- > The second midterm is on Friday, October 20.
 - That is one week from this Friday.
 - ▶ The exam covers §§1.7, 1.8, 1.9, 2.1, 2.2, 2.3, 2.8, and 2.9.
- Comments on mid-semester reviews on Piazza.
- ▶ WeBWorK 2.1, 2.2, 2.3 are due today at 11:59pm.
- ► The quiz on Friday covers §§2.1, 2.2, 2.3.
- ▶ My office is Skiles 244. Rabinoffice hours are today, 10–11, 12–1, and 2–3.

Section 2.8

Subspaces of \mathbf{R}^n

Motivation

Today we will discuss **subspaces** of \mathbf{R}^n .

A subspace turns out to be the same as a span, except we don't know *which* vectors it's the span of.

This arises naturally when you have, say, a plane through the origin in \mathbb{R}^3 which is *not* defined (a priori) as a span, but you still want to say something about it.



Definition of Subspace

Definition

A subspace of \mathbf{R}^n is a subset V of \mathbf{R}^n satisfying:

- 1. The zero vector is in V.
- 2. If u and v are in V, then u + v is also in V.
- 3. If u is in V and c is in \mathbf{R} , then cu is in V.

"not empty" "closed under addition"

"closed under \times scalars"

Fast-forward Every span is a span, and every span is a subspace.

A subspace is a span of some vectors, but you haven't computed what those vectors are yet.

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What does this mean?

If v is in V, then all scalar multiples of v are in V by (3). That is, the line through v is in V.

"not empty"

"closed under addition"

"closed under \times scalars"

- If u, v are in V, then xu and yv are in V for scalars x, y by (3). So xu + yv is in V by (2). So Span{u, v} is contained in V.
- ▶ Likewise, if v₁, v₂,..., v_n are all in V, then Span{v₁, v₂,..., v_n} is contained in V: a subspace contains the span of any set of vectors in it.

If you pick enough vectors in V, eventually their span will fill up V, so:

A subspace is a span of some set of vectors in it.

Examples

Example

A line L through the origin: this contains the span of any vector in L.

Example

A plane P through the origin: this contains the span of any vectors in P.



Example

All of \mathbf{R}^n : this contains 0, and is closed under addition and scalar multiplication.

Example

The subset $\{0\}$: this subspace contains only one vector.

Note these are all pictures of spans! (Line, plane, space, etc.)

A subset of \mathbf{R}^n is any collection of vectors whatsoever.

All of the following non-examples are still subsets.

A **subspace** is a special kind of subset, which satisfies the three defining properties.



Non-Examples

Non-Example

A line L (or any other set) that doesn't contain the origin is not a subspace. Fails: 1.

Non-Example

A circle C is not a subspace. Fails: 1,2,3. Think: a circle isn't a "linear space."

Non-Example

The first quadrant in \mathbf{R}^2 is not a subspace. Fails: 3 only.

Non-Example

A line union a plane in \mathbf{R}^3 is not a subspace. Fails: 2 only.



Spans are Subspaces

Theorem

Any Span $\{v_1, v_2, \ldots, v_n\}$ is a subspace.

Every subspace is a span, and every span is a subspace.

Definition

If $V = \text{Span}\{v_1, v_2, \dots, v_n\}$, we say that V is the subspace **generated by** or **spanned by** the vectors v_1, v_2, \dots, v_n .

Question: What is the difference between $\{\}$ and $\{0\}$?



Let
$$V = \left\{ \begin{pmatrix} a \\ b \end{pmatrix}$$
 in $\mathbf{R}^2 \mid ab = 0 \right\}$. Let's check if V is a subspace or not.



We conclude that V is *not* a subspace. A picture is above. (It doesn't look like a span.)

An $m \times n$ matrix A naturally gives rise to *two* subspaces.

Definition

- ► The column space of A is the subspace of R^m spanned by the columns of A. It is written Col A.
- The **null space** of A is the set of all solutions of the homogeneous equation Ax = 0:

$$\operatorname{Nul} A = \{x \text{ in } \mathbf{R}^n \mid Ax = 0\}.$$

This is a subspace of \mathbf{R}^n .

The column space is defined as a span, so we know it is a subspace. It is the range (as opposed to the codomain) of the transformation T(x) = Ax.

Check that the null space is a subspace:

Column Space and Null Space Example

Let
$$A = \begin{pmatrix} 1 & 1 \\ 1 & 1 \\ 1 & 1 \end{pmatrix}$$
.

Let's compute the column space:

Let's compute the null space:





The Null Space is a Span

The column space of a matrix A is defined to be a span (of the columns).

The null space is defined to be the solution set to Ax = 0. It is a subspace, so it is a span.

Question

How to find vectors which span the null space?

Answer: Parametric vector form! We know that the solution set to Ax = 0 has a parametric form that looks like

$$x_3 \begin{pmatrix} 1\\2\\1\\0 \end{pmatrix} + x_4 \begin{pmatrix} -2\\3\\0\\1 \end{pmatrix} \quad \text{if, say, } x_3 \text{ and } x_4 \\ \text{are the free} \quad \text{Nul } A = \text{Span} \left\{ \begin{pmatrix} 1\\2\\1\\0 \end{pmatrix}, \begin{pmatrix} -2\\3\\0\\1 \end{pmatrix} \right\}.$$

Refer back to the slides for $\S1.5$ (Solution Sets).

Note: It is much easier to define the null space first as a subspace, then find spanning vectors *later*, if we need them. This is one reason subspaces are so useful.

How do you check if a subset is a subspace?

- Is it a span? Can it be written as a span?
- Can it be written as the column space of a matrix?
- Can it be written as the null space of a matrix?
- ▶ Is it all of **R**ⁿ or the zero subspace {0}?
- Can it be written as a type of subspace that we'll learn about later (eigenspaces, ...)?

If so, then it's automatically a subspace.

If all else fails:

Can you verify directly that it satisfies the three defining properties?

What is the *smallest number* of vectors that are needed to span a subspace?

Definition

Let V be a subspace of \mathbb{R}^n . A **basis** of V is a set of vectors $\{v_1, v_2, \ldots, v_m\}$ in V such that:

- 1. $V = \text{Span}\{v_1, v_2, \dots, v_m\}$, and 2. $\{v_1, v_2, \dots, v_m\}$ is linearly independent.

The number of vectors in a basis is the **dimension** of V, and is written dim V.

Why is a basis the smallest number of vectors needed to span?

Recall: linearly independent means that every time you add another vector, the span gets bigger.

Hence, if we remove any vector, the span gets *smaller*: so any smaller set can't span V.



A subspace has *many different* bases, but they all have the same number of vectors (see the exercises in $\S2.9$).

Bases of \mathbf{R}^2

Question

What is a basis for \mathbf{R}^2 ?

We need two vectors that span \mathbf{R}^2 and are *linearly independent*. $\{e_1, e_2\}$ is one basis.

- 1. They span: $\binom{a}{b} = ae_1 + be_2$.
- 2. They are linearly independent because they are not collinear.

Question

What is another basis for \mathbf{R}^2 ?

Any two nonzero vectors that are not collinear. $\left\{\binom{1}{0},\binom{1}{1}\right\}$ is also a basis.

- 1. They span: $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ has a pivot in every row.
- 2. They are linearly independent: $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ has a pivot in every column.





Bases of **R**ⁿ

The unit coordinate vectors

$$e_{1} = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \\ 0 \end{pmatrix}, \quad e_{2} = \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \\ 0 \end{pmatrix}, \quad \dots, \quad e_{n-1} = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 1 \\ 0 \end{pmatrix}, \quad e_{n} = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 1 \\ 0 \\ 1 \end{pmatrix}$$

are a basis for \mathbb{R}^n . The identity matrix has columns e_1, e_2, \ldots, e_n . 1. They span: I_n has a pivot in every row.

2. They are linearly independent: I_n has a pivot in every column.

In general: $\{v_1, v_2, \ldots, v_n\}$ is a basis for \mathbf{R}^n if and only if the matrix

$$A = \begin{pmatrix} | & | & | & | \\ v_1 & v_2 & \cdots & v_n \\ | & | & | & | \end{pmatrix}$$

has a pivot in every row and every column, i.e. if A is invertible.

Sanity check: we have shown that dim $\mathbf{R}^n = n$.

Basis of a Subspace Example

Example

$$V = \left\{ \begin{pmatrix} x \\ y \\ z \end{pmatrix} \text{ in } \mathbf{R}^3 \mid x + 3y + z = 0 \right\} \qquad \mathcal{B} = \left\{ \begin{pmatrix} -3 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ -3 \end{pmatrix} \right\}.$$

Verify that \mathcal{B} is a basis for V. (So dim V = 2: it is a *plane*.) [int

[interactive]

Basis for Nul A

- Fact

The vectors in the parametric vector form of the general solution to Ax = 0 always form a basis for Nul A.

Example

$$A = \begin{pmatrix} 1 & 2 & 0 & -1 \\ -2 & -3 & 4 & 5 \\ 2 & 4 & 0 & -2 \end{pmatrix} \xrightarrow{\text{rref}} \begin{pmatrix} 1 & 0 & -8 & -7 \\ 0 & 1 & 4 & 3 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\xrightarrow{\text{parametric}}_{\substack{\text{vector} \\ \text{form}}} x = x_3 \begin{pmatrix} 8 \\ -4 \\ 1 \\ 0 \end{pmatrix} + x_4 \begin{pmatrix} 7 \\ -3 \\ 0 \\ 1 \end{pmatrix} \xrightarrow{\text{basis of}}_{\substack{\text{Nul } A \\ \text{vector}}} \left\{ \begin{pmatrix} 8 \\ -4 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 7 \\ -3 \\ 0 \\ 1 \end{pmatrix} \right\}$$

- 1. The vectors span Nul A by construction (every solution to Ax = 0 has this form).
- 2. Can you see why they are linearly independent? (Look at the last two rows.)

Basis for Col A

The *pivot columns* of A always form a basis for Col A.

Warning: I mean the pivot columns of the *original* matrix *A*, not the row-reduced form. (Row reduction changes the column space.)

Example

$$A = \begin{pmatrix} 1 \\ -2 \\ 2 \\ 4 \\ 0 \\ -2 \end{pmatrix} \begin{pmatrix} 2 \\ -3 \\ 4 \\ 0 \\ -2 \end{pmatrix} \xrightarrow{\text{rref}} \begin{pmatrix} 1 & 0 & -8 & -7 \\ 0 & 1 & 4 & 3 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

pivot columns = basis { pivot columns in rref

So a basis for Col A is

Fact

$$\left(\begin{pmatrix}1\\-2\\2\end{pmatrix},\begin{pmatrix}2\\-3\\4\end{pmatrix}\right).$$

Why? See slides on linear independence.

Summary

- ► A subspace is the same as a span of some number of vectors, but we haven't computed the vectors yet.
- To any matrix is associated two subspaces, the column space and the null space:

 $\operatorname{Col} A = \operatorname{the span}$ of the columns of A Nul $A = \operatorname{the solution}$ set of Ax = 0.

- ► A **basis** of a subspace is a minimal set of spanning vectors; the **dimension** of *V* is the number of vectors in any basis.
- ► The pivot columns form a basis for Col *A*, and the parametric vector form produces a basis for Nul *A*.

Warning These are not the official definitions!