the image of the Lie algebra of the real “Mumford–Tate group”.
- ▶ Goncharov’s Hodge correlators provide a method of computing the image of the real MT Lie algebra.
- ▶ The Goldman–Turaev Lie bialgebra plays a central (if somewhat hidden) role in both stories.
- ▶ If you do not understand any of this, don’t worry — all will be explained!

# Outline

I: The Goldman–Turaev Lie Bialgebra

II: Johnson Homomorphisms

III: Goncharov's Hodge Correlators

## Initial setting

- ▶ For a topological space  $X$ , define  $\lambda(X) = [S^1, X]$ .
- ▶ When  $X$  is path connected (as it will be from now on)

$$\lambda(X) = \text{conjugacy classes in } \pi_1(X, x).$$

- ▶ For a commutative ring  $\mathbb{k}$  (for us  $\mathbb{Z}$  or a field of char 0) set

$$\mathbb{k}\lambda(X) = \text{free } \mathbb{k}\text{-module generated by } \lambda(X).$$

- ▶ There is an inclusion  $\mathbb{k} \rightarrow \mathbb{k}\lambda(X)$  that takes 1 to the boundary of a disk and a projection  $\mathbb{k}\lambda(X) \rightarrow \mathbb{k}$  that takes each loop to 1. This gives a natural decomposition

$$\mathbb{k}\lambda(X) = \mathbb{k} \oplus I_{\mathbb{k}}\lambda(X)$$

- ▶ The *cyclic quotient* of an associative  $\mathbb{k}$ -algebra  $A$  is

$$\mathcal{C}(A) = A / \text{span}\{uv - vu : u, v \in A\}.$$

- ▶ For example the cyclic quotient of the free associative algebra  $\mathbb{k}\langle x : x \in \mathcal{X} \rangle$  is spanned by the “cyclic words” in the elements  $x$  of the alphabet  $\mathcal{X}$ :

$$x_1 x_2 \dots x_m \sim x_2 \dots x_m x_1.$$

- ▶ We have  $\mathbb{k}\lambda(X) = \mathcal{C}(\mathbb{k}\pi_1(X, x))$ .

# The Goldman–Turaev Lie bialgebra

The *Goldman bracket* is a map

$$\{ , \} : \mathbb{k}\lambda(X) \otimes \mathbb{k}\lambda(X) \rightarrow \mathbb{k}\lambda(X)$$

that makes  $\mathbb{k}\lambda(X)$  into a Lie algebra. The *Turaev cobracket* is a map

$$\delta_\xi : \mathbb{k}\lambda(X) \rightarrow \mathbb{k}\lambda(X) \otimes \mathbb{k}\lambda(X)$$

that depends on a framing  $\xi$  (a nowhere vanishing vector field) on  $X$ . Together they form a *Lie bialgebra*:

$$\delta_\xi\{u, v\} = u \cdot \delta_\xi(v) - v \cdot \delta_\xi(u)$$

where  $w \cdot (x \otimes y) = \{w, x\} \otimes y + x \otimes \{w, y\}$ .

The bracket and cobracket are defined using elementary surgery: Each element of  $\lambda(X)$  can be represented by an immersed circle with simple normal crossings. (So no triple points, etc). One can perform surgery at a double point:





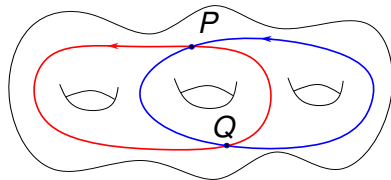
# Goldman bracket

To define the Goldman bracket of  $\alpha, \beta \in \lambda(X)$ , represent them by oriented, transversally intersecting, immersed circles. Their Goldman bracket is

$$\{\alpha, \beta\} = \sum_P \epsilon_P \alpha \#_P \beta$$

where  $P$  ranges over the points where  $\alpha$  intersects  $\beta$ ,  $\epsilon_P = \pm 1$  is the local intersection number at  $P$  and  $\alpha \#_P \beta$  is the loop obtained by simple surgery at  $P$ .

# An example

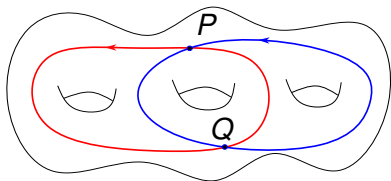


$$\epsilon_P = 1$$

$$\epsilon_Q = -1$$

$$\{\alpha, \beta\} = \epsilon_P \alpha \#_P \beta + \epsilon_Q \alpha \#_Q \beta$$

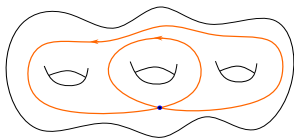
# An example



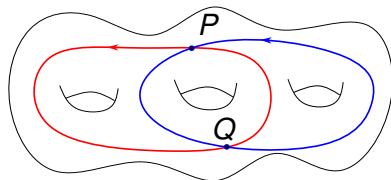
$$\epsilon_P = 1$$

$$\epsilon_Q = -1$$

$\alpha \#_P \beta$



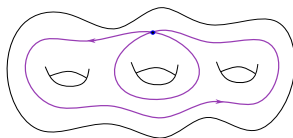
# An example



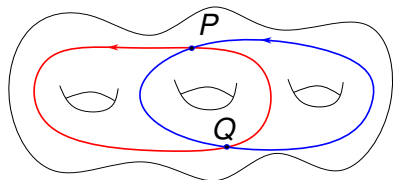
$$\epsilon_P = 1$$

$$\epsilon_Q = -1$$

$$\alpha \#_Q \beta$$



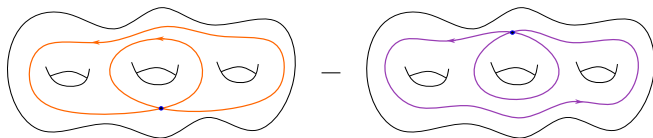
# An example



$$\epsilon_P = 1$$

$$\epsilon_Q = -1$$

$$\{\alpha, \beta\} = \epsilon_P \alpha \#_P \beta + \epsilon_Q \alpha \#_Q \beta = \alpha \#_P \beta - \alpha \#_Q \beta$$

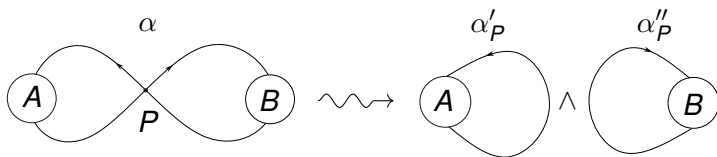


# The Turaev cobracket

For convenience, we denote the element  $v \otimes w - w \otimes v$  of  $V^{\otimes 2}$  by  $v \wedge w$ . Suppose that  $\alpha$  is an immersed circle with simple normal crossings. The first step in defining the cobracket is to define for each double point  $P$  of  $\alpha$

$$\delta_P(\alpha) = \alpha'_P \wedge \alpha''_P$$

where



To define  $\delta_\xi(\alpha)$  represent  $\alpha$  by an immersed loop with simple normal crossings **and trivial winding number** with respect to the framing:

$$\text{rot}_\xi \alpha = 0.$$

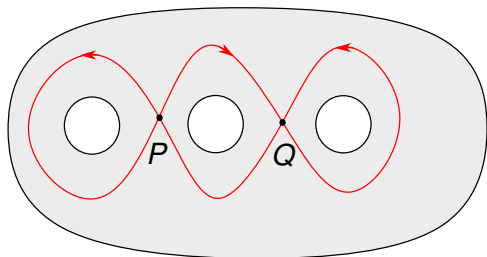
(Add some “backflips” as necessary.) The cobracket is defined by

$$\delta_\xi(\alpha) = \sum_{\text{double points } P} \epsilon_P \delta_P(\alpha)$$

where  $\epsilon_P = \pm 1$  is the local intersection number of the initial arcs of  $\alpha'_P$  and  $\alpha''_P$  (in that order).

# Sample cobracket

To compute the cobracket of



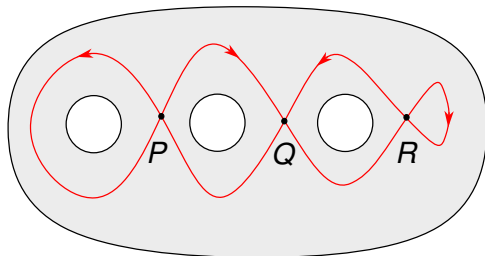
$$\xi = \partial/\partial x$$

$$\text{rot}_\xi \alpha = 1$$



# Sample cobracket

represent it by

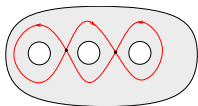


$$\xi = \partial/\partial x$$

$$\text{rot}_\xi \alpha = 0$$

## Sample cobracket

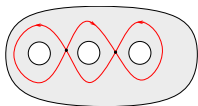
to see that  $\delta_\xi$  takes



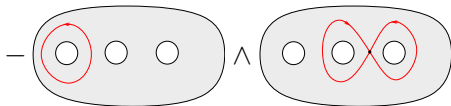
to

# Sample cobracket

to see that  $\delta_\xi$  takes

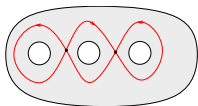


to

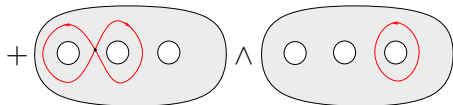
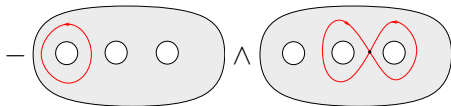


# Sample cobracket

to see that  $\delta_\xi$  takes

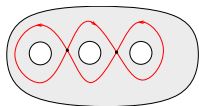


to

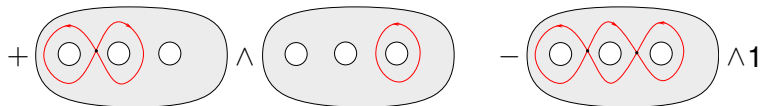
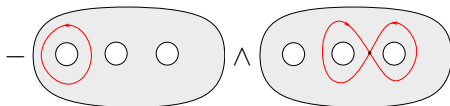


# Sample cobracket

to see that  $\delta_\xi$  takes



to



- ▶ The Goldman–Turaev Lie bialgebra is *involutive*. That is

$$\mathbb{k}\lambda(\mathbf{X}) \xrightarrow{\delta_\xi} \mathbb{k}\lambda(\mathbf{X}) \otimes \mathbb{k}\lambda(\mathbf{X}) \xrightarrow{\{, \}} \mathbb{k}\lambda(\mathbf{X})$$

is zero.

- ▶ The cobracket  $\delta_\xi$  induces a map

$$\bar{\delta} : \mathbb{k}\lambda(\mathbf{X})/\mathbb{k} \rightarrow (\mathbb{k}\lambda(\mathbf{X})/\mathbb{k})^{\otimes 2}$$

It does not depend on the framing  $\xi$ . This is called the *reduced cobracket*.

# The Kawazumi–Kuno action and Turaev coaction

- ▶ Let  $\vec{v}$  be a tangential base point — equivalently, a base point in the boundary of  $X$ .
- ▶ Kawazumi and Kuno extended the constructions of Goldman and Turaev to define an action

$$\kappa_{\vec{v}} : \mathbb{k}\lambda(X) \rightarrow \text{Der } \mathbb{k}\pi_1(X, \vec{v}).$$

Turaev defined a coaction

$$\mathbb{k}\pi_1(X; \vec{v}) \rightarrow \mathbb{k}\lambda(X) \otimes \mathbb{k}\pi_1(X; \vec{v}).$$

# Special derivations

A derivation  $D$  of  $\mathbb{k}\pi_1(X, \vec{v})$  is *special* if there are  $\mu_1, \dots, \mu_n \in \mathbb{k}\pi_1(X, \vec{v})$  (resp., its completion) such that  $D(\gamma_0) = 0$  and

$$D(\gamma_j) = [\gamma_j, \mu_j] := \gamma_j \mu_j - \mu_j \gamma_j \text{ when } j > 0.$$

Here  $\gamma_j$  is any path of the form



Loops act as special derivations, so

$$\kappa_{\vec{v}} : \mathbb{k}\lambda(X) \rightarrow \text{SDer } \mathbb{k}\pi_1(X, \vec{v}).$$



# Completions

- ▶ From now on,  $\mathbb{k}$  is a field of characteristic zero.
- ▶ Denote the augmentation ideal of  $\mathbb{k}\pi_1(X, \vec{v})$  by  $I$ .
- ▶ The  $I$ -adic completion of  $\mathbb{k}\pi_1(X, \vec{v})$  is

$$\mathbb{k}\pi_1(X, \vec{v})^\wedge := \varprojlim_m \mathbb{k}\pi_1(X, \vec{v})/I^m.$$

- ▶ Give  $\mathbb{k}\lambda(X)$  the quotient topology via  $\mathbb{k}\pi_1(X, \vec{v}) \rightarrow \mathbb{k}\lambda(X)$ . Its  $I$ -adic completion is

$$\mathbb{k}\lambda(X)^\wedge = \mathcal{C}(\mathbb{k}\pi_1(X, \vec{v})^\wedge).$$

# The completed GT Lie bialgebra

- ▶ Kawazumi and Kuno showed that the Goldman bracket and Turaev cobracket are continuous in the  $I$ -adic topology and thus induce maps

$$\{ , \} : \mathbb{k}\lambda(X)^\wedge \otimes \mathbb{k}\lambda(X)^\wedge \rightarrow \mathbb{k}\lambda(X)^\wedge$$

and

$$\delta_\xi : \mathbb{k}\lambda(X)^\wedge \rightarrow \mathbb{k}\lambda(X)^\wedge \widehat{\otimes} \mathbb{k}\lambda(X)^\wedge$$

This is the *completed GT Lie bialgebra*.

- ▶ They also showed that their action is continuous, so that there is a continuous Lie algebra homomorphism

$$\kappa_{\vec{v}} : \mathbb{k}\lambda(X)^\wedge \rightarrow \text{SDer } \mathbb{k}\pi_1(X, \vec{v})^\wedge$$

# Mixed Hodge structures for non-specialists

- ▶ Suppose that  $\mathbb{k}$  is a subfield of  $\mathbb{R}$ , such as  $\mathbb{Q}$ . A  $\mathbb{k}$ -MHS  $A$  is a finite dimensional  $\mathbb{k}$  vector space with additional structure. Part of that is an increasing *weight filtration*

$$0 = W_M A \subseteq \cdots \subseteq W_r A \subseteq W_{r+1} A \subseteq \cdots \subseteq W_N A = A.$$

This is often topologically defined, as it is in our setting.

- ▶ The category of  $\mathbb{k}$ -MHS is *tannakian*, which means that it is equivalent to the category of finite dimensional representations of an affine (aka, proalgebraic) group

$$G_{\mathbb{k}} = \pi_1(\text{MHS}_{\mathbb{k}})$$

defined over  $\mathbb{k}$ . So every  $\mathbb{k}$ -MHS  $A$  has an action by  $G_{\mathbb{k}}$  and all morphisms of MHS are  $G_{\mathbb{k}}$ -equivariant.

This group has a family of cocharacters  $\chi : \mathbb{G}_m \rightarrow G$ . If we fix one, then every  $\mathbb{k}$ -MHS decomposes

$$A = \bigoplus_{m \in \mathbb{Z}} A_m$$

where  $t \in \mathbb{G}_m(\mathbb{k}) = \mathbb{k}^\times$  acts on  $A_m$  by  $t^m$ . We have

$$W_r A = \bigoplus_{m \leq r} A_m.$$

This implies the exactness of the “weight graded” functor:

$$A \rightarrow \mathrm{Gr}_r^W A := W_r A / W_{r-1}.$$

This is a fundamental and very useful fact.

## Updated setup

- ▶ Suppose that  $X = \bar{X} - S$  where  $\bar{X}$  is a compact oriented surface,  $S = \{s_0, \dots, s_n\}$  with  $n \geq 0$  and  $\vec{v} \in T_{s_0}\bar{X}$ ,  $\vec{v} \neq 0$ .
- ▶ We have the exact sequence

$$0 \rightarrow H_2(\bar{X}) \rightarrow H_0(S) \rightarrow H_1(X) \rightarrow H_1(\bar{X}) \rightarrow 0.$$

- ▶ Image  $\mathbf{e}_j$  of  $s_j$  in  $H_1(X)$  is a small positive loop about  $s_j$ .
- ▶ We have the relation  $\mathbf{e}_0 + \dots + \mathbf{e}_n = 0$ .
- ▶ Set  $E_0 = \text{span}\{\mathbf{e}_1, \dots, \mathbf{e}_n\} \subseteq H_1(X)$ .
- ▶ Have the “weight” filtration

$$0 = W_{-3}H_1(X) \subseteq W_{-2}H_1(X) \subseteq W_{-1}H_1(X) = H_1(X)$$

where  $W_{-2}H_1(X) = E_0$ .

# Hodge theory

- ▶ Now suppose that  $\bar{X}$  is a compact Riemann surface.
- ▶ There is a canonical MHS on  $H_1(X)$  with the weight filtration above:

$$\mathrm{Gr}_{-1}^W H_1(X) = H_1(\bar{X}), \quad \mathrm{Gr}_{-2}^W H_1(X) = E_0.$$

- ▶ There is a canonical pro-mixed Hodge structure (MHS) on  $\mathbb{Q}\pi_1(X, \vec{v})^\wedge$ . It induces a canonical pro-MHS on  $\mathbb{Q}\lambda(X)^\wedge$ .
- ▶ The MHS on  $\mathbb{Q}\lambda(X)^\wedge$  does not depend on  $\vec{v}$ , only on  $X$ .
- ▶ Set  $V = \mathrm{Gr}_\bullet^W H_1(X) = H \oplus E_0$ , where  $H = H_1(\bar{X})$ .
- ▶ There are canonical isomorphisms

$$\mathrm{Gr}_\bullet^W \mathbb{Q}\pi_1(X, \vec{v})^\wedge \cong T(V)^\wedge \text{ and } \mathrm{Gr}_\bullet^W \mathbb{Q}\lambda(X)^\wedge \cong \mathcal{C}(T(V))^\wedge.$$

## Theorem (H: G&T 2020, JEMS 2021)

After tensoring  $\mathbb{Q}\lambda(X)^\wedge$  with  $\mathbb{Q}(-1)$ , the completed Goldman bracket

$$\{ , \} : \mathbb{k}\lambda(X)^\wedge \otimes \mathbb{k}\lambda(X)^\wedge \rightarrow \mathbb{k}\lambda(X)^\wedge,$$

the completed Turaev cobracket (when  $\xi$  is meromorphic on  $\bar{X}$  and nowhere vanishing holomorphic on  $X$ )

$$\delta_\xi : \mathbb{k}\lambda(X)^\wedge \rightarrow \mathbb{k}\lambda(X)^\wedge \widehat{\otimes} \mathbb{k}\lambda(X)^\wedge,$$

and the Kawazumi–Kuno action

$$\kappa_{\vec{v}} : \mathbb{k}\lambda(X)^\wedge \rightarrow \text{SDer } \mathbb{k}\pi_1(X, \vec{v})^\wedge$$

are all morphisms of pro-MHS.

The mixed Hodge structure  $\mathbb{Q}(-1)$  is the one dimensional Hodge structure of weight  $+2$ . Tensoring with it shifts the weight filtration by 2.

- ▶ Fix a symplectic basis  $\mathbf{p}_1, \dots, \mathbf{p}_g, \mathbf{q}_1, \dots, \mathbf{q}_g$  of  $H_1(\bar{X})$ .
- ▶ Then

$$T(V) = \mathbb{Q}\langle \mathbf{p}_1, \dots, \mathbf{p}_g, \mathbf{q}_1, \dots, \mathbf{q}_g, \mathbf{e}_1, \dots, \mathbf{e}_n \rangle,$$

where each  $\mathbf{p}_j, \mathbf{q}_j$  has weight  $-1$  and each  $\mathbf{e}_k$  weight  $-2$ .

- ▶ Define  $\mathbf{e}_0 \in T(V)$  so that

$$\mathbf{e}_0 + \mathbf{e}_1 + \dots + \mathbf{e}_n + \sum_{j=1}^g [\mathbf{p}_j, \mathbf{q}_j] = 0.$$

- ▶ A derivation  $D$  of  $T(V)$  is *special* if  $D(\mathbf{e}_0) = 0$  and there are  $\mathbf{u}_k \in T(V)$  such that  $D(\mathbf{e}_k) = [\mathbf{e}_k, \mathbf{u}_k]$  when  $k \neq 0$ .



# Splitting the weight filtration

Hodge theory gives natural isomorphisms (so compatible with the Goldman bracket and  $\kappa_{\vec{v}}$ )

$$\mathbb{Q}\pi_1(X, \vec{v})^\wedge \cong \prod_{m \leq 0} \mathrm{Gr}_m^W \mathbb{Q}\pi_1(X, \vec{v})^\wedge \cong T(V)^\wedge$$

$$\mathbb{Q}\lambda(X)^\wedge \cong \prod_{m \leq 0} \mathrm{Gr}_m^W \mathbb{Q}\lambda(X)^\wedge \cong \mathcal{C}(T(V)^\wedge)$$

$$\mathrm{SDer} \mathbb{Q}\pi_1(X, \vec{v})^\wedge \cong \mathrm{SDer} \mathrm{Gr}_\bullet^W \mathbb{Q}\pi_1(X, \vec{v})^\wedge \cong \mathrm{SDer} T(V)^\wedge.$$

So we need only find formulas for  $\{ \ , \ }$  and  $\kappa_{\vec{v}}$  on the associated weight gradeds.

## Formula for the KK-action

When  $A = \mathbb{k}\langle a_1, \dots, a_m \rangle$ , have operators  $\frac{\partial}{\partial a_j} : \mathcal{C}(A) \rightarrow A$  of degree  $-1$ . For example:

$$\frac{\partial}{\partial a} : \begin{array}{c} \bullet \\ \text{a} \\ \circ \\ \bullet \\ \text{b} \quad \bullet \quad \text{c} \\ \circ \\ \bullet \\ \text{a} \end{array} \mapsto bac + cab$$

For  $F \in \mathcal{C}(T(V))$ ,  $\Phi_0(F) \in \text{SDer } T(V)$  is defined by

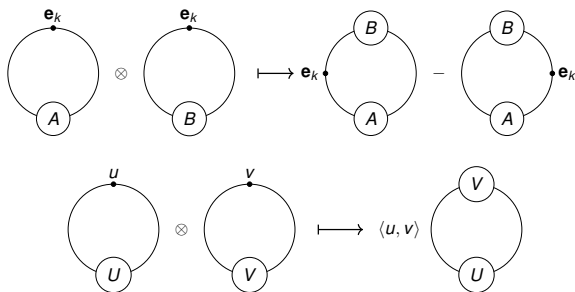
$$\Phi_0(F) : \begin{cases} \mathbf{p}_j \mapsto -\partial F / \partial \mathbf{q}_j, \\ \mathbf{q}_j \mapsto \partial F / \partial \mathbf{p}_j, \\ \mathbf{e}_k \mapsto [\mathbf{e}_k, \partial F / \partial \mathbf{e}_k] \quad k \neq 0. \end{cases}$$

Its kernel is spanned by  $\mathbf{e}_k^m$  where  $j \neq 0$  and  $m \geq 0$ .

# Formula for the bracket

For  $F, G \in \mathcal{C}(T(V))$

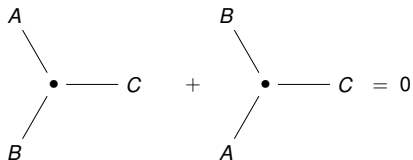
$$\{F, G\}_0 = \left| \sum_{k \neq 0} \left[ \frac{\partial F}{\partial \mathbf{e}_k}, \frac{\partial G}{\partial \mathbf{e}_k} \right] \mathbf{e}_k + \sum_{j=1}^g \left( \frac{\partial F}{\partial \mathbf{p}_j} \frac{\partial G}{\partial \mathbf{q}_j} - \frac{\partial G}{\partial \mathbf{p}_j} \frac{\partial F}{\partial \mathbf{q}_j} \right) \right|$$



Here  $k \neq 0$ ,  $u, v \in H$  and  $A, B, U, V \in T(V)$ .

# The Lie algebra $\mathcal{L}(V)$

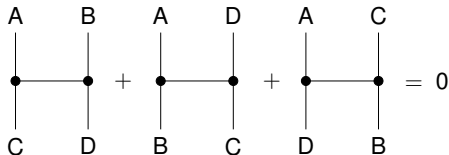
The Lie algebra  $\mathcal{L}(V)$  is defined to be the Lie algebra of  $V$ -decorated trivalent planar graphs modulo the AS-relation



The diagram illustrates the AS-relation. It consists of two trivalent vertices connected by a horizontal edge labeled  $C$ . The left vertex has two edges extending upwards and downwards, labeled  $A$  and  $B$  respectively. The right vertex has two edges extending upwards and downwards, labeled  $B$  and  $A$  respectively. The entire expression is set equal to zero.

$$\begin{array}{c} A \\ \diagdown \\ \bullet \\ \diagup \\ B \end{array} \text{---} C + \begin{array}{c} B \\ \diagdown \\ \bullet \\ \diagup \\ A \end{array} \text{---} C = 0$$

and the IHX-relation



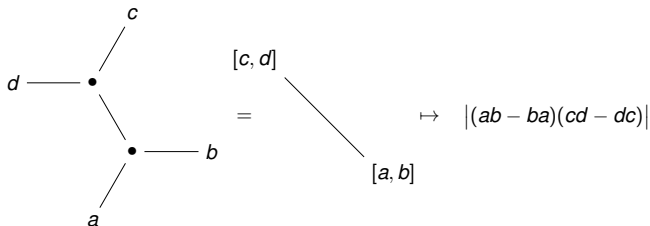
The diagram illustrates the IHX-relation. It consists of three trivalent vertices connected by a horizontal edge. The left vertex has two vertical edges extending upwards and downwards, labeled  $A$  and  $C$ . The middle vertex has two vertical edges extending upwards and downwards, labeled  $B$  and  $D$ . The right vertex has two vertical edges extending upwards and downwards, labeled  $A$  and  $B$ . The entire expression is set equal to zero.

$$\begin{array}{c} A \\ | \\ \bullet \\ | \\ C \end{array} \text{---} \begin{array}{c} B \\ | \\ \bullet \\ | \\ D \end{array} + \begin{array}{c} A \\ | \\ \bullet \\ | \\ B \end{array} \text{---} \begin{array}{c} D \\ | \\ \bullet \\ | \\ C \end{array} + \begin{array}{c} A \\ | \\ \bullet \\ | \\ D \end{array} \text{---} \begin{array}{c} C \\ | \\ \bullet \\ | \\ B \end{array} = 0$$

# The homomorphism $\mathcal{C}(\mathbb{L}(V)) \rightarrow \mathcal{C}(T(V))$

Expanding  $V$ -labelled planar trivalent trees defines an injective Lie algebra homomorphism

$$\mathcal{C}(\mathbb{L}(V)) \rightarrow \mathcal{C}(T(V))$$



The PBW Theorem gives a coalgebra isomorphism:

$$T(V) = U\mathbb{L}(V) = \bigoplus_{m \geq 0} \text{Sym}^m \mathbb{L}(V).$$

“Cutting” an edge of a decorated tree defines a well-defined map  $\mathcal{C}(\mathbb{L}(V)) \rightarrow |\text{Sym}^2 \mathbb{L}(V)|$ . It has an obvious inverse, so we have a Lie algebra isomorphism

$$\mathcal{C}(\mathbb{L}(V)) \cong |\text{Sym}^2 \mathbb{L}(V)|.$$

The restriction of  $\mathcal{C}(T(V)) \rightarrow \text{SDer } \mathbb{L}(V)$  to  $\mathcal{C}(\mathbb{L}(V))$  is surjective and has kernel

$$\text{span}\{\mathbf{e}_1^2, \dots, \mathbf{e}_n^2\}.$$

## Lifting $\mathcal{C}(\mathbb{L}(V))$ to $\mathbb{Q}\lambda(X)^\wedge$

- ▶ The set of primitive elements of  $\mathbb{Q}\pi_1(X, \vec{v})^\wedge$  is the Lie algebra  $\mathfrak{p}(X, \vec{v})$  of the unipotent (aka, Malcev) completion of  $\pi_1(X, \vec{v})$ . Its associated weight graded is canonically isomorphic to  $\mathbb{L}(V)$ .
- ▶ The (completed) enveloping algebra of  $\mathfrak{p}(X, \vec{v})$  is  $\mathbb{Q}\pi_1(X, \vec{v})^\wedge$ .
- ▶ PBW gives an isomorphism (even in pro-MHS $_{\mathbb{Q}}$ )

$$\mathbb{Q}\pi_1(X, \vec{v})^\wedge \cong \prod_{m \geq 0} \text{Sym}^m \mathfrak{p}(X, \vec{v}).$$

- ▶ The image of  $\text{Sym}^2 \mathfrak{p}(X, \vec{v})$  in  $\mathbb{Q}\lambda(X)^\wedge$  is a sub-MHS. Its associated weight graded is  $|\text{Sym}^2 \mathbb{L}(V)|$ .

- ▶ We have central extensions

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \text{span}\{\mathbf{e}_j^2 : j \neq 0\} & \longrightarrow & \mathcal{C}(\mathbb{L}(V)) & \longrightarrow & \text{SDer } \mathbb{L}(V) \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \longrightarrow & \text{span}\{\mathbf{e}_j^m : j \neq 0, m \geq 0\} & \longrightarrow & \mathcal{C}(T(V)) & \longrightarrow & \text{SDer } T(V) \longrightarrow 0
 \end{array}$$

- ▶ We conclude that there is a central extension

$$0 \rightarrow \text{span}\{(\log \sigma_j)^2 : j \neq 0\} \rightarrow |\text{Sym}^2 \mathfrak{p}(X, \vec{v})| \rightarrow \text{SDer } \mathfrak{p}(X, \vec{v}) \rightarrow 0$$

of pro-MHS, where  $\sigma_j$  is a small loop about  $s_j$ . It spans a copy of  $\mathbb{Q}(1)$ .



# Outline

I: The Goldman–Turaev Lie Bialgebra

**II: Johnson Homomorphisms**

III: Goncharov's Hodge Correlators

# Mapping class groups

- ▶ Denote the mapping class group of  $(\bar{X}; S, \vec{\nu})$  by  $\Gamma_{X, \vec{\nu}}$ . It is a mapping class group of type  $(g, n + \vec{1})$ .
- ▶ Assume that  $X$  is hyperbolic:  $2g - 2 + n + 1 > 0$ .
- ▶ Its Torelli subgroup  $T_{X, \vec{\nu}}$  is the kernel of the homomorphism  $\Gamma_{X, \vec{\nu}} \rightarrow \mathrm{Sp}(H_{\mathbb{k}})$ , where  $H = H_1(\bar{X}; \mathbb{k})$ .
- ▶ We have the extension

$$1 \rightarrow T_{X, \vec{\nu}} \rightarrow \Gamma_{X, \vec{\nu}} \rightarrow \mathrm{Sp}(H_{\mathbb{Z}}) \rightarrow 1.$$

and the natural representation  $\Gamma_{X, \vec{\nu}} \rightarrow \mathrm{Aut} \pi_1(X, \vec{\nu})$ .

# Relative completion of mapping class groups

The relative completion of  $\Gamma_{X,\vec{v}}$  consists of an affine (aka proalgebraic) group  $\mathcal{G}_{X,\vec{v}}$  defined over  $\mathbb{Q}$  and a homomorphism

$$\rho : \Gamma_{X,\vec{v}} \rightarrow \mathcal{G}_{X,\vec{v}}(\mathbb{Q}).$$

This group is an extension

$$1 \rightarrow \mathcal{U}_{X,\vec{v}} \rightarrow \mathcal{G}_{X,\vec{v}} \rightarrow \mathrm{Sp}(H_{\mathbb{Q}}) \rightarrow 1$$

where  $\mathcal{U}_{X,\vec{v}}$  is prounipotent. The composite

$$\Gamma_{X,\vec{v}} \rightarrow \mathcal{G}_{X,\vec{v}}(\mathbb{Q}) \rightarrow \mathrm{Sp}(H_{\mathbb{Q}})$$

is the canonical homomorphism. Such extensions form a category. The relative completion is the initial object of this category.

# The Johnson homomorphism

- ▶ Since unipotent completion is functorial, the action of  $\Gamma_{X, \vec{v}}$  on  $\pi_1(X, \vec{v})$  induces a homomorphism

$$\Gamma_{X, \vec{v}} \rightarrow \text{Aut } \mathfrak{p}(X, \vec{v})$$

- ▶ The universal mapping property of relative completion implies that it induces a homomorphism  $\mathcal{G}_{X, \vec{v}} \rightarrow \text{Aut } \mathfrak{p}(X, \vec{v})$  such that the diagram

$$\begin{array}{ccccc} T_{X, \vec{v}} & \hookrightarrow & \Gamma_{X, \vec{v}} & \hookrightarrow & \text{Aut } \pi_1(X, \vec{v}) \\ \downarrow & & \downarrow & & \downarrow \\ \mathcal{U}_{X, \vec{v}}(\mathbb{Q}) & \hookrightarrow & \mathcal{G}_{X, \vec{v}}(\mathbb{Q}) & \longrightarrow & \text{Aut } \mathfrak{p}(X, \vec{v}) \end{array}$$

commutes.

- ▶ The homomorphism  $\mathcal{G}_{X,\vec{v}} \rightarrow \text{Aut } \mathfrak{p}(X, \vec{v})$  induces a Lie algebra homomorphism

$$\mathfrak{g}_{X,\vec{v}} \rightarrow \text{SDer } \mathfrak{p}(X, \vec{v}) \quad (*)$$

- ▶ This is (for me) the (*geometric*) Johnson homomorphism.
- ▶ For each complex structure on  $(\bar{X}, S, \vec{v})$ , there is a canonical MHS on  $\mathfrak{g}_{X,\vec{v}}$  and  $(*)$  is a morphism of MHS.
- ▶ So  $(*)$  determines (and is determined by) the homomorphism of associated weight graded Lie algebras

$$\text{Gr}_{\bullet}^W \mathfrak{g}_{X,\vec{v}} \rightarrow \text{SDer } \text{Gr}_{\bullet}^W \mathfrak{p}(X, \vec{v}) \cong \text{SDer } \mathbb{L}(V).$$

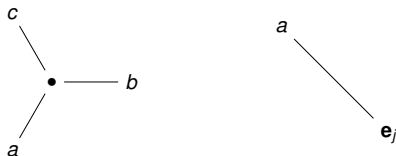
## Known results

- ▶ For all  $g \geq 0$ ,  $\mathfrak{g}_{X,\vec{v}}$  has weights  $\leq 0$  and  $\text{Gr}_0^W \mathfrak{g}_{X,\vec{v}} = \mathfrak{sp}(H)$ .
- ▶ The homomorphism  $T_{X,\vec{v}} \rightarrow \mathcal{U}_{X,\vec{v}}(\mathbb{Q})$  induces  $T_{X,\vec{v}}^{\text{un}} \rightarrow \mathcal{U}_{X,\vec{v}}$ .
- ▶ This induces a homomorphism  $\mathfrak{t}_{X,\vec{v}} \rightarrow \mathfrak{u}_{X,\vec{v}}$ .
- ▶ It is surjective when  $g \geq 2$ . When  $g \geq 3$  it has a 1-dimensional kernel (in weight  $-2$ ).
- ▶ When  $g \geq 3$ ,  $\mathfrak{u}_{X,\vec{v}}$  (and therefore the image of the Johnson homomorphism) is generated by

$$\text{Gr}_{-1}^W \mathfrak{u}_{X,\vec{v}} \cong H_1(T_{X,\vec{v}}) \cong \Lambda^3 H \oplus H^{\oplus n}$$

# Generators of the Johnson image

Denote the images of the geometric Johnson homomorphism by  $\bar{g}_{X, \vec{v}}$ . When  $g \geq 3$ ,  $\bar{g}_{X, \vec{v}}$  is generated in weight  $-1$  by



Here  $a, b, c \in H$  and  $j \geq 1$ . The first kind arise from bounding pair maps; the second kind from “point pushing”.

# Injectivity and surjectivity

- ▶ It is not known whether  $g_{X, \vec{v}} \rightarrow \text{SDer } p(X, \vec{v})$  is injective when  $g > 0$ .
- ▶ When  $n = 0$  and  $g \gg 3$ , results of Morita–Sakasai–Suzuki imply that it is injective in weights  $\geq -6$ .
- ▶ Kupers–Randal-Williams show that, *stably*, the kernel is central, thus contained in the  $\text{Sp}(H)$  trivial part of  $\text{Gr}_\bullet^W u_{X, \vec{v}}$ .
- ▶ It is known (Morita, Enomoto–Satoh, Conant) that the image of

$$\text{Gr}_\bullet^W u_{X, \vec{v}} \rightarrow \text{SDer } \text{Gr}_\bullet^W p(X, \vec{v}) \cong \text{SDer } \mathbb{L}(V)$$

is “small”. (ie, have a “large Johnson cokernel”)



# The arithmetic Johnson homomorphism

- ▶ There is also a homomorphism (for  $\mathbb{k} = \mathbb{Q}, \mathbb{R}$ ).

$$\mathfrak{mhs}_{\mathbb{k}} \rightarrow \text{Der } \mathfrak{p}(X, \vec{v})$$

where  $\mathfrak{mhs}_{\mathbb{k}}$  is the Lie algebra of  $G_{\mathbb{k}} = \pi_1(\text{MHS}_{\mathbb{k}})$ .

- ▶ Since  $\mathfrak{mhs}_{\mathbb{k}}$  acts on  $\mathfrak{g}_{X, \vec{v}}$ , we have

$$\mathfrak{mhs}_{\mathbb{k}} \ltimes \mathfrak{g}_{X, \vec{v}}$$

- ▶ Since  $\mathfrak{mhs}_{\mathbb{k}}$  acts on  $\mathfrak{p}(X, \vec{v})$ , the Johnson homomorphism extends to

$$\mathfrak{mhs}_{\mathbb{k}} \ltimes \mathfrak{g}_{X, \vec{v}} \rightarrow \text{Der } \mathfrak{p}(X, \vec{v})$$

- ▶ This is the *arithmetic* Johnson homomorphism

# Arithmetic versus geometric Johnson image

- ▶ Denote the image of the arithmetic Johnson homomorphism by  $\widehat{\mathfrak{g}}_{X,\vec{v}}$ .
- ▶ Denote their pronilpotent radicals of  $\overline{\mathfrak{g}}_{X,\vec{v}}$  and  $\widehat{\mathfrak{g}}_{X,\vec{v}}$  by  $\overline{\mathfrak{u}}_{X,\vec{v}}$  and  $\widehat{\mathfrak{u}}_{X,\vec{v}}$ , respectively.
- ▶ The proof of Oda's Conjecture by Takao (+ Ihara, Matsumoto, Nakamura, ...), Hodge theory and Brown's fundamental theorem (on mixed Tate motives) give:

## Theorem

*The Lie algebras  $\overline{\mathfrak{g}}_{X,\vec{v}}$  and  $\widehat{\mathfrak{g}}_{X,\vec{v}}$  have natural MHS and the inclusion is a morphism. For  $\mathbb{k} = \mathbb{Q}, \mathbb{R}$ , and all  $g, n \geq 0$  there is a SES*

$$0 \rightarrow \mathrm{Gr}_{\bullet}^W \overline{\mathfrak{u}}_{X,\vec{v}} \rightarrow \mathrm{Gr}_{\bullet}^W \widehat{\mathfrak{u}}_{X,\vec{v}} \rightarrow \mathbb{L}(\sigma_3, \sigma_5, \sigma_7, \dots) \rightarrow 0$$

## Constraining the Johnson image

It may be more natural to find constraints on the arithmetic Johnson image  $\widehat{\mathfrak{g}}_{X,\vec{v}}$  — equivalently, on  $\mathrm{Gr}_{\bullet}^W \widehat{\mathfrak{u}}_{X,\vec{v}}$ . Consider the diagram

$$\begin{array}{ccccccc}
 & & & & & \widehat{\mathfrak{u}}_{X,\vec{v}} & \\
 & & & & & \downarrow & \\
 0 & \longrightarrow & \mathrm{span}\{\mathbf{e}_j^2 : j \neq 0\} & \longrightarrow & |\mathrm{Sym}^2 \mathfrak{p}(X, \vec{v})| & \longrightarrow & \mathrm{SDer} \mathfrak{p}(X, \vec{v}) \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \longrightarrow & \mathrm{span}\{1, \mathbf{e}_j^m : j \neq 0\} & \longrightarrow & \mathbb{Q}\lambda(X)^\wedge & \longrightarrow & \mathrm{SDer} \mathbb{Q}\pi_1(X, \vec{v})^\wedge \longrightarrow 0
 \end{array}$$

with exact rows. The generalized Picard–Lefschetz formula of Kawazumi–Kuno gives a lift on  $\mathfrak{g}_{X,\vec{v}}$ . It extends to  $\widehat{\mathfrak{u}}_{X,\vec{v}}$  as the kernel has weight  $-2$  and the new generators  $\sigma_{2m+1}$  all have weights  $\leq -6$

## Constraints, ctd

Kawazumi and Kuno observed that the Turaev cobracket constrains the geometric Johnson image. It also constrains the *arithmetic* Johnson image. Suppose that  $\xi$  is an *algebraic* framing. Since the kernel of

$$|\mathrm{Sym}^2 \mathfrak{p}(X, \vec{v})|(-1) \rightarrow \mathrm{SDer} \mathfrak{p}(X, \vec{v})$$

has weight  $-2$ , the cobracket induces

$$D_\xi : W_{-3} \mathrm{SDer} \mathbb{Q}\pi_1(X, \vec{v})^\wedge \rightarrow (\mathrm{SDer} \mathbb{Q}\pi_1(X, \vec{v})^\wedge)^{\otimes 2}$$

### Theorem (special case)

If  $g \geq 3$  and  $m \geq 3$ , then  $W_{-m} [\hat{u}_{X, \vec{v}}, \hat{u}_{X, \vec{v}}] \subseteq \ker D_\xi$ .

## Morita's proposal

Here we suppose that  $X$  is a surface of type  $(g, \vec{1})$  with  $g \geq 3$ . Morita has defined derivations  $\mu_{2m+1} \in \text{Gr}_{-4m-2}^W \text{SDer } \mathfrak{p}(X, \vec{\nu})$  that he conjectures equal (up to a non-zero multiple) the images of the  $\sigma_{2n+1}$  mod the geometric derivations  $\bar{g}_{X, \vec{\nu}}$ .

### Proposition (Morita)

*For each  $m \geq 0$  there is a unique copy of  $\text{Sym}^{2m+1} H$  in  $\text{Gr}_{-2m-1}^W \text{SDer } \mathfrak{p}(X, \vec{\nu})$ . When  $m > 0$ , is not in the Johnson image.*

There is a unique copy of the trivial representation in  $\Lambda^2 \text{Sym}^{2m+1} H$ . The derivation  $\mu_{2m+1}$  is the image of a generator of this trivial representation under the bracket map:

$$\mathbb{k} \mu_{2m+1} = [\Lambda^2 \text{Sym}^{2m+1} H]^{\text{Sp}(H)} \longrightarrow \text{Gr}_{-4m-2}^W \text{SDer } \mathbb{L}(H).$$

How might one approach proving this?

# Outline

I: The Goldman–Turaev Lie Bialgebra

II: Johnson Homomorphisms

III: Goncharov's Hodge Correlators

- ▶ Oda's conjecture (a theorem) is true for both  $\mathbb{k} = \mathbb{Q}$  and  $\mathbb{k} = \mathbb{R}$ .
- ▶ This implies that one can define  $\widehat{g}_{X, \vec{v}}$  using either.
- ▶ The group  $G_{\mathbb{k}} := \pi_1(\text{MHS}_{\mathbb{k}})$  can be computed when  $\mathbb{k} = \mathbb{R}$  but appears intractable when  $\mathbb{k} = \mathbb{Q}$ .
- ▶ Goncharov's Hodge correlators give a method for computing  $\text{mhs}_{\mathbb{R}} \rightarrow \text{SDer } p(X, \vec{v})$ .
- ▶ I'll give a very brief introduction to Hodge correlators.

# Real MHS

- ▶ Deligne showed that  $\pi_1(\text{MHS}_{\mathbb{R}})$  is an extension

$$0 \rightarrow \mathcal{N} \rightarrow \pi_1(\text{MHS}_{\mathbb{R}}) \rightarrow R_{\mathbb{C}/\mathbb{R}}\mathbb{G}_m \rightarrow 1$$

where

- ▶  $R_{\mathbb{C}/\mathbb{R}}$  is Weil restriction:

$$R_{\mathbb{C}/\mathbb{R}}(\mathbb{R}) = \mathbb{C}^{\times} \text{ and } R_{\mathbb{C}/\mathbb{R}}(\mathbb{C}) = \mathbb{C}^{\times} \times \mathbb{C}^{\times},$$

- ▶  $\mathfrak{n} \otimes \mathbb{C} \cong \mathbb{L}(\mathbf{z}^{p,q} : p, q < 0)^{\wedge}$  and  $w \in \mathbb{C}^{\times} = R_{\mathbb{C}/\mathbb{R}}(\mathbb{R})$  acts on it via

$$w : \mathbf{z}^{p,q} \mapsto w^p \overline{w}^q \mathbf{z}^{p,q}.$$

- ▶ It was later observed (by Goncharov) that there is a canonical (or at least very natural) choice of the  $\mathbf{z}^{p,q}$  that also satisfy  $\overline{\mathbf{z}^{p,q}} = -\mathbf{z}^{q,p}$ . ( $\mathbf{z}^{p,q} + \mathbf{z}^{q,p}$  is purely imaginary.)
- ▶ The image of  $\mathbf{z}^{-2n-1, -2n-1}$  under  $\mathfrak{n} \rightarrow \text{SDer } \mathfrak{p}(X, \vec{v})$  is congruent to  $\sigma_{2n+1}$  mod geometric derivations  $\overline{\mathfrak{g}}_{X, \vec{v}}$ .



- ▶ For every (framed) real MHS  $V$ , there is a unique, purely imaginary derivation

$$D_V \in i W_{-2} \text{End Gr}_{\bullet}^W V_{\mathbb{R}}$$

with  $D_V^{p,q} = 0$  unless both  $p, q < 0$  such that  $V$  is isomorphic to the MHS

$$\exp D_V : \text{Gr}_{\bullet}^W V_{\mathbb{R}} \rightarrow \text{Gr}_{\bullet}^W V_{\mathbb{C}}.$$

Here the isomorphism of  $V$  with this MHS is required to be the identity on  $\text{Gr}_{\bullet}^W$ .

- ▶ The action  $\mathfrak{n} \rightarrow \text{End } V_{\mathbb{C}}$  takes  $\mathbf{z}^{p,q}$  to  $D_V^{p,q}$ .
- ▶ This is “easy” to prove, once one knows the statement.

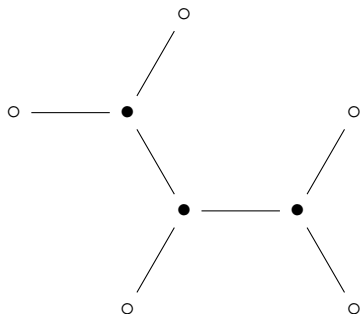
# Hodge correlators

When  $V = \mathfrak{p}(X, \vec{v})$ , the derivation  $D = D_{\mathfrak{p}(X, \vec{v})}$  and its  $(p, q)$  components can be computed using Hodge correlators. The setup:

- ▶  $\mathcal{C}(T(H_1(X)))^\vee$  consists of all cyclic words in elements of  $\Omega^1(\bar{X}) \oplus \overline{\Omega^1(X)}$ , the complex harmonic 1-forms.
- ▶ Observe: the external vertices of a trivalent, planar tree  $T$  are cyclically ordered.
- ▶ Begin by decorating the leaves of planar trivalent graphs with elements of  $\mathcal{C}(T(H_1(X)))^\vee$ .

## Decorated planar trivalent trees

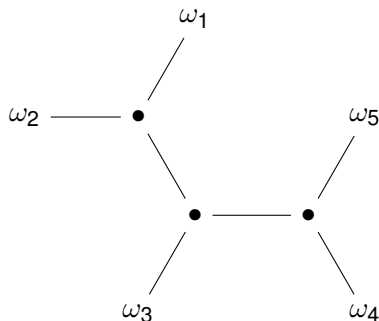
A trivalent planar tree  $T$  with  $m$  internal vertices can be labelled by a cyclic word  $\mathbf{w}$  of length  $\ell(\mathbf{w}) = m + 2$ . Example,  $m = 3$ :



$$\mathbf{w} = |\omega_1\omega_2\omega_3\omega_4\omega_5|$$

## Decorated planar trivalent trees

A trivalent planar tree  $T$  with  $m$  internal vertices can be labelled by a cyclic word  $\mathbf{w}$  of length  $\ell(\mathbf{w}) = m + 2$ . Example,  $m = 3$ :



$$\mathbf{w} = |\omega_1\omega_2\omega_3\omega_4\omega_5|$$

## Sketch of construction

- ▶ Suppose that  $\mathbf{w}$  is a cyclic word in  $\mathcal{C}(T(H_1(X)))^\vee$  of length  $\ell(\mathbf{w}) = m + 2$ .
- ▶ For each  $\mathbf{w}$ -decorated (planar trivalent) graph  $T$ , one constructs a  $2m$ -current  $\Omega_T(\mathbf{w})$  on  $X^m$  from the 1-forms that occur in  $\mathbf{w}$  and derivatives of a Green's operator associated to each interior edge of  $T$ .
- ▶ The *correlator* associated to  $\mathbf{w}$  is defined to be

$$\text{Cor}(\mathbf{w}) := \sum_{T \vdash \mathbf{w}} \int_{X^m} \Omega_T(\mathbf{w}) \in \mathbb{C}$$




where the sum ranges over all trivalent planar trees  $T$  decorated by  $\mathbf{w}$ .

- ▶ The Hodge correlator  $\text{Cor}_{X, \vec{v}}$  of  $(X, \vec{v})$  is:

$$\sum_{\mathbf{w}} \text{Cor}(\mathbf{w}) \in \text{Hom}_{\mathbb{C}}(\mathcal{C}(T(H_1(X)))^{\vee}, \mathbb{C}) \cong \mathcal{C}(T(H_1(X))).$$

- ▶  $\text{Cor}_{X, \vec{v}}$  determines a derivation  $D \in \text{SDer } T(H_1(X))$ , which, one can show, lies in  $\text{SDer } \mathbb{L}(H_1(X))$ , is pure imaginary and has no components of type  $(p, q)$  where  $p$  or  $q$  is  $\geq 0$ .
- ▶ This is the derivation  $D_{\mathfrak{p}(X, \vec{v})}$  that determines the real MHS on  $\mathfrak{p}(X, \vec{v})$ . (I have not yet checked this.)
- ▶ Hope is that one can show that  $D^{-2m-1, -2m-1}$  is a multiple of Morita's class  $\mu_{2m+1} \bmod \bar{g}_{\bullet}$  for one curve  $(X, \vec{v})$ . (That will suffice.)

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