

Riemannian Metrics

Def A Riemannian metric is a  $(0,2)$ -tensor  $g$ , i.e. a smoothly varying  
 $g: T_x M \otimes T_x M \rightarrow \mathbb{R}$   
 $v \otimes w \mapsto g(v,w)$  or  $\langle v,w \rangle$

that is, • SYMMETRIC:  $g(v,w) = g(w,v)$

• POSITIVE DEFINITE:  $g(v,v) \geq 0$ , equality  $\Leftrightarrow v=0$ .

In coordinates, write  $g_{ij} = g(\frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j})$ .

Def A Riemannian manifold is  $(M, g)$ ,  $M = \text{smooth mfd}$ ,  
 $g = \text{Riem. metric}$ .

When are two such mfd's "the same"?

Def An isometry between  $(M, g)$  and  $(N, h)$  is a diffeomorphism  
 $\varphi: M \rightarrow N$  such that  $g = \varphi^* h$ , i.e. :  
 $h(\varphi_* v, \varphi_* w) = g(v, w) \quad \forall v, w \in T_p M$

$\varphi: M \rightarrow N$  is a local isometry at  $p \in M$  if  $\exists$  nbhd  $U$  of  $p$  with  
 $\varphi|_U: U \rightarrow \varphi(U)$  isometry.

Ex 1.  $\mathbb{R}^n$ ,  $g$  = standard inner product:  $g_{ij} = \delta_{ij}$  Kronecker delta.  
Euclidean space.

Ex 2. Lie groups.

Def A Riem metric  $\langle, \rangle$  on  $G$  is left invariant if  $L_h$  is an isometry  $\forall h \in G$ .

$$\forall h \in G, \forall v, w \in T_g G, \quad \langle v, w \rangle_{\uparrow \text{at } g} = \langle (L_h)_* v, (L_h)_* w \rangle_{\uparrow \text{at } hg}$$

Similarly: right invariant. If both, then biinvariant.

Easy to construct left invt metric: given an inner product  $\langle, \rangle$  on  $\mathfrak{g} = T_e G$ , define  $\langle v, w \rangle_{\uparrow \text{at } g} = \langle (L_g)_* v, (L_{g^{-1}})_* w \rangle_{\uparrow \text{at } e}$ .

Fact: any compact Lie group has a biinvariant metric (see do Carmo p. 46 #7). (not true in general!)

Prop Let  $\langle, \rangle$  be the left invt metric on  $G$  induced by  $\langle, \rangle_e$  on  $\mathfrak{g}$ . Then  $\langle, \rangle$  is biinvariant  $\Leftrightarrow$

$$0 = \langle [X, Y], Z \rangle_e + \langle Y, [X, Z] \rangle_e \quad \forall X, Y, Z \in \mathfrak{g}.$$

Pf  $\Rightarrow$ . Let  $X, Y, Z \in \mathfrak{g}$ . For  $t \in \mathbb{R}$ , recall  $\exp(tX) = \varphi_t(e)$ ;   
 and  $[X, Y] = \frac{d}{dt} \Big|_{t=0} \text{Ad}(\exp(tX)) Y$  where  $\text{Ad}(h) = (R_{h^{-1}})_*$ . ← time  $t$  flow of  $X$

$$\begin{aligned} \text{Then } \langle Y, Z \rangle &= \langle (R_{h^{-1}})_* Y, (R_{h^{-1}})_* Z \rangle & h &= \exp(tX) \\ &= \langle \text{Ad}(\exp(tX)) Y, \text{Ad}(\exp(tX)) Z \rangle \end{aligned}$$

$$\text{and } \frac{d}{dt} : \quad 0 = \langle [X, Y], Z \rangle + \langle Y, [X, Z] \rangle.$$

$\Leftarrow$ : HW.

Ex 3. Given an immersion  $f: M \rightarrow N$  (often use  $N = \mathbb{R}^{n+k}$ ),  
 a Riem. metric  $\langle \cdot, \cdot \rangle_N$  on  $N$  induces one  $\langle \cdot, \cdot \rangle_M$  on  $M$ :

$$\langle v, w \rangle_M = \langle f_* v, f_* w \rangle_N.$$

Note immersion  $\Rightarrow df = f_* : T_p M \rightarrow T_{f(p)} N$  is injective.  
 Thus  $\langle \cdot, \cdot \rangle_M$  is pos def since if  $\langle v, v \rangle_M = 0$  then  $f_* v = 0 \Rightarrow v = 0$ .

So e.g. embedded submfs  $M \hookrightarrow \mathbb{R}^{n+k}$  have metric induced from  
 Euclidean metric on  $\mathbb{R}^{n+k}$ .

ex:  $S^n \subset \mathbb{R}^{n+1}$  unit sphere inherits the "round metric".

$$\{x_1^2 + \dots + x_{n+1}^2 = 1\}$$

(for  $S^1$ , this agrees with flat metric  
 on  $\mathbb{R}/\mathbb{Z}$ )

More general way to get submfs:

$h: N^{n+k} \rightarrow P^k$  smooth.

- $p \in N$  is a critical point if  $dh_p$  not surjective
- $q \in P$  is a critical value if  $q = h(p)$ , some critical  $p$
- $q \in P$  is a regular value if not critical value.

Prop  $q = \text{regular value} \Rightarrow M = h^{-1}(q) \subset N$  is a smooth  $n$ -manifold.

So a metric on  $N$  induces one on  $M \hookrightarrow N$ .

PF  $p \in M \Rightarrow dh_p: T_p N \rightarrow T_{h(p)} P$  is surjective: can choose coords  $x_1, \dots, x_n, y_1, \dots, y_k$   
 near  $p$  st.  $h = (h_1, \dots, h_k)$ ,  $\begin{pmatrix} \partial(h_1, \dots, h_k) \\ \partial(x_1, \dots, x_n) \end{pmatrix}$  is nonsingular.

Implicit Function Thm  $\Rightarrow \exists g: \mathbb{R}^n \rightarrow \mathbb{R}^k$  with  $h(x, g(x)) = q$ ,

and this gives a chart

$$\begin{aligned} \mathbb{R}^n &\rightarrow M \\ x &\mapsto (x, g(x)) \end{aligned} \quad \square$$

$$\{ \underbrace{\begin{bmatrix} \partial h \\ \partial x \end{bmatrix}}_{n+k} \}$$

## Ex 4 Product manifolds.

$(M_1, g_1), (M_2, g_2)$  Riem mfd  $\rightarrow M_1 \times M_2$ .

Recall  $T_{(p_1, p_2)}(M_1 \times M_2) \cong T_{p_1}M_1 \oplus T_{p_2}M_2$ .

If  $(v_1, v_2), (w_1, w_2) \in T_{(p_1, p_2)}(M_1 \times M_2)$  then define

$$g((v_1, v_2), (w_1, w_2)) = g_1(v_1, w_1) + g_2(v_2, w_2).$$

easy to check: this is a metric.

So eg.  $T^n = \underbrace{S^1 \times \dots \times S^1}_{n \text{ times}}$  has a metric induced from  $S^1$   
(the book calls it the "flat metric").

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Prop Any smooth mfd has a Riem metric.

Def  $\{V_\alpha \subset M\}$  is locally finite if  $\forall p \in M, p \in V_\alpha$  for only finitely many  $\alpha$ .

Def  $f \in C^0(M)$ . The support of  $f$  is  $\text{supp } f = \{p \in M \mid f(p) \neq 0\}$ .

Def  $\{V_\alpha\}$  locally finite open cover of  $M$ . A partition of unity subordinate to  $\{V_\alpha\}$  is a collection  $f_\alpha \in C^\infty(M)$  st.

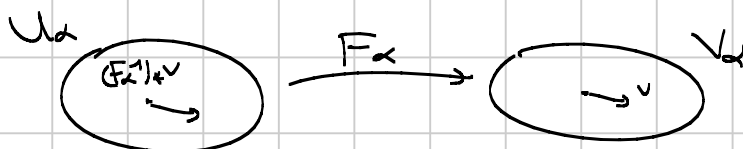
1.  $f_\alpha(p) \geq 0 \forall p$
2.  $\text{supp } f_\alpha \subset V_\alpha$
3.  $\sum_\alpha f_\alpha(p) = 1 \forall p$  (note finite sum for each  $p$ ).

Thm  $M$  smooth mfd,  $\{V_\alpha\}$  open cover. Then  $\exists$  locally finite open cover  $\{V'_\beta\}$  subordinate to  $\{V_\alpha\}$ , i.e.  $\forall \beta V'_\beta \subset V_\alpha$  for some  $\alpha$ , and a partition of unity subordinate to  $\{V'_\beta\}$ .



PF of Prop Let  $\{(F_\alpha, U_\alpha, V_\alpha)\}$  be an atlas for  $M$ . By thm, assume  $\{V_\alpha\}$  locally finite and  $f_\alpha =$  partition of unity subordinate to  $\{V_\alpha\}$ .

Each  $\alpha$  determines a metric  $\langle, \rangle_\alpha$  on  $V_\alpha$ :  $\langle v, w \rangle_\alpha = \langle F_\alpha^* v, F_\alpha^* w \rangle$



Then define  $\langle, \rangle$  on  $M$  by

$$v, w \in T_p M \rightarrow \langle v, w \rangle = \sum_\alpha f_\alpha(p) \langle v, w \rangle_\alpha \quad (\text{note: finite sum})$$

Easy to check: symmetric, bilinear, pos def.  $\square$

Uses for metrics:

- Length of curves.  $\gamma: [a, b] \rightarrow M$  piecewise smooth.  
 $\rightarrow$  length  $l(\gamma) = \int_a^b \langle \gamma'(t), \gamma'(t) \rangle^{1/2} dt \quad (\gamma'(t) \in T_{\gamma(t)} M)$   
 Usual pf: indep of parametrization.

- Isom between tangent + cotangent.

$$p \in M \rightarrow g(p): T_p M \otimes T_p M \rightarrow \mathbb{R}$$

$$\rightarrow \tilde{g}(p): T_p M \rightarrow T_p^* M$$

$g$  Pos def  $\rightarrow$  isomorphism. So set  $\tilde{g}: TM \xrightarrow{\cong} T^*M$  bundle isom.

Note: works for any nondeg  $(0,2)$ -tensor.

- Volume form. Assume  $M$  oriented.

$g \rightarrow \omega \in \Omega^n(M)$  gives  $\omega$  local coords by

$$\omega = \sqrt{\det(g_{ij})} dx_1 \wedge \dots \wedge dx_n$$

$$(g_{ij} = g(\frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j}))$$

Check well-defined: change of coords  $x_1, \dots, x_n \rightsquigarrow y_1, \dots, y_n$ .

$$\frac{\partial}{\partial y_i} = \sum \frac{\partial x_j}{\partial y_i} \frac{\partial}{\partial x_j}$$

Write Jacobian  $(\frac{\partial x_i}{\partial y_j}) = M$ : then if  $\tilde{g}_{ij} = g(\frac{\partial}{\partial y_i}, \frac{\partial}{\partial y_j})$ , the

$$(\tilde{g}_{ij}) = M(g_{ij})M^T$$

$$\tilde{g}_{ij} = \sum \frac{\partial x_k}{\partial y_i} \frac{\partial x_k}{\partial y_j} g_{kl}$$

Also  $\begin{pmatrix} dx_1 \\ \vdots \\ dx_n \end{pmatrix} = M^T \begin{pmatrix} dy_1 \\ \vdots \\ dy_n \end{pmatrix} \Rightarrow dx_1 \wedge \dots \wedge dx_n = (\det M) dy_1 \wedge \dots \wedge dy_n$ .

$$\Rightarrow \sqrt{\det \tilde{g}_{ij}} dy_1 \wedge \dots \wedge dy_n = (\det M) \sqrt{\det g_{ij}} dy_1 \wedge \dots \wedge dy_n$$

(if  $\det M > 0$ )  $\xrightarrow{\quad} = \sqrt{\det g_{ij}} dx_1 \wedge \dots \wedge dx_n$ .

## Affine Connections

Motivations:

① How to take directional derivatives of vector fields?

Would like:  $X$  tangent vector,  $Y = \sum b_i \frac{\partial}{\partial x_i}$

$\Rightarrow$  "directional derivative" " $X(Y) = \sum X(b_i) \frac{\partial}{\partial x_i}$ ".

Problem: not coord independent.

ex.:  $M = \mathbb{R}$

$$X = \frac{\partial}{\partial x}, Y = \frac{\partial}{\partial x} \Rightarrow X(Y) = 0.$$

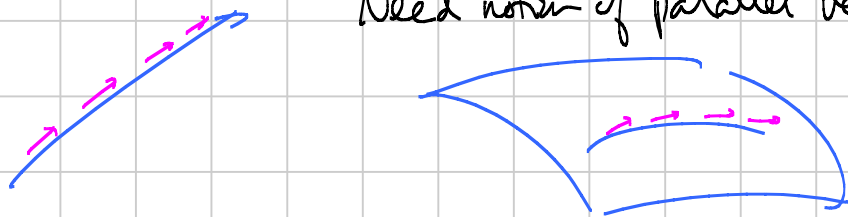
But: another coord system  $y = x^3$ .

$$\frac{\partial}{\partial x} = \frac{dy}{dx} \frac{\partial}{\partial y} = 3y^{2/3} \frac{\partial}{\partial y}$$

$$\Rightarrow X(Y) = 3y^{2/3} \frac{\partial}{\partial y} (3y^{1/3}) \frac{\partial}{\partial y} = 6y^{1/3} \frac{\partial}{\partial y} \neq 0.$$

② What's the analogue of a straight line in a mfld  $M$ ?

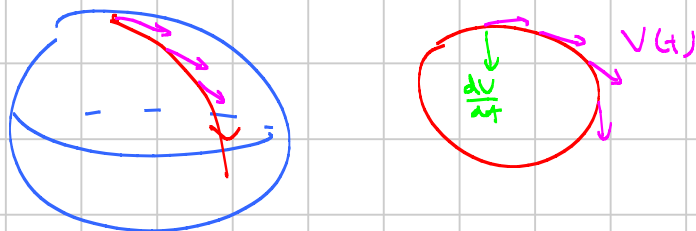
Need notion of "parallel" vector along a curve.



$\gamma$  path in  $M$ ,  $V =$  vector field along  $\gamma$  i.e.  $V(t) \in T_{\gamma(t)}M$ .

What does it mean for  $V$  to be parallel? " $\frac{dV}{dt} = 0$ "

For  $M \subset \mathbb{R}^3$  surface,  $V(t) \in \mathbb{R}^3$  so  $\frac{dV}{dt}$  is a vector in  $\mathbb{R}^3$  but not nec. in  $T_{\gamma(t)}M$ .



Maybe define

$\frac{dV}{dt} =$  orthogonal projection of  $V'(t)$  to  $T_{\gamma(t)}M$ .

In general:

Def An affine connection  $\nabla$  on  $M$  is a map

$$\begin{aligned} \nabla: \text{Vect } M \times \text{Vect } M &\rightarrow \text{Vect } M \\ (X, Y) &\mapsto \nabla_X Y \end{aligned}$$

Satisfying:

1.  $\nabla_{X_1+X_2} Y = \nabla_{X_1} Y + \nabla_{X_2} Y$ ;  $\nabla_X (Y_1+Y_2) = \nabla_X Y_1 + \nabla_X Y_2$
2.  $\nabla_{fX} Y = f \nabla_X Y$
3.  $\nabla_X (fY) = f \nabla_X Y + X(f)Y$ .

Note: for fixed  $Y$ , the map  $\nabla \cdot Y: \text{Vect } M \rightarrow \text{Vect } M$  is a tensor

## Interlude: coords and indices

Convention: write  $x^1, \dots, x^n$  for coords.

$T_p M$  gen'd by  $\frac{\partial}{\partial x^i} = \partial_i, \dots, \frac{\partial}{\partial x^n} = \partial_n$ ;  $T_p^* M$  gen'd by  $dx^1, \dots, dx^n$ .

Vector field  $\sum_i a^i \partial_i = a^i \partial_i$ ; 1-form  $\sum_i b_i dx^i = b_i dx^i$ .

Einstein summation notation: sum over repeated indices (one upper, one lower)

$$X = a^i \partial_i \Rightarrow Xf = \sum_i a^i \frac{\partial f}{\partial x^i} = a^i \partial_i f.$$

Metric  $g_{ij} = \langle \partial_i, \partial_j \rangle$ ;  $g = g_{ij} dx^i \otimes dx^j$ .

for future use: write inverse matrix to  $(g_{ij})$  as  $(g^{ij})$ ; note  $g_{ik} g^{kj} = \delta^j_i$ .

Write  $\nabla_i = \nabla_{\partial/\partial x^i}$ .

## Connection in coordinates.

Def  $x^1, \dots, x^n$  coords. The Christoffel symbol  $\Gamma_{ij}^k$ ,  $1 \leq i, j, k \leq n$ , is defined by  $\nabla_{\partial/\partial x^i} (\frac{\partial}{\partial x^j}) = \sum_k \Gamma_{ij}^k \frac{\partial}{\partial x^k}$  i.e.  $\nabla_i \partial_j = \Gamma_{ij}^k \partial_k$ .

Connections are local operators (excl) and on a chart,

$\Gamma_{ij}^k$  determine the connection:

if  $\nabla =$  connection then

$$\nabla_{a^i \partial_i} (b^j \partial_j) = a^i \nabla_i (b^j \partial_j) = a^i (b^j \nabla_i \partial_j + (\partial_i b^j) \partial_j) = a^i b^j \Gamma_{ij}^k \partial_k + a^i (\partial_i b^j) \partial_j.$$

Conversely can define  $\nabla_X Y \forall X, Y$  by this formula  $\rightarrow$

and check that this gives a connection.

Ex  $M = \mathbb{R}^n$ ,  $\Gamma_{ij}^k = 0 \quad \forall i, j, k$ .

$$X = a^i \partial_i, Y = b^j \partial_j \rightarrow \nabla_X Y = a^i (\partial_i b^j) \partial_j = X(b^j) \partial_j$$

(note in a different coord system,  $\Gamma_{ij}^k$  might not be 0).

Now: given  $\gamma = \text{curve in } M$ ,  $V = \text{vector field along } \gamma$ , use  $\nabla$  to define  $\frac{DV}{dt}$ . ("covariant der. along a curve")



Prop  $M$  smooth,  $\nabla = \text{affine connection}$ .

Then  $\exists!$  way to associate, to a curve  $\gamma(t)$  and a vector field  $V$  along  $\gamma$ , another vector field  $\frac{DV}{dt}$  along  $\gamma$ , s.t.

$$\textcircled{1} \quad \frac{D}{dt} (V+W) = \frac{DV}{dt} + \frac{DW}{dt}$$

$$\textcircled{2} \quad \frac{D}{dt} (fV) = f \frac{DV}{dt} + \frac{df}{dt} V$$

$\textcircled{3}$  If  $V$  extends to a vector field  $Y$  on  $M$  (or a nbd of  $\gamma$ ) then  $\frac{DV}{dt} = \nabla_{\gamma'(t)} Y$ .

PF Write  $\gamma(t) = (x^1(t), \dots, x^n(t)) \Rightarrow \gamma'(t) = (x^{i'}) \partial_i$ .

Uniqueness: by  $\textcircled{3}$ ,

$$\frac{D}{dt} (\partial_j) = \nabla_{(x^{i'}) \partial_i} \partial_j = (x^{i'}) \Gamma_{ij}^k \partial_k$$

Then for general vector field along  $\gamma$ ,  $V = V^j(t) \partial_j$ ,

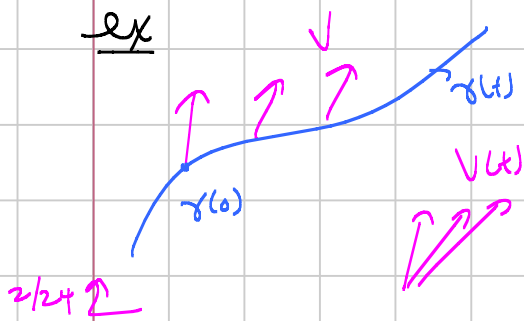
$$\frac{DV}{dt} = V^j(t) \frac{D}{dt} \partial_j + \frac{dV^j}{dt} \partial_j \quad (\textcircled{1} \text{ and } \textcircled{2})$$

$$= (x^{i'}) V^j(t) \Gamma_{ij}^k \partial_k + \frac{dV^j}{dt} \partial_j$$

$$\textcircled{*} \quad \boxed{\frac{DV}{dt} = \left( \frac{dV^k}{dt} + \frac{dx^{i'}}{dt} V^j \Gamma_{ij}^k \right) \partial_k}$$

Existence: define  $\frac{DV}{dt}$  by  $\textcircled{*}$ ; check  $\textcircled{2}$  ( $\textcircled{1}, \textcircled{3}$  obvious).

Notes on overlapping charts, values must agree by uniqueness.  $\square$



$$\begin{aligned} \frac{DV}{dt} \Big|_{t_0} &= \nabla_{\gamma'(t_0)} V = a^i (\partial_i v^j) \partial_j = a^i \frac{\partial v^j}{\partial x^i} \frac{\partial}{\partial x^i} \\ &= \frac{d}{dt} \Big|_{t=0} V(\gamma(t)) \\ v &= v^j \partial_j \\ \gamma'(t) &= a^i \partial_i \\ v(\gamma(t)) &= v^j(\gamma(t)) \partial_j \end{aligned}$$

Parallel transport:  $\gamma(t)$  curve,  $V$  = vector field along  $\gamma$ .

Def  $V$  is parallel if  $\frac{DV}{dt} = 0$ .

Prop  $v_0 \in T_{\gamma(0)} M$ .  $\exists!$  parallel vector field  $V(t)$  with  $V(0) = v_0$ :  
this is called "parallel transport" of  $v_0$  along  $\gamma$ .

PF Suffices to prove in a coord chart: then cover  $\gamma$  by overlapping charts.

We want to find

$$V(t) = V^i(t) \partial_i \quad \text{satisfying:}$$

$$\left( \frac{dV^k}{dt} + \frac{dx^i}{dt} V^j \Gamma_{ij}^k \right) \partial_k = 0$$

$$\Leftrightarrow \underbrace{\hspace{10em}}_{= 0 \ \forall k}$$

$$\Leftrightarrow \frac{dV^k}{dt} = -V^j(t) \frac{dx^i}{dt} \Gamma_{ij}^k$$

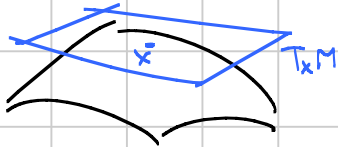
This is a system of  $n$  1st order diff'ls in  $n$  variables  $\Rightarrow \exists!$  solution given initial conditions.  $\square$

Important example:  $M \subset \mathbb{R}^{n+k}$ .

Define affine connection  $\bar{\nabla}$  on  $\mathbb{R}^{n+k}$  as before.  
This induces an affine connection  $\nabla$  on  $M$ :

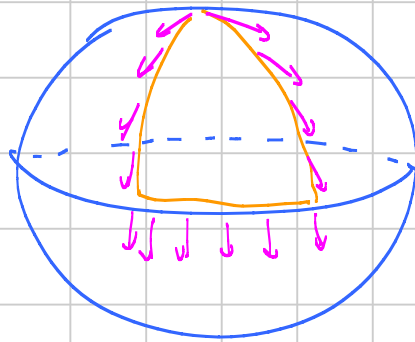
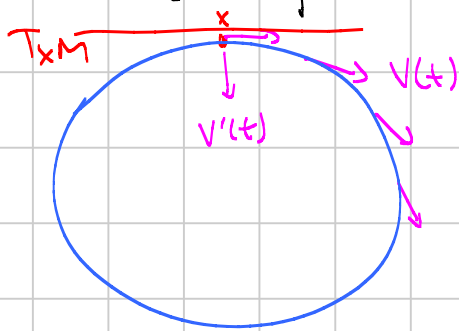
$$X, Y \in \text{Vect}(M), \text{ expanded to } \bar{X}, \bar{Y} \in \text{Vect}(\mathbb{R}^{n+k}) \rightarrow \nabla_X Y = (\bar{\nabla}_{\bar{X}} \bar{Y})^T : \text{orthogonal projection}$$

$$T_x \mathbb{R}^{n+k} \rightarrow T_x M.$$



check: this is an affine connection.

Parallel transport:  $\frac{DV}{dt} = 0 \rightarrow$  if  $V(t) \in \mathbb{R}^{n+k}$  then  $(V'(t))^T = 0$ .



Levi-Civita Connection.

$g =$  Riemann metric on  $M$

Def. A connection  $\nabla$  on  $M$  is compatible with  $g$  if  $\forall X, Y, Z \in \text{Vect}(M)$ ,

$$X g(Y, Z) = g(\nabla_X Y, Z) + g(Y, \nabla_X Z).$$

Prop  $\gamma = \text{curve on } M$ ,  $\nabla$  compatible with  $g = \langle, \rangle$ .

1. If  $V, W$  are vector fields along  $\gamma$ , then

$$\frac{d}{dt} \langle V, W \rangle = \left\langle \frac{DV}{dt}, W \right\rangle + \left\langle V, \frac{DW}{dt} \right\rangle.$$

2. If  $V = \text{parallel vector field along } \gamma$ , then  $|V(t)| = \langle V(t), V(t) \rangle^{1/2}$  is constant.

PF 1. Extend  $V, W$  to vector fields near  $\gamma$ , and use  $\frac{DV}{dt} = \nabla_{\dot{\gamma}(t)} V$  etc.

2. Clear.  $\square$

Def A connection  $\nabla$  on  $M$  is torsion-free ("symmetric") if

$$\nabla_X Y - \nabla_Y X = [X, Y] \quad \forall X, Y \in \text{Vect } M.$$

Note: in coords  $(\Gamma_{ij}^k - \Gamma_{ji}^k) \partial_k = \nabla_i \partial_j - \nabla_j \partial_i = [\partial_i, \partial_j] = 0 \Rightarrow \Gamma_{ij}^k = \Gamma_{ji}^k$ .

Thm  $M$  Riem. There exists a unique connection that is torsion-free and compatible with  $g$ , "Levi-Civita connection".

PF Uniqueness:

$$\oplus \quad X \langle Y, Z \rangle = \langle \nabla_X Y, Z \rangle + \langle Y, \nabla_X Z \rangle$$

$$\oplus \quad Y \langle Z, X \rangle = \langle \nabla_Y Z, X \rangle + \langle Z, \nabla_Y X \rangle$$

$$\ominus \quad Z \langle X, Y \rangle = \langle \nabla_Z X, Y \rangle + \langle X, \nabla_Z Y \rangle$$

$$(X \langle Y, Z \rangle + Y \langle Z, X \rangle - Z \langle X, Y \rangle) = Z \langle \nabla_X Y, Z \rangle + (\langle [X, Z], Y \rangle + \langle X, [Y, Z] \rangle - \langle Z, [X, Y] \rangle)$$

So  $\langle \nabla_X Y, Z \rangle$  is determined  $\forall X, Y, Z \Rightarrow \nabla_X Y$  is determined.

Existence: HW.  $\square$



In Coord: choose  $X=\partial_i, Y=\partial_j, Z=\partial_k$ .

$$\langle \nabla_X Y, Z \rangle = \Gamma_{ij}^m \langle \partial_m, \partial_k \rangle = \Gamma_{ij}^m g_{mk}$$

$$\Rightarrow \Gamma_{ij}^m g_{mk} = \frac{1}{2} (\partial_i g_{jk} + \partial_j g_{ik} - \partial_k g_{ij})$$

$$\Rightarrow \Gamma_{ij}^k = \Gamma_{ij}^m g_{mk} g^{lk}$$

$$\Gamma_{ij}^k = \frac{1}{2} (\partial_i g_{jk} + \partial_j g_{ik} - \partial_k g_{ij}) g^{lk}$$

Ex  $\mathbb{R}^n$ , Euclidean metric. Then  $g_{ij} = \delta_{ij}$  so  $\Gamma_{ij}^k = 0 \forall i, j, k$ .

## Geodesics

Def  $(M, g)$  Riem mfd,  $\nabla$  = Levi-Civita connection.

A curve  $\gamma$  on  $M$  is a geodesic if  $\frac{D}{dt}(\gamma'(t)) = 0$

i.e.  $\gamma'(t)$  is a parallel vector field along  $\gamma$ , " $\gamma$  has zero acceleration".

Observation:  $\gamma = \text{geodesic} \Rightarrow$

$$\frac{d}{dt} |\gamma'(t)|^2 = \frac{d}{dt} \langle \gamma'(t), \gamma'(t) \rangle = 2 \left\langle \frac{D}{dt} \gamma'(t), \gamma'(t) \right\rangle = 0$$

So  $|\gamma'(t)|$  is constant. (usually, assume not constant mag:  $|\gamma'(t)| > 0$ ).

Ex • Straight lines in  $\mathbb{R}^n$

• for  $M \subset \mathbb{R}^{nk}$ :  $\gamma = \text{geodesic}$  if tangential component of acceleration  $\gamma''(t) \in \mathbb{R}^{nk}$  is 0.

In coordinates:  $\gamma(t) = (x^1(t), \dots, x^n(t))$ ,  $\gamma'(t) = \frac{dx^i}{dt} \partial_i$ .

recall  $\frac{Dv}{dt} = \left( \frac{dv^k}{dt} + \frac{dx^i}{dt} v^j \Gamma_{ij}^k \right) \partial_k$

$\Rightarrow \frac{D}{dt}(\gamma'(t)) = \left( \frac{d^2}{dt^2} x^k + \frac{dx^i}{dt} \frac{dx^j}{dt} \Gamma_{ij}^k \right) \partial_k$

So a geodesic satisfies a second order system

$$\boxed{\frac{d^2}{dt^2} x^k + \frac{dx^i}{dt} \frac{dx^j}{dt} \Gamma_{ij}^k = 0} \quad k=1, \dots, n.$$

Notes 1. This is homogeneous: if  $\gamma(t) : (a, b) \rightarrow M$  is a geodesic then so is the reparametrization  $\tilde{\gamma}(t) = \gamma(ct) : (\frac{a}{c}, \frac{b}{c}) \rightarrow M$ .  
(note  $\tilde{\gamma}'(0) = c \gamma'(0)$ ).

2. Can reformulate in terms of the tangent bundle.

$U =$  Coord chart on  $M$ , coords  $x^1, \dots, x^n$

$\Rightarrow U \times \mathbb{R}^n =$  coord chart on  $TM$ , coords  $x^1, \dots, x^n, y^1, \dots, y^n$ .

$\gamma =$  Curve in  $M \rightsquigarrow \tilde{\gamma} =$  Curve in  $TM$  given by

$$\tilde{\gamma}(t) = (\gamma(t), \gamma'(t)).$$

Then if  $\gamma =$  geodesic,  $\tilde{\gamma} = (x^1, \dots, x^n, y^1, \dots, y^n)$  is given by:

first order system of diff eq's

$$\begin{cases} y^1(t) = \frac{d}{dt} x^1(t) & \frac{d}{dt} y^1(t) = -y^i y^j \Gamma_{ij}^1 \\ \vdots & \vdots \\ y^n(t) = \frac{d}{dt} x^n(t) & \frac{d}{dt} y^n(t) = -y^i y^j \Gamma_{ij}^n \end{cases}$$

Def The geodesic vector field on  $TM$  is the vector field given in coordinates by

$$\left( \underset{\uparrow}{y^1}, \dots, \underset{\uparrow}{y^n}, \underset{\uparrow}{-y^i y^j \Gamma_{ij}^1}, \dots, \underset{\uparrow}{-y^i y^j \Gamma_{ij}^n} \right).$$

$$\begin{matrix} \uparrow & & \uparrow & & \uparrow & & \uparrow \\ \frac{\partial}{\partial x^1} & & \frac{\partial}{\partial x^n} & & \frac{\partial}{\partial y^1} & & \frac{\partial}{\partial y^n} \end{matrix}$$

(from the following discussion: coord independent).

The geodesic vector field is constructed so that  $\tilde{\gamma}$  is a flow of  $\alpha$ .

This implies short time existence of geodesics:

for  $(x, v) \in TM$ , let  $\tilde{\gamma}(t)$  be the time  $t$  flow of the geodesic vector field in  $TM$ ;

then  $\gamma(t) = \pi \circ \tilde{\gamma}(t)$  is a geodesic in  $M$  with  $\gamma(0) = x$ ,  $\gamma'(0) = v$ ,

and conversely. So  $\exists!$  geodesic  $\gamma: (-\epsilon, \epsilon) \rightarrow M$  with

$\gamma(0) = x$ ,  $\gamma'(0) = v$ , small  $\epsilon$ .

3/1

Prop  $\forall p \in M \exists \epsilon > 0$ , nbd of  $p$ , and smooth map

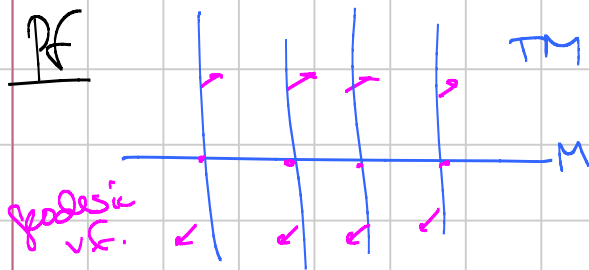
$$\gamma: (-\epsilon, \epsilon) \times \Omega \rightarrow M \quad \text{where } \Omega = \{(x, v) \mid x \in U, |v| < \epsilon\} \subset TM$$

s.t.  $t \mapsto \gamma(t, x, v)$  is the unique geodesic  $\gamma$

with  $\gamma(0) = x$ ,  $\gamma'(0) = v$ .

Short time existence:

PF



Can find  $\bar{\Omega}$ , nbd of  $(p, 0) \in TM$ ,

such that for  $(x, v) \in \bar{\Omega}$ , the time  $t$  flow of the geodesic v.f. starting at  $(x, v)$

is defined for  $|t| < \delta$ : write this flow as

$$t \mapsto \tilde{\gamma}(t, x, v).$$

Might as well assume  $\bar{\Omega}$  is of the form

$$\bar{\Omega} = \{(x, v) \mid x \in U, |v| < \epsilon_0\} \text{ for some } \epsilon, \text{ some nbd } U \text{ of } p.$$

Write  $\gamma = \pi \circ \tilde{\gamma}$ . Then:

$t \mapsto \gamma(t, x, v)$  is a geodesic for  $|t| < \delta$ ,  $|v| < \epsilon_0$

$\gamma(\frac{\delta}{2}, x, cv)$  (reparametrization - homogeneity)

$\Rightarrow t \mapsto \gamma(t, x, cv)$  is a geodesic for  $|t| < \frac{\delta}{2}$ ,  $|v| < \epsilon_0$ .

Choose  $c = \frac{\delta}{2} \Rightarrow$

$t \mapsto \gamma(t, x, v)$  is a geodesic for  $|t| < 2$ ,  $|v| < \frac{\epsilon_0 \delta}{2}$ .  $\square$

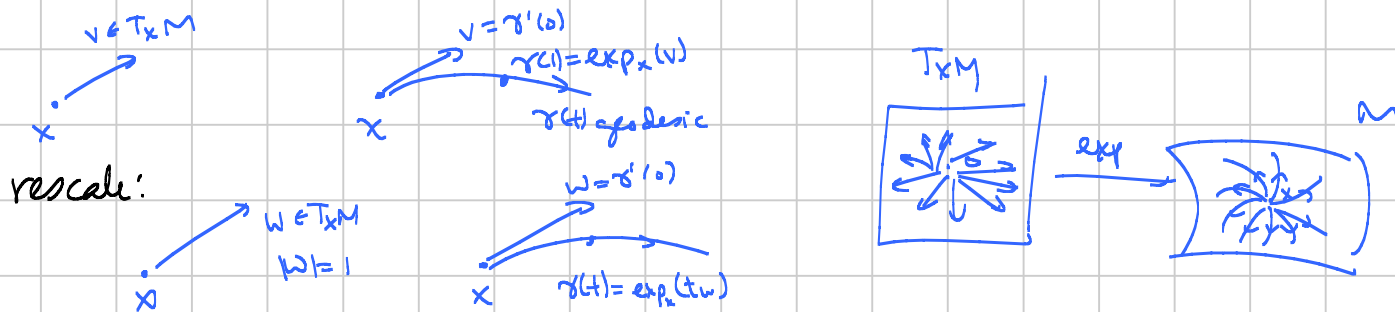


Def  $\Omega$  as above:  $\Omega = \{(x, v) \mid x \in U, |v| < \epsilon\}$

The exponential map  $\exp: \Omega \rightarrow M$  is defined by

$$\exp(x, v) = \gamma(1, x, v) = \gamma(|v|, x, \frac{v}{|v|}). \quad x \in U, |v| < \epsilon.$$

For fixed  $x$ , get  $\exp_x: B_\epsilon(0) \rightarrow M$ ,  $\exp_x(0) = x$ .



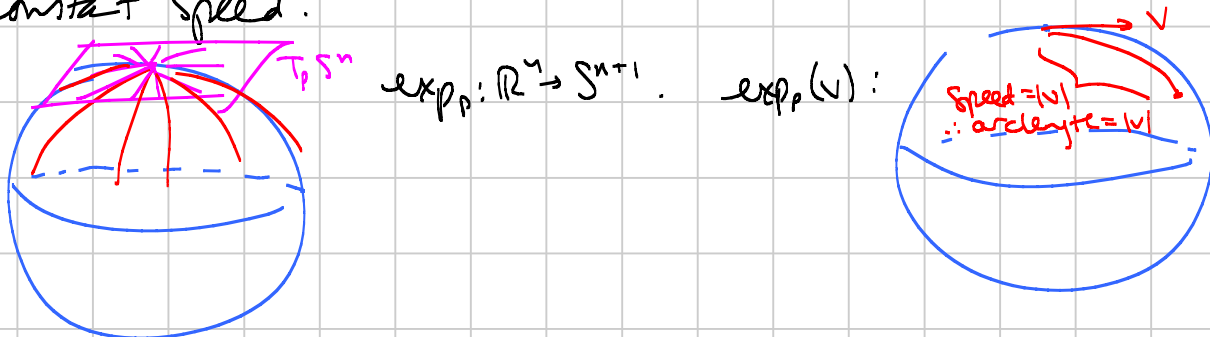
Prop Fix  $x \in M$ .  $\exists \epsilon > 0$  s.t.  $\exp_x: B_\epsilon(0) \rightarrow M$  is a diffeomorphism onto an open subset of  $M$ .

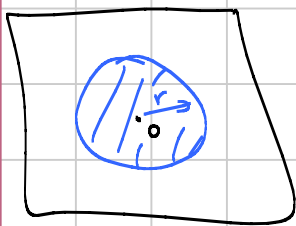
$$\begin{aligned} \text{Pf } d(\exp_x)(0)(v) &= \frac{d}{dt} \Big|_{t=0} \exp_x(tv) \\ &= \frac{d}{dt} \Big|_{t=0} \gamma(1, x, tv) \\ &= \frac{d}{dt} \Big|_{t=0} \gamma(t, x, v) \\ &= v \end{aligned}$$

So  $d(\exp_x)(0) =$  isomorphism. Now use Inverse Function Thm.  $\square$

Ex  $(S^n, \text{round metric})$ .

Geodesics are (arcs of) great circles, parametrized with constant speed.





$\xrightarrow{\exp_p}$



$\exp_p : Br(0) \rightarrow S^n$  is a diffeomorphism onto its image for  $r < \pi$ .

$\exp_p(\{v \mid |v| = \pi\}) = \text{antipodal pt}$

$\exp_p(\{v \mid |v| = 2\pi\}) = p$

etc.

Ex  $SO(n)$  with biinvariant metric. By HW, geodesics are 1-parameter subgroups. If  $M \in so(n)$ , define

$$\exp(tM) = I + tM + \frac{1}{2!} t^2 M^2 + \frac{1}{3!} t^3 M^3 + \dots \in SO(n).$$

Then  $\exp(tM)$  is a 1-parameter subgroup  $\Rightarrow$  geodesic, and

$$\frac{d}{dt} \Big|_{t=0} \exp(tM) = M;$$

so

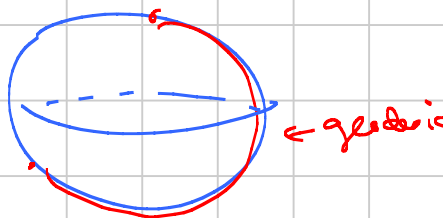
$$\exp_p(tM) = \exp(tM).$$

$$e \in SO(n), tM \in T_e SO(n)$$

If  $\gamma : [a,b] \rightarrow M$  is a piecewise smooth path in  $M$ , define length  $l(\gamma) = \int_a^b \langle \gamma'(t), \gamma'(t) \rangle^{1/2} dt$ . (in particular, continuous)

Claim: if the endpoints  $p, q$  of  $\gamma$  are sufficiently close, and  $\gamma$  is a geodesic, then  $\forall$  piecewise smooth  $\tilde{\gamma}$  with same endpoints,  $l(\tilde{\gamma}) \geq l(\gamma)$ .

Note: not true in general:



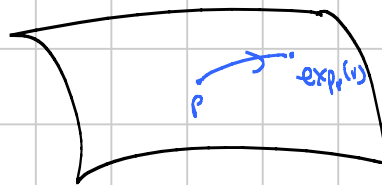
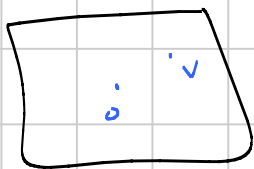
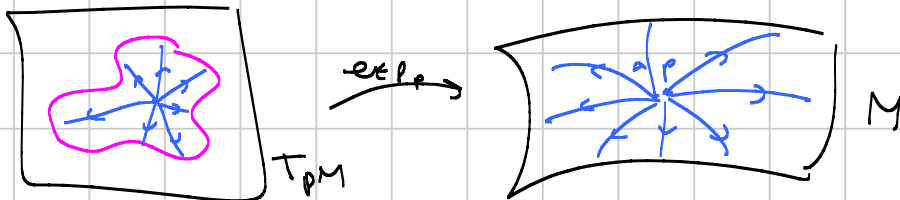
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Review Geodesic =  $\gamma(t)$ :  $\nabla_{\gamma'} \gamma' = 0$ .

$\exp_p: (\text{subset of } T_p M) \rightarrow M$

$v \mapsto \gamma(1, p, v)$

where  $\gamma(t, p, v) =$  geodesic with  $\gamma(0) = p, \gamma'(0) = v$ .



geodesic  $\gamma(t, p, v), |\gamma'(t)| = |v|$   
length =  $\int_0^1 \langle \gamma', \gamma' \rangle dt = |v|$ .

Note if we fix  $v$ , then  $\exp(tv) = \gamma(1, p, tv) = \gamma(t, p, v)$

so  $\exp(tv)$  is the geodesic with initial conditions  $\gamma(0) = p, \gamma'(0) = v$ .

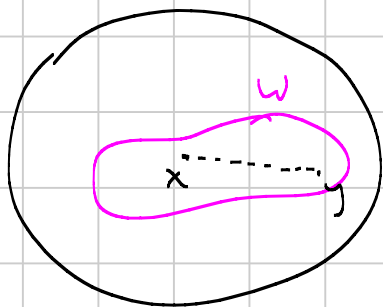
### Normal Neighborhoods

eventual goal: geodesics are length minimizers.

Def  $V = \text{nd of } p \in M$  is a normal neighborhood of  $p$  if  $\exists U = \text{nd of } 0 \in T_p M$  such that  $\exp_p: U \rightarrow V$  is a diffeomorphism.

Prop  $p \in M$ .  $\exists$  nd  $W$  of  $p$  and  $\epsilon > 0$  such that:

1.  $\forall x \in W, \exp_x: B_\epsilon(0) \rightarrow M$  is a diffeom onto its image and  $\exp_x(B_\epsilon(0)) \supset W$  (so  $W =$  normal nd of each  $x \in W$ )
2.  $\forall x, y \in W, \exists!$   $v \in T_x M$  with  $|v| < \epsilon$  st.  $y = \exp_x v$ ; i.e.  $\exists!$  geodesic of length  $< \epsilon$  joining  $x$  and  $y$ .



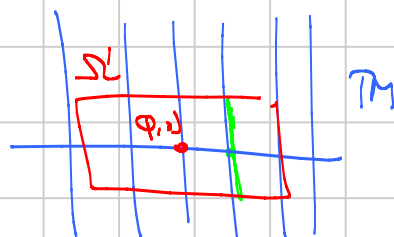
$W$  is called a totally normal neighborhood of  $p$ .

PF  $\Omega = \{(x, v) \mid x \in U, |v| < \epsilon\} \subset TM$  as before  
( $U = \text{nsd of } x$ ).

exp:  $\Omega \rightarrow M \rightsquigarrow$  write  $F: \Omega \rightarrow M \times M$   
 $(x, v) \mapsto \exp_x v$        $(x, v) \mapsto (x, \exp_x v)$



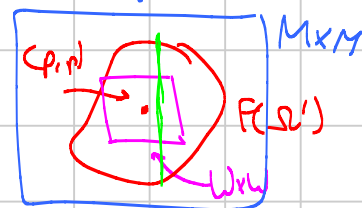
The  $dF(p, 0) = \begin{bmatrix} 1 & * \\ 0 & 1 \end{bmatrix}$  so  $F$  is a local diffeo near  $(p, 0)$ .  
 Thus  $\exists$  nbd  $U' \subset U$  of  $p$ ,  $\epsilon' < \epsilon$ , st.  $F$  is a diffeo on  
 $\Omega' = \{(x, v) \mid x \in U', |v| < \epsilon'\}$ .



There is a nbd  $W$  of  $p$  with  $W \cap W \subset F(\Omega')$ .

If  $x \in W$  then  $F(x \times B_{\epsilon'}(0)) \supset \{x\} \times W$

so  $\exp_x(B_{\epsilon'}(0)) \supset W$ . This proves #1.

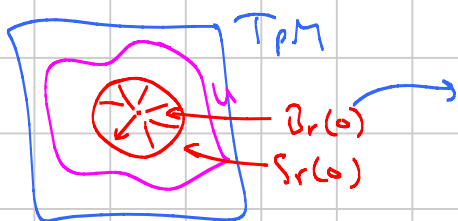


#2 follows directly from #1.  $\square$

Notation: a normal ball with center  $p$  and radius  $r$  is  
 $\exp_p(B_r(0))$  whose closure lies in a normal nbd  $V$  of  $p$ :  
 i.e.  $\bar{B}_r(0) \subset U$  where  $\exp_p: U \rightarrow V$  is a diffeo.  
 $\searrow = \partial B_r(0)$

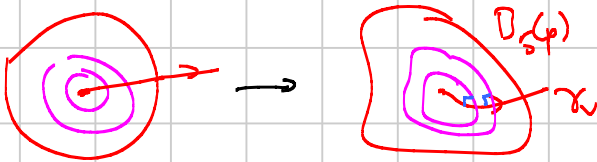
Then  $\exp_p(S_r(0))$  is called the normal sphere with  
 center  $p$  and radius  $r$ .

Write  
 $B_r(p) = \exp_p(B_r(0))$   
 $S_r(p) = \exp_p(S_r(0))$



Now: suppose  $B_{r_0}(p)$  is a normal ball.

For  $v \in T_p M$  with  $|v|=1$ , let  $\gamma_v: [0, r_0) \rightarrow M$  be the geodesic  
 $\gamma_v(r) = \exp_p(rv)$ . ("radial geodesic")



Gauss Lemma  $\gamma_v$  is normal to  $S_r(p) \forall r \in (0, r_0)$ .

PF let  $\frac{\partial}{\partial r}$  be the radial vector field on  $B_1(0) - \{0\} \subset T_p M$ .

Define  $Z := (\exp_p)_* \frac{\partial}{\partial r}$ . Then

$$Z_{\gamma_v(r)} = \gamma'_v(r).$$

(note  $|Z|=1$ )



Want: any tangent vector to  $S_r(p)$  at  $\gamma_v(r)$  is  $\perp$  to  $Z_{\gamma_v(r)}$ .

Let  $X$  be any vector field defined on  $S_1(0)$ ; extend this to vector fields:

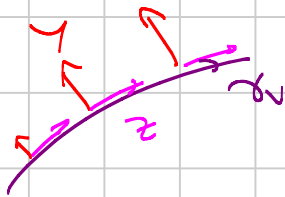
$X$  on  $B_1(0) - \{0\}$  by  $X_{rw} = X_w, w \in S_1(0)$

$\tilde{X}$  on  $B_1(0)$  by  $\tilde{X}_{rw} = r X_w$ .

It suffices to show that

$Y := (\exp_p)_* \tilde{X}$  and  $\gamma'_v$  are orthogonal along  $\gamma_v$ .





Fix  $v$ , and write  $f(t) = \langle Y_{\gamma_v(t)}, z_{\gamma_v(t)} \rangle$ . Want  $f(t) \equiv 0$ .

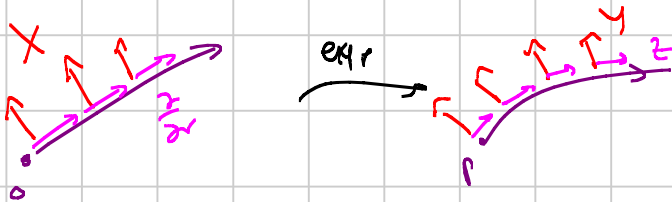
$$\begin{aligned} \frac{d}{dt} f(t) &= z \langle Y, z \rangle = \langle \nabla_z Y, z \rangle + \langle Y, \nabla_z z \rangle \\ &= \langle \nabla_Y z, z \rangle + \langle [z, Y], z \rangle && = 0 \text{ since } z = \gamma'_v \text{ and } \gamma_v \text{ is a geodesic} \\ &= 0 \text{ since } z \langle \nabla_Y z, z \rangle = Y \langle z, z \rangle = 0 \end{aligned}$$

$$\begin{aligned} [z, Y] &= [(\exp_p)_* \frac{\partial}{\partial r}, (\exp_p)_* \tilde{X}] = (\exp_p)_* \left[ \frac{\partial}{\partial r}, \tilde{X} \right] \longleftarrow \left[ \frac{\partial}{\partial r}, X \right] = 0 \text{ (exercise)} \\ &= \frac{1}{r} (\exp_p)_* \tilde{X} \\ &= \frac{1}{r} Y \end{aligned}$$

$$\Rightarrow \frac{d}{dt} f(t) = \frac{1}{t} f(t)$$

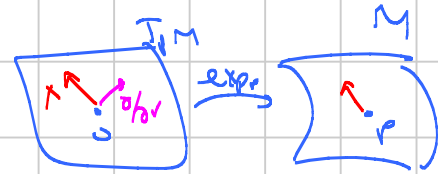
$\rightarrow f(t) = ct$  for some constant  $c$ .

$$\Rightarrow c = \frac{1}{t} \langle Y, z \rangle_{\gamma_v(t)} = \langle (\exp_p)_* X, (\exp_p)_* \frac{\partial}{\partial r} \rangle_{\gamma_v(t)}$$



Now along the arc  $\{\gamma_v\}$ , we can extend  $X$  and  $\frac{\partial}{\partial r}$  to 0, and the metric varies continuously. So

$$\begin{aligned} c &= \lim_{t \rightarrow 0} \langle (\exp_p)_* X, (\exp_p)_* \frac{\partial}{\partial r} \rangle_{\gamma_v(t)} = \langle (\exp_p)_* X, (\exp_p)_* \frac{\partial}{\partial r} \rangle_p \\ &= \langle X, \frac{\partial}{\partial r} \rangle_0 \\ &= 0 \end{aligned}$$



Since (as we saw last time)  $(\exp_p)_*(0): T_0(T_p M) \rightarrow T_p M$  is the identity, so  $f(t) \equiv 0$ .  $\square$

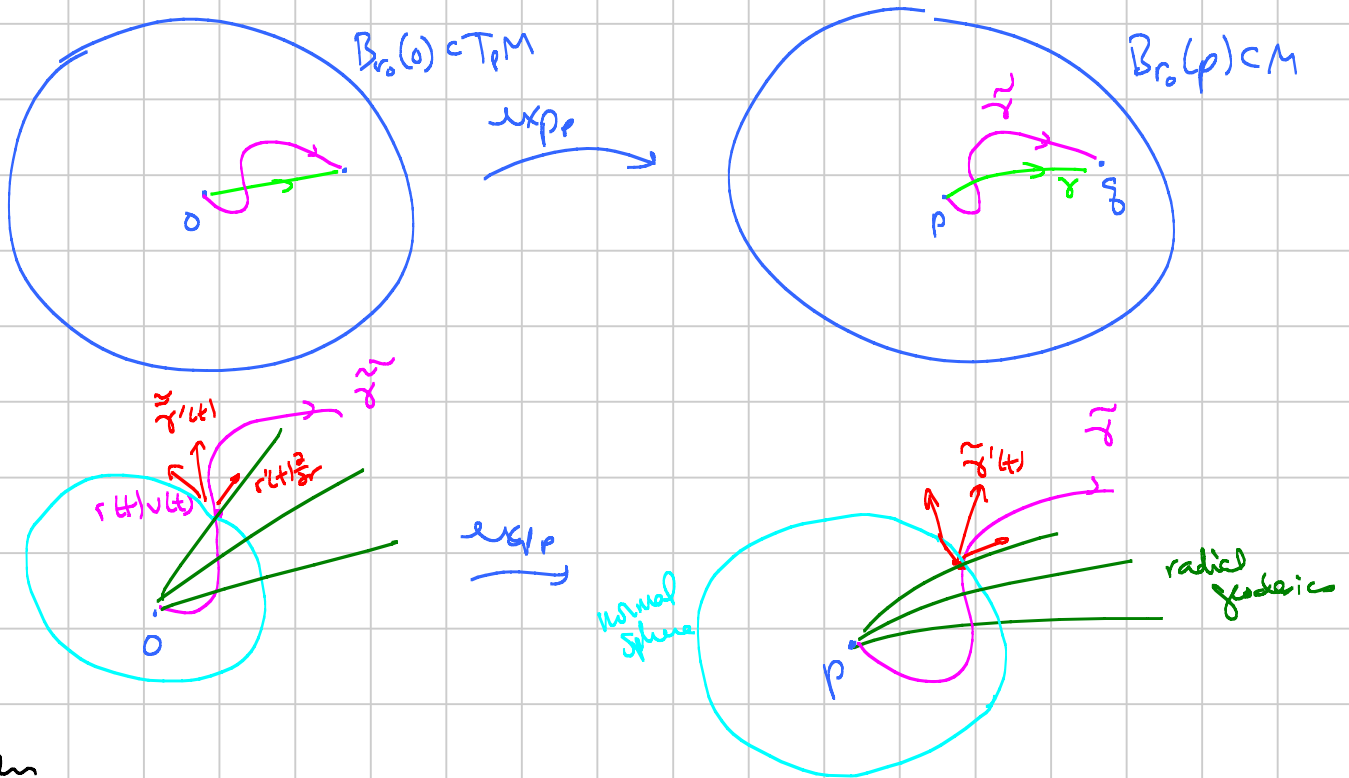
3/8  $\curvearrowright$

Prop  $p \in M$ ,  $B = Br(p)$  normal ball centered at  $p$ .

$q \in B$ ,  $\gamma: [0,1] \rightarrow B$  is the geodesic with  $\gamma(0) = p$ ,  $\gamma(1) = q$ .  
 $(\gamma(t) = \exp_p(t \exp_p^{-1}(q)))$ .

If  $\tilde{\gamma}: [0,1] \rightarrow M$  is piecewise smooth with  $\tilde{\gamma}(0) = p$ ,  $\tilde{\gamma}(1) = q$ ,  
 then  $l(\tilde{\gamma}) \geq l(\gamma)$ , with equality  $\Leftrightarrow \tilde{\gamma}$  = reparametrization of  $\gamma$ .

Pf First suppose  $\tilde{\gamma}$  lies in  $B$ , and define  $\tilde{\gamma}: [0,1] \rightarrow B_\epsilon(o)$  by  
 $\exp_o \circ \tilde{\gamma} = \tilde{\gamma}$ . Write  $\tilde{\gamma}(t) = r(t)v(t)$ ,  $|v(t)| = 1$ .



Then

$$\tilde{\gamma}'(t) = (r'(t) \frac{\partial}{\partial r}) + (\text{tangent to sphere})$$

$$\Rightarrow \tilde{\gamma}'(t) = r'(t)z + (\text{tangent to normal sphere})$$

and  $z \perp$  normal sphere by Gauss lemma

$$\Rightarrow |\tilde{\gamma}'(t)| \geq |r'(t)| |z| = |r'(t)|,$$

so

$$l(\tilde{\gamma}) = \int_0^1 |\tilde{\gamma}'(t)| dt \geq \int_0^1 |r'(t)| dt \geq \int_0^1 r'(t) dt = r(1) = l(\gamma)$$

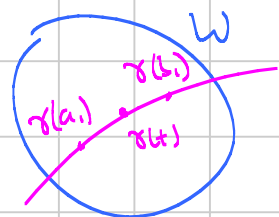
with equality  $\Leftrightarrow$  no normal component and  $r' > 0 \Leftrightarrow \tilde{\gamma} = \gamma$ .

Now: if  $\tilde{\gamma}$  doesn't lie in  $B$ , let  $t_0$  be first time with  $\tilde{\gamma}(t_0) \notin B$ . Then  $l(\tilde{\gamma}) = \int_0^1 |\tilde{\gamma}'(t)| dt \geq \int_0^{t_0} |\tilde{\gamma}'(t)| dt \geq r_0 > l(\gamma)$ .  $\square$

Converse? Are length minimizing geodesics?

Prop  $\gamma: [a, b] \rightarrow M$  piecewise smooth, constant speed. If  $l(\gamma) \leq l(\tilde{\gamma})$  for any  $\tilde{\gamma}$  with same endpoints, then  $\gamma$  is a geodesic.

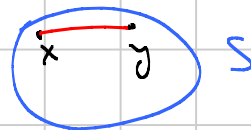
Pf  $t \in [a, b]$ ,  $W =$  totally normal neighborhood of  $\gamma(t)$ ; so  $\gamma$  maps  $[a_1, b_1]$  to  $W$  for some  $a_1 < t < b_1$ . Then  $\gamma(a_1) \rightarrow \gamma(b_1)$  is a curve in a normal ball. If  $\tilde{\gamma} =$  geodesic joining  $\gamma(a_1)$  to  $\gamma(b_1)$  then  $l(\tilde{\gamma}) \leq l(\gamma) \Rightarrow l(\tilde{\gamma}) = l(\gamma) \Rightarrow \tilde{\gamma} = \gamma$  up to reparametrization  $\Rightarrow \gamma|_{[a_1, b_1]}$  is a geodesic.



This is true for all  $t$ .  $\square$

## Geodesic Convexity See do Carmo, ch 3 sec 4

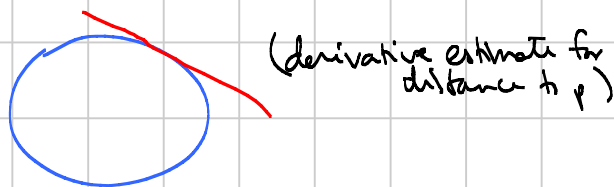
Recall: any pt has a totally normal neighborhood:  $W \ni p$  and  $\epsilon > 0$  such that any  $x, y \in W$  can be connected by a geodesic of length  $< \epsilon$ : but this could go outside  $W$ .



Def A subset  $S \subset M$  is (geodesically) convex if  $\forall x, y \in \bar{S}, \exists!$  length minimizing geodesic  $\gamma$  between  $p$  and  $q$  such that the interior of  $\gamma \subset S$ .

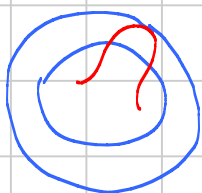
Prop  $p \in M$ .  $\exists \epsilon > 0$  st. any normal ball  $B_r(p)$  with  $p < \epsilon$  is geodesically convex.

Idea: lemma For any suff. small  $\epsilon$ , any geodesic tangent to  $S_\epsilon(p)$  lies outside  $B_\epsilon(p)$ .



Then: connect  $x, y$  by a geodesic.

If this strays outside  $B_\epsilon(p)$ , then it's tangent to  $B_r(p)$  for some  $r$  but lies inside.



## Geodesics and Topology

Def  $M$  Riem. The distance between two points  $p, q \in M$  is  
 $d(p, q) = \inf l(\gamma)$  over all piecewise smooth  $\gamma$  from  $p$  to  $q$ .

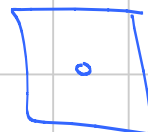
(note: if  $\gamma$  achieves inf then  $\gamma$  is a geodesic).

Prop  $(M, d)$  is a metric space, and the topology on  $M$  agrees with the metric topology.

PF recall if  $q \in B_r(p)$  then  $d(p, q) = l(\gamma) < r$  where  $\gamma =$  geodesic from  $p$  to  $q$ .  
 Thus the normal ball  $B_r(p)$  is  $\{q \mid d(p, q) < r\} =$  metric ball.  $\square$

When is there always a minimal geodesic between two points?

not here  $\rightarrow$

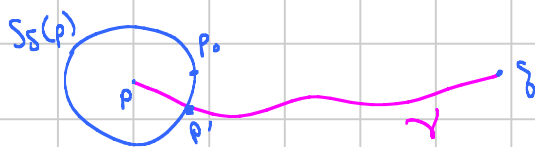


Def  $M$  is geodesically complete if all geodesics can be extended to have domain  $\mathbb{R}$ : i.e.,  $\forall p \in M$ ,  $\exp_p$  is defined on all of  $T_p M$ .

Thm  $M$  connected,  $p \in M$ . If  $\exp_p$  is defined on all of  $T_p M$ , then  $\forall q \in M \exists$  geodesic  $\gamma$  joining  $p$  to  $q$  with  $l(\gamma) = d(p, q)$ .

Lemma  $p, q \in M$ . For suff small  $\delta$ ,  $\exists p_0 \in S_\delta(p)$  with  $d(p, p_0) + d(p_0, q) = d(p, q)$ .

PF Choose  $\delta$  such that  $B_\delta(p) =$  normal ball, and choose  $p_0 \in S_\delta(p)$  minimizing  $d(p_0, q)$  ( $\exists$  since  $d(\cdot, q) : S_\delta(p) \rightarrow \mathbb{R}$  is continuous).



If  $\gamma$  joins  $p$  to  $q$  then if  $p' \in \gamma \cap S_\delta(p)$ ,  $l(\gamma) \geq d(p, p') + d(p', q) \geq d(p, p_0) + d(p_0, q)$   $\hookrightarrow$  b.c.  $\delta$   
 So  $d(p, q) \geq d(p, p_0) + d(p_0, q) \geq d(p, q)$ .  $\square$

PF of Thm Suppose  $d(p, q) = r$ . Choose  $\delta, p_0$  as in lemma and write  $p_0 = \exp_p(\delta v)$ ,  $|v| = 1$ .

Let  $\gamma$  be the geodesic  $\gamma(t) = \exp_p(tv)$ . Claim:  $\gamma(r) = q$ .

Define  $I = \{t \in [0, r] \mid d(\gamma(t), q) = r - t\}$ . Note  $\delta \in I$ :

$$d(\gamma(\delta), q) = d(p_0, q) = d(p, q) - \delta = r - \delta.$$

Also  $I$  is closed  $\rightarrow$  write  $T = \max I$ .  $T \in I$ ,  $\delta \leq T \leq r$ .

If  $T=r$  then  $d(\gamma(T), \xi) = 0 \Rightarrow$  done.

If  $T < r$  then apply lemma to  $\gamma(T), \xi$ .

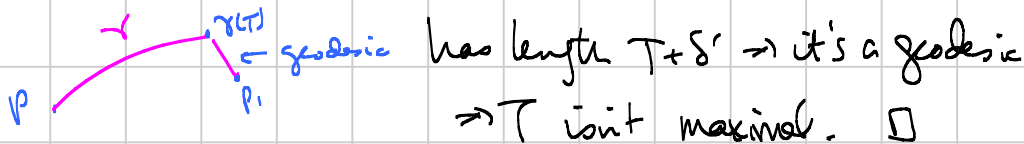
$\Rightarrow \exists \delta', p_i \in S_{r-\delta'}(\gamma(T))$  with

$$d(\gamma(T), p_i) = \delta', \quad d(p_i, \xi) = r - T - \delta' \quad (d(\gamma(T), \xi) = r - T)$$

$$\Rightarrow r = d(p_i, \xi) \leq d(p_i, p) + d(p, \xi)$$

$$\Rightarrow d(p, p_i) \geq r - (r - T - \delta') = T + \delta'.$$

Now the path



3/10  $\uparrow$

Thm (Hopf-Binow)  $(M, g)$  connected Riem. TFAE:

1.  $(M, g)$  is geodesically complete, i.e.  $\forall p, \exp_p$  is defined on all of  $T_p M$
2. for some  $p, \exp_p$  is defined on all of  $T_p M$
3. all closed bounded (w.r.t.  $d$ ) subsets of  $M$  are compact
4.  $(M, d)$  is complete as a metric space.

Moreover (by previous thm), any of these imply that  $\forall p, \xi \in M, \exists$  geodesic  $\gamma$  between  $p$  and  $\xi$  s.t.  $l(\gamma) = d(p, \xi)$ .

Pf. 1  $\Rightarrow$  2 obvious.

2  $\Rightarrow$  3:  $K \subset M$  closed, bounded. Then  $\exists R$  with  $K \subset B_R^d(p) =$  ball centered at  $p$  with radius  $R$  in  $d$  metric.

$\Rightarrow K \subset \exp_p(B_R(0))$ :  $\forall \xi \in K, d(p, \xi) < R$ , so by previous thm  $\exists$  geodesic of length  $< R$  between  $p, \xi$

$\Rightarrow K \subset \exp_p(\overline{B_R(0)}) =$  compact since it's the continuous image of compact

$\Rightarrow K = \text{cpt}$  since closed.

3  $\Rightarrow$  4:  $\{p_n\}$  Cauchy  $\Rightarrow$  bounded  $\Rightarrow$  sits in some compact  $\overline{B_R^d(p)}$

$\Rightarrow$  has a convergent subsequence  $\rightarrow$  converges.

4 $\Rightarrow$ 1: Let  $\gamma$  be a geodesic, assume speed=1. Say its maximal domain is  $I \subset \mathbb{R}$ . Local existence  $\Rightarrow I$  is open.

Claim  $I$  is closed. Let  $\{t_n\} \subset I$  satisfy  $t_n \rightarrow t$ ; want  $t \in I$ .

Note  $d(\gamma(t_n), \gamma(t_m)) \leq$  length of  $\gamma$  between  $t_m$  and  $t_n = |t_m - t_n|$ .

$\Rightarrow \{\gamma(t_n)\}$  is Cauchy  $\Rightarrow$  has a limit point  $p \in M$ .

Let  $W \ni p$  be a totally normal nbd, and  $\epsilon$  st.  $W \subset B_\epsilon(x) \forall x \in W$ .

Any geodesic of speed 1 starting at any pt  $x \in W$  is defined at least on  $(-\epsilon, \epsilon)$ . Choose  $n$  st.  $\gamma(t_n) \in W$  and  $|t - t_n| < \frac{\epsilon}{2}$ .

Then  $\gamma$  is defined at least on  $(t_n - \epsilon, t_n + \epsilon)$  and thus at  $t$ .  $\square$

Cor The following are geodesically complete:

- any compact  $M$
- any closed submanifold of a geodesically complete mfd (eg. Euclidean  $\mathbb{R}^n$ ).

---

## Curvature

Gauss: defined "Gaussian curvature" for surfaces.

Then can extend to 2D slices of a mfd: "sectional curvature."

Modern formulation: Curvature tensor, measures deviation from being flat (isometric to Euclidean space).

Def The curvature tensor of  $(M, g)$  is the  $(1, 3)$  tensor  
 $X, Y, Z \in \text{Vect}(M) \rightarrow R(X, Y)Z \in \text{Vect}(M)$   
 defined by

$$R(X, Y)Z = \nabla_Y \nabla_X Z - \nabla_X \nabla_Y Z + \nabla_{[X, Y]} Z$$

where  $\nabla$  = Levi-Civita connection.

⚡ CAUTION: many people use the opposite sign convention.

Note or name: fixing  $X, Y$ , the map  $Z \mapsto R(X, Y)Z$  is a  $(1, 1)$  tensor:

think of  $R(X, Y)$  as being an endomorphism of  $TM$ ,  $R(X, Y): T_p M \rightarrow T_p M$

Check tensor:  $R(fX, Y)Z = \nabla_Y (f \nabla_X Z) - f \nabla_X \nabla_Y Z - \nabla_{[fX, Y]} Z$   
 $f \nabla_Y \nabla_X Z + \cancel{Y(f) \nabla_X Z} \quad \quad \quad \cancel{f(X, Y)} - \cancel{Y(X)Z}$   
 $= f R(X, Y)Z;$

$$\begin{aligned} R(X, Y)(fZ) &= \nabla_Y \nabla_X (fZ) - \nabla_X \nabla_Y (fZ) + \nabla_{[X, Y]} (fZ) \\ &= f R(X, Y)Z + (Yf) \nabla_X Z - (Xf) \nabla_Y Z \\ &\quad + \nabla_Y ((Xf)Z) - \nabla_X ((Yf)Z) + ([X, Y]f)Z \\ &\quad \quad \quad \xrightarrow{(YXf)Z + (Xf) \nabla_Y Z} \quad \quad \quad \xrightarrow{(XYf)Z + (Yf) \nabla_X Z} \\ &= f R(X, Y)Z. \end{aligned}$$

Prop (First) Bianchi identity)  $R(X, Y)Z + R(Y, Z)X + R(Z, X)Y = 0.$

PF LHS =  $\nabla_Y \nabla_X Z - \nabla_X \nabla_Y Z + \nabla_{[X, Y]} Z$   
 $+ \nabla_Z \nabla_Y X - \nabla_Y \nabla_Z X + \nabla_{[Y, Z]} X$   
 $+ \nabla_X \nabla_Z Y - \nabla_Z \nabla_X Y + \nabla_{[Z, X]} Y$   
 $= [X, Y], Z + \text{cyclic permutations} = 0. \quad \square$

$\rightarrow \nabla_{[X, Y]} Z - \nabla_Z [X, Y]$   
 $= [X, Y], Z$



We can turn the (1,3) tensor  $R(X,Y)z$  into a (0,4) tensor by using the metric:

$$\text{define } R(X,Y,z,w) := \langle R(X,Y)z, w \rangle.$$

$$X,Y,z,w \in T_p M \Rightarrow \overset{\circ}{R}$$

Prop 1.  $R(X,Y,z,w) + R(Y,z,X,w) + R(z,X,Y,w) = 0$

2.  $R(X,Y,z,w) = -R(Y,X,z,w)$

3.  $R(X,Y,z,w) = -R(X,Y,w,z)$

4.  $R(X,Y,z,w) = R(z,w,X,Y)$

Pf. 1. Bianchi; 2. obvious

3. equivalently:  $R(X,Y,z,z) = 0$ .

$$R(X,Y,z,z) = \langle \nabla_Y \nabla_X z, z \rangle - \langle \nabla_X \nabla_Y z, z \rangle + \langle \nabla_{[X,Y]} z, z \rangle$$

$$Y \langle \nabla_X z, z \rangle - \cancel{\langle \nabla_X z, \nabla_Y z \rangle} \quad X \langle \nabla_Y z, z \rangle - \cancel{\langle \nabla_Y z, \nabla_X z \rangle}$$

$$= \frac{1}{2} Y X \langle z, z \rangle - \frac{1}{2} X Y \langle z, z \rangle + \frac{1}{2} [X, Y] \langle z, z \rangle$$

$$= 0$$

4.  $R(X,Y,z,w) + R(Y,z,X,w) + R(z,X,Y,w) = 0$

$$R(Y,z,w,X) + R(z,w,Y,X) + R(w,Y,z,X) = 0$$

$$R(z,w,X,Y) + R(w,X,z,Y) + R(X,z,w,Y) = 0$$

$$+ R(w,X,Y,z) + R(X,Y,w,z) + R(Y,w,X,z) = 0$$

---


$$2 R(z,X,Y,w) + 2 R(w,Y,z,X) = 0$$

$$\Rightarrow R(z,X,Y,w) = -R(w,Y,z,X) = R(Y,w,z,X). \quad \square$$

In coordinates: Write  $R_{ijkl} = \langle R(\partial_i, \partial_j) \partial_k, \partial_l \rangle$ ,  $R(\partial_i, \partial_j) \partial_k = R_{ijk}^l \partial_l$ .

The  $R_{ijkl} = R_{ijk}^m g_{ml}$ ,  $R_{ijk}^l = R_{ijkl} g^{ml}$

$$1 \Rightarrow R_{ijke} + R_{jkil} + R_{kije} = 0$$

$$2, 3, 4 \Rightarrow R_{ijke} = -R_{jike} = -R_{ijlk} = R_{krij}.$$

Formula for  $R_{ijk}^l$ :

$$\begin{aligned} R(\partial_i, \partial_j)\partial_k &= \nabla_j \nabla_i \partial_k - \nabla_i \nabla_j \partial_k \\ &= \nabla_j (\Gamma_{ik}^l \partial_l) - \nabla_i (\Gamma_{jk}^l \partial_l) \\ &= \Gamma_{ik}^l \Gamma_{jl}^m \partial_m + (\partial_j \Gamma_{ik}^l) \partial_l - \Gamma_{jk}^l \Gamma_{il}^m \partial_m - (\partial_i \Gamma_{jk}^l) \partial_l \end{aligned}$$

$$\Rightarrow \boxed{R_{ijk}^m = \Gamma_{ik}^l \Gamma_{jl}^m - \Gamma_{jk}^l \Gamma_{il}^m + \partial_j \Gamma_{ik}^m - \partial_i \Gamma_{jk}^m}$$

ex.  $\mathbb{R}^n$ , Euclidean metric:  $R_{ijk}^m = 0 \quad \forall i, j, k, m.$

## Sectional Curvature

Idea: if  $n=2$  then curvature tensor is determined by one number,  $R_{1212}$ . In general, slice by a plane to get a 2-d sub.

Def  $\sigma \subset T_p M$  2-dimensional subspace. Then the Sectional Curvature of  $\sigma$  at  $p$  is, for any basis  $X, Y$  of  $\sigma$ ,

$$K(\sigma) := \frac{R(X, Y, X, Y)}{|X \wedge Y|^2} \quad \text{where } |X \wedge Y|^2 := \det \begin{bmatrix} g(X, X) & g(X, Y) \\ g(Y, X) & g(Y, Y) \end{bmatrix}.$$

Check if we replace  $X, Y$  by another basis, this doesn't change.

Just need to check 3 elementary changes of basis:

1.  $(X, Y) \rightarrow (Y, X)$ :  $R(X, Y, X, Y) = R(Y, X, Y, X)$  and  $|X \wedge Y|^2 = |Y \wedge X|^2$

2.  $(X, Y) \rightarrow (\lambda X, Y)$ :  $R(\lambda X, Y, \lambda X, Y) = \lambda^2 R(X, Y, X, Y)$  and  $|(\lambda X) \wedge Y|^2 = \lambda^2 |X \wedge Y|^2$

3.  $(X, Y) \rightarrow (X+Y, Y)$ :  $|X+Y \wedge Y|^2 = |X \wedge Y|^2$  and  $\det \begin{bmatrix} \lambda^2 g(X, X) & \lambda g(X, Y) \\ \lambda g(Y, X) & g(Y, Y) \end{bmatrix}$

$$R(X+Y, Y, X+Y, Y) = R(X, Y, X+Y, Y) + R(Y, Y, X+Y, Y)$$

$$= R(X, Y, X, Y) + R(X, Y, Y, Y) = R(X, Y, X, Y).$$

3/22 ↑

Prop The sectional curvature at a point determines the curvature tensor.

Pf  $\frac{\partial^2}{\partial \alpha \partial \beta} \Big|_{\alpha=\beta=0} \left[ \begin{array}{l} \text{determined by } \kappa \text{ (plane thru } X+\alpha Z, Y+\beta W) \\ R(X+\alpha Z, Y+\beta W, X+\alpha Z, Y+\beta W) \\ - R(X+\alpha W, Y+\beta Z, X+\alpha W, Y+\beta Z) \\ \text{determined by } \kappa \text{ (plane thru } X+\alpha W, Y+\beta Z) \end{array} \right]$

$$= \underline{R(Z, W, X, Y)} + \underline{R(Z, Y, X, W)} + \underline{R(X, W, Z, Y)} + \underline{R(X, Y, Z, W)}$$

$$- \underline{R(W, Z, X, Y)} - \underline{R(W, Y, X, Z)} - \underline{R(X, Z, W, Y)} - \underline{R(X, Y, W, Z)}$$

$$= 4 \underline{R(X, Y, Z, W)} - \underline{R(Y, X, Z, W)} + \underline{R(Y, X, W, Z)}$$

(Bianchi)

$$= 6 R(X, Y, Z, W). \quad \square$$

Def  $(M, g)$  has constant sectional curvature if  $\kappa(\sigma) = \text{constant } \kappa_0 \quad \forall p \in M \text{ and } \forall \sigma = 2\text{-plane at } p.$

Ex.  $(\mathbb{R}^n, \text{flat})$   $(\mathbb{R}^n/\mathbb{Z}^n = \mathbb{T}^n, \text{flat})$   
 $(S^n, \text{round})$   
 $(\mathbb{H}^n, \text{hyperbolic})$

more generally  $M/G, M = \text{const sectional curvature}, G = \text{gp of isometries}$

$\rightarrow$  in fact this gives all const sectional curvature.

Prop Constant sectional curvature  $\kappa_0$   
 $\Leftrightarrow R(X, Y, Z, W) = \kappa_0 (\langle X, Z \rangle \langle Y, W \rangle - \langle X, W \rangle \langle Y, Z \rangle).$

Pf Call  $R' = R'(X, Y, Z, W)$ . Easy to check: this satisfies all same properties as  $R$ . Also "sectional curvature"  $\kappa'(X, Y) = \frac{R'(X, Y, X, Y)}{\|X \wedge Y\|^2} = \frac{\kappa_0 (\langle X, X \rangle \langle Y, Y \rangle - \langle X, Y \rangle \langle Y, X \rangle)}{\|X \wedge Y\|^2} = \kappa_0$

So since sectional curvature determines Riem curv,  $R = R'$ .  $\square$

## Two more curvatures

So far:  $X, Y, Z, W \rightarrow R(X, Y, Z, W)$

Several approaches.

$\sigma \rightarrow K(\sigma)$  sectional curv.

First, in terms of an orthonormal basis  $\{e_1, \dots, e_n\}$  of  $T_p M$ :

Def  $X, Y \in T_p M$ . The

*↳ other books  
may have  
different  
normalizations*

Ricci tensor  $\text{Ric}_p(X, Y) = \frac{1}{n-1} \sum_{i=1}^n R(X, e_i, Y, e_i)$  (0,2) tensor

Ricci curvature  $\text{Ric}_p(X) = \text{Ric}_p(X, X)$  quadratic form

(note:  $\text{Ric}_p(X, Y)$  is a symmetric bilinear form so it's determined by  $\text{Ric}_p(X)$ )

Scalar curvature  $S(p) = \frac{1}{n} \sum_{i=1}^n \text{Ric}_p(e_i) = \left( = \frac{1}{n(n-1)} \sum_{i,j} R(e_i, e_j, e_i, e_j) \right)$ .

These are independent of the choice of  $e_1, \dots, e_n$ :

Define  $\varphi_{X,Y}: T_p M \rightarrow T_p M$  by  $\varphi_{X,Y}(Z) = R(X, Z)Y$ .

Then

$\text{Ric}_p(X, Y) = \frac{1}{n-1} \text{Tr } \varphi_{X,Y}$  indep. of basis.

Now  $\text{Ric}_p(X, Y)$  is a bilinear form so  $\exists T: T_p M \rightarrow T_p M$  linear st.

$$\text{Ric}_p(X, Y) = \langle T(X), Y \rangle.$$

Then

$$S(p) = \frac{1}{n} \text{Tr } T : \quad \text{note } \text{Ric}_p(e_i, e_i) = \langle T(e_i), e_i \rangle = (i,i) \text{ entry of } T.$$

Local coordinates: note  $\{\partial_i\}$  isn't usually orthonormal.

$$R_{ij} := \text{Ric}_p(\partial_i, \partial_j).$$

The map  $\varphi_{\partial_i, \partial_j}: Z \mapsto R(\partial_i, Z)\partial_j$  sends  $\partial_k \mapsto R_{ikj}^l \partial_l$

so the  $(k, l)$  entry of  $\varphi_{\partial_i, \partial_j}$  is  $R_{ikj}^l$

$$\rightarrow R_{ij} = \frac{1}{n-1} \text{tr } \varphi_{\partial_i, \partial_j} = \boxed{\frac{1}{n-1} R_{ikj}^k = \frac{1}{n-1} R_{ikj}^k g^{jk} = R_{ij}}$$

$$R_{ij} = \langle T(\partial_i), \partial_j \rangle = \langle T_i^k \partial_k, \partial_j \rangle = T_i^k g_{kj} \Rightarrow T_i^k = R_{ij} g^{jk}$$

$$\Rightarrow S = \frac{1}{n} \text{Tr} T = \frac{1}{n} T_i^i = \frac{1}{n} R_{ij} g^{ji} = \frac{1}{n(n-1)} R_{ikj} g^{ji} = S$$

$$= \frac{1}{n(n-1)} R_{ikjl} g^{ij} g^{kl}$$

What are these curvatures?

Weyl: view the curvature tensor algebraically.

Let  $V = T_p M$ . Recall a  $(0,2)$  tensor is in  $V^* \otimes V^*$ ,

antisymmetric is in  $\Lambda^2 V^*$ . The curvature tensor  $R$  is in:

$$V^* \otimes V^* \otimes V^* \otimes V^* \supset (\Lambda^2 V^*) \otimes (\Lambda^2 V^*) \supset \text{Sym}^2 \Lambda^2 V^* \leftarrow$$

Define the Bianchi map  $b: \text{Sym}^2 \Lambda^2 V^* \rightarrow$

$$b(T)(X, Y, Z, W) = T(X, Y, Z, W) + T(Y, Z, X, W) + T(Z, X, Y, W).$$

Then  $R \in \ker b =: C(V) \subset \text{Sym}^2 \Lambda^2 V^*$  ← space of curvature tensors on  $V$

Note  $O(n)$  acts on  $V, V^*, \text{Sym}^2 \Lambda^2 V^*, C(V)$ .

Fact: we can decompose  $C(V)$  as an  $O(n)$ -module:  
orthonogally

$$C(V) = \mathbb{R} \oplus \text{Sym}_0^2 V^* \oplus W(V)$$

↑  
traceless sym bilinear forms  
all sym bilinear forms =  $\text{Sym}^2 V^*$

where the map  $C(V) \rightarrow \text{Sym}^2 V^*$  is  $(X, Y) \mapsto \text{tr} T(X, \cdot, \cdot, Y, \cdot)$

and the map  $\text{Sym}^2 V^* \rightarrow \mathbb{R}$  is  $T \mapsto \text{tr} T$ .

$$\text{So } \begin{array}{ccccc} \mathbb{R} & \longrightarrow & \text{Ric}(X, Y) & \longrightarrow & S(p) \\ \uparrow & & \uparrow & & \uparrow \\ C(V) & & \text{Sym}^2 V^* & & \mathbb{R} \end{array}$$

What about  $W(V)$ ? The component of  $R$  in  $W(V)$  is the Weyl tensor of  $R$ .

Facts: • if  $n \geq 5$ ,  $W(V)$  is irreducible

• if  $n \leq 3$ ,  $W(V) = 0$ . ( $R$  is determined by Ric!)

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Review:

(1,3) Curvature tensor  $R(X, Y)Z = \nabla_Y \nabla_X Z - \nabla_X \nabla_Y Z + \nabla_{[X, Y]} Z$

(0,4) Curvature tensor  $R(X, Y, Z, W) = \langle R(X, Y)Z, W \rangle$

Sectional curvature  $\sigma \subset T_p M$  2-plane,  $e_1, e_2 = \text{ONB}$  for  $\sigma$

$\Rightarrow K(\sigma) = R(e_1, e_2, e_1, e_2)$

Ricci tensor  $\text{Ric}_p(X, Y) = \frac{1}{n-1} \sum_{i=1}^{n-1} R(X, e_i, Y, e_i)$  ( $\{e_1, \dots, e_{n-1}\}$  ONB for  $T_p M$ )

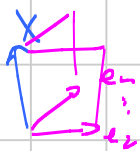
Ricci curvature  $\text{Ric}_p(X) = \text{Ric}_p(X, X)$

Scalar curvature  $S(p) = \frac{1}{n} \sum_{i=1}^n \text{Ric}_p(e_i)$ .

If  $X = \text{unit vector in } T_p M$ , then

$\text{Ric}_p(X) = \text{average sectional curvature of planes through } X$ .

Complete  $X$  to an ONB  $X, e_2, \dots, e_n$ , and let  $\sigma_i = \langle X, e_i \rangle$ .



$K(\sigma_i) = R(X, e_i, X, e_i)$ ,

$\text{Ric}_p(X) = \frac{1}{n-1} \sum_{i=2}^n K(\sigma_i)$  (note  $R(X, X, X, X) = 0$ ).

Nice computation: parametrize planes through  $X$  by

$v \in \{\text{unit vectors } \perp \text{ to } X\} = S^{n-2}$ . With the usual measure on  $S^{n-2}$ ,

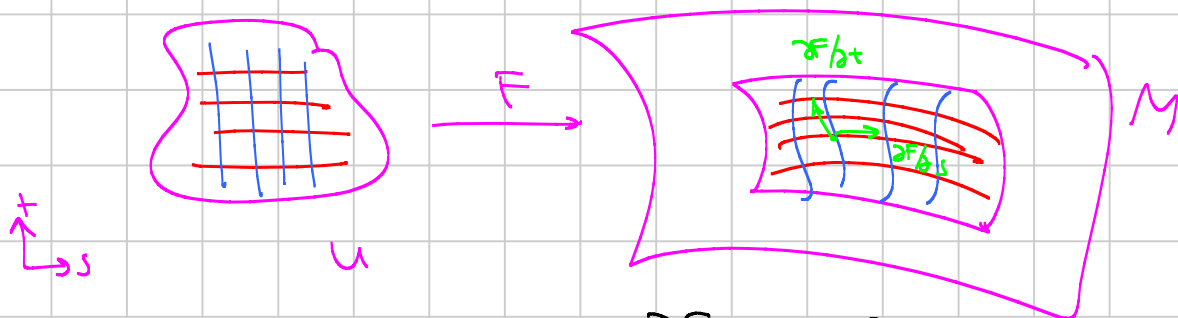
the average  $K(\sigma_v)$  over all  $v$  is  $\text{Ric}_p X$ .

In particular: for a space of constant sectional curvature  $K_0$ ,

$\text{Ric}_p(X) = K_0 |X|^2$ ,  $\text{Ric}_p(X, Y) = K_0 \langle X, Y \rangle$ ,  $S = K_0$ .

## Derivatives on Surfaces

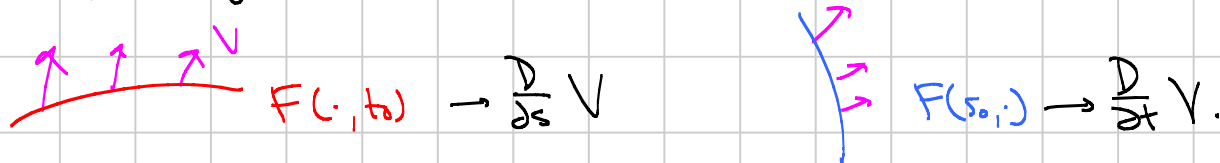
Def A parametrized surface is a smooth map  $F: U \rightarrow M$ .



Write  $\frac{\partial F}{\partial s} = F_* \left( \frac{\partial}{\partial s} \right)$ ,  $\frac{\partial F}{\partial t} = F_* \left( \frac{\partial}{\partial t} \right)$ .

Note  $F(s_0, \cdot)$ ,  $F(\cdot, t_0)$  are curves in  $M$  for fixed  $s_0, t_0$ .

A vector field  $V$  along the surface is in particular a vector field along each of these curves: can define " $\frac{D}{dt}$ " for  $V$  along these curves.



$\Rightarrow$  get vector fields  $\frac{D}{\partial s} V$ ,  $\frac{D}{\partial t} V$  on the surface.

Prop  $\frac{D}{\partial s} \frac{\partial F}{\partial t} = \frac{D}{\partial t} \frac{\partial F}{\partial s}$ .

PF.  $\nabla_{\frac{\partial F}{\partial s}} \frac{\partial F}{\partial t} - \nabla_{\frac{\partial F}{\partial t}} \frac{\partial F}{\partial s} = \left[ \frac{\partial F}{\partial s}, \frac{\partial F}{\partial t} \right] = F_* \left[ \frac{\partial}{\partial s}, \frac{\partial}{\partial t} \right] = 0$ .

Prop  $\frac{D}{\partial t} \frac{D}{\partial s} V - \frac{D}{\partial s} \frac{D}{\partial t} V = R \left( \frac{\partial F}{\partial s}, \frac{\partial F}{\partial t} \right) V$ .

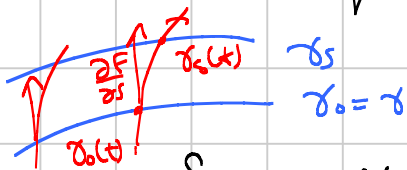
PF More or less by definition:  $\frac{D}{\partial s} V = \nabla_{\frac{\partial F}{\partial s}} V$ ,  $\frac{D}{\partial t} V = \nabla_{\frac{\partial F}{\partial t}} V$   
and  $\left[ \frac{\partial F}{\partial s}, \frac{\partial F}{\partial t} \right] = F_* \left[ \frac{\partial}{\partial s}, \frac{\partial}{\partial t} \right] = 0$ .  $\square$

# Jacobi vector fields

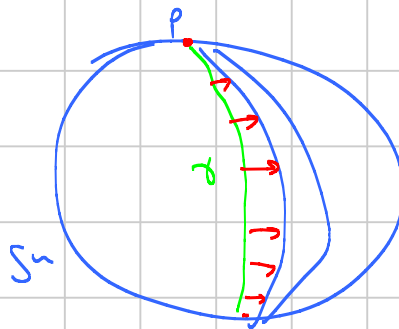
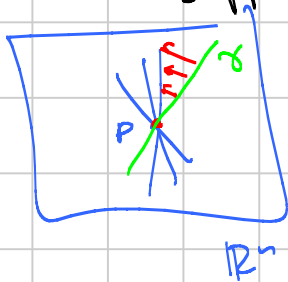
Let  $\gamma = \text{geodesic}$ .

Say we have a 1-parameter family of geodesics  $\gamma_s(t)$ ,  $s \in (-\epsilon, \epsilon)$ , smoothly varying,  $\gamma_0 = \gamma$ . Define  $F(s,t) = \gamma_s(t)$ .

The infinitesimal change in geodesic is  $\frac{\partial}{\partial s} F(s,t)$ : at  $s=0$  this is a vector field along  $\gamma_0$ .



Suppose  $\gamma_s(0) = p \forall s$ . Then  $\frac{\partial F}{\partial s}$  measures the "spread" of the geodesics.

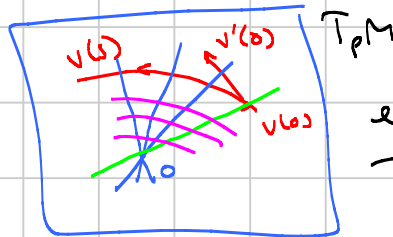
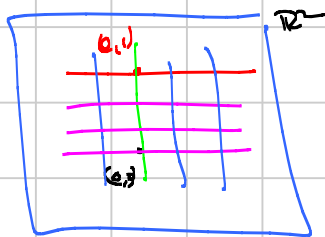


The difference between these is curvature.

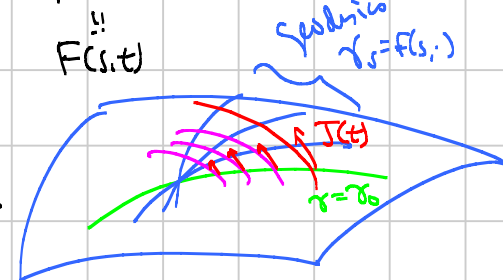
## Special case

Let  $\gamma_s(t)$  be a family of geodesics with  $\gamma_s(0) = p \forall s$ .

Each  $\gamma_s$  is determined by  $\gamma'_s(0) = v(s)$ :  $\gamma_s(t) = \exp(t v(s))$ .



$\exp_t$



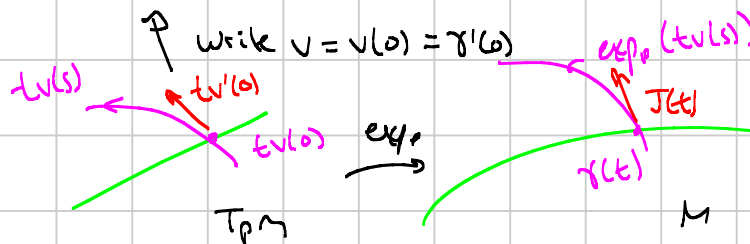
$(s,t) \mapsto t v(s)$

Then the infinitesimal change at  $\gamma = \gamma_0$  is

$$J(t) := \frac{\partial F}{\partial s}(0,t) = (d(\exp_p))_{tv(0)}(tw)$$

where  $v = v(0) = \gamma'(0)$

$w = v'(0) \in T_p M$ .

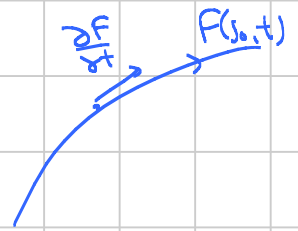




Differential equation for  $J(t)$ :

Fixing  $s = s_0 \Rightarrow F(s_0, t)$  is a geodesic  $\Rightarrow \frac{D}{dt} \frac{\partial F}{\partial t} = 0$

$$\begin{aligned} \Rightarrow 0 &= \frac{D}{ds} \frac{D}{dt} \frac{\partial F}{\partial t} \\ &= \frac{D}{dt} \frac{D}{ds} \frac{\partial F}{\partial t} - R\left(\frac{\partial F}{\partial s}, \frac{\partial F}{\partial t}\right) \frac{\partial F}{\partial t} \\ &= \frac{D}{dt} \frac{D}{ds} \frac{\partial F}{\partial t} + R\left(\frac{\partial F}{\partial t}, \frac{\partial F}{\partial s}\right) \frac{\partial F}{\partial t}. \end{aligned}$$



Plug in  $s = 0$ :

$$0 = \frac{D^2}{dt^2} J(t) + R(\gamma', J) \gamma' \quad (*)$$

Def A vector field  $J$  along a geodesic  $\gamma: [0, a] \rightarrow M$  is a Jacobi field if  $(*)$  holds.

So, if  $F(s, t) = \gamma_s(t)$  is a family of geodesics (no assumption on  $\gamma_s(0)$ ) then  $\frac{\partial F}{\partial s}(0, t) = J(t)$  is a Jacobi field along  $\gamma_0 = \gamma$ .

Prop 3! Jacobi field for sufficiently small  $a$  and specified initial conditions

$$\begin{aligned} J(0), J'(0) &\in T_p M. \\ & \text{"} \\ & \frac{D}{dt} J(0) \end{aligned}$$

PF Choose ONB  $e_1, \dots, e_n$  of  $T_p M \rightsquigarrow$  by parallel transport, get  $e_i(t), \dots, e_n(t)$  ONB along  $\gamma$ . Want to find

$$\begin{aligned} J(t) &= \sum_i f^i(t) e_i(t) \\ \Rightarrow \frac{DJ}{dt} &= \sum_i \frac{df^i}{dt} e_i(t) \quad \text{since } e_i(t) \text{ parallel} \\ \Rightarrow \frac{D^2 J}{dt^2} &= \sum_i \frac{d^2 f^i}{dt^2} e_i(t). \end{aligned}$$

Write  $r_{ij}(t) = \langle R(\gamma'(t), e_i(t)) \gamma'(t), e_j(t) \rangle$ . Then

$$(*) \text{ holds } \Leftrightarrow \frac{d^2 f^i}{dt^2} e_i(t) + f^j R(\gamma', e_j) \gamma' = 0$$

$$\Leftrightarrow \frac{d^2 f^i}{dt^2} + f^j(t) r_{ij}(t) = 0.$$

This is a 2nd order linear system of ODEs.  $\square$

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Cor The vector space of Jacobi v.f.s along a geodesic is  $2n$ -dimensional.

Two "trivial" Jacobi v.f.s:

$$\cdot J_1(t) = \gamma'(t) \quad \frac{D}{dt} \gamma' = 0 \quad J_1(0) = \gamma'(0), J_1'(0) = 0$$

this corresponds to the variation  $F(s, t) = \gamma_s(t) = \gamma(t+s)$

$$\cdot J_2(t) = t \gamma'(t) \quad \frac{D^2}{dt^2} (t \gamma'(t)) = 0 \quad J_2(0) = 0, J_2'(0) = \gamma'(0)$$

this corresponds to  $F(s, t) = \gamma_s(t) = \gamma((s+1)t)$ .

Note:  $\{ \text{Jacobi along } \gamma(t) \} \xrightarrow{J(t)} \mathbb{R}^2$   
 $J(t) \longmapsto \langle J(0), \gamma'(0) \rangle, \langle J'(0), \gamma'(0) \rangle$

this is a surjective linear map because of  $J_1, J_2$ . The kernel is  $\{ \text{Jacobi with } J(0), J'(0) \perp \gamma'(0) \} = (2n-2)$ -dim vector space.

Prop  $\langle J(t), \gamma'(t) \rangle = \langle J'(0), \gamma'(0) \rangle t + \langle J(0), \gamma'(0) \rangle$

so  $J(0), J'(0) \perp \gamma'(0) \Leftrightarrow J(t) \perp \gamma'(t) \forall t$ .

PF Write  $|\gamma'(t)| = a$ . Then  $e_i(t) = \frac{\gamma'(t)}{a}$ .

$$\langle J(t), \gamma'(t) \rangle = a f^i(t) \quad , \quad r_{ij}(t) = \langle R(\gamma', e_j) \gamma', e_i \rangle = 0$$

$$\Rightarrow \frac{d^2 f^i}{dt^2} = 0 \Rightarrow \langle J(t), \gamma'(t) \rangle = At + B.$$

$$t=0 \Rightarrow B = \langle J(0), \gamma'(0) \rangle; \quad A = \frac{d}{dt} \Big|_{t=0} \langle J, \gamma' \rangle = \langle J', \gamma' \rangle(0). \quad \square$$

Break up [Jacobi v.f.] into subspace:

dim	w/ restriction	J normal to $\gamma$	
$J(0)$ arbitrary	$2n$	$2n-2$	← cut out $J_1, J_2$
$J(0)=0$	$n$	$n-1$	← cut out $J_2$

These are achieved by the special case  $F(s,t) = \exp_p(tv(s))$   
 (infinitesimal variation  $\gamma_s$  with  $\gamma_s(0) = p \forall s$ ). In this case:

$J(0) = 0$  since  $F(s,0) = p$ . Also:

$$J(t) = (d \exp_p)_{tv} (tw) \quad \text{where } w = v'(0)$$

$$\Rightarrow \frac{DJ}{dt}(0) = \frac{D}{dt} \Big|_{t=0} (t(d \exp_p)_{tv}(w)) = (d \exp_p)_0(w) = w.$$

$$\text{So: } J(0) = 0, \quad J'(0) = \frac{DJ}{dt}(0) = w.$$

Conversely:

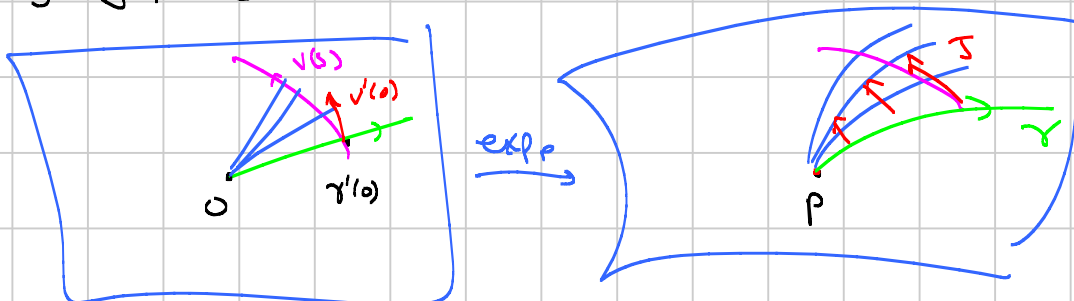
Prop  $\gamma: [0,a] \rightarrow M$  geodesic,  $J =$  Jacobi vector field along  $\gamma$  with  $J(0) = 0$ .

Then  $\exists$  family of geodesics  $F(s,t) = \gamma_s(t)$  with  $\gamma_0 = \gamma$

$$\text{st. } J(t) = \frac{\partial F}{\partial s}(0,t).$$

actually holds without this too.

PF Try  $F(s,t) = \exp_p(tv(s))$ . Choose path  $\{v(s)\} \subset T_p M$   
 with  $v(0) = \gamma'(0)$ ,  $v'(0) = J'(0)$ . Then  $\frac{\partial F}{\partial s}(0,t) =: \bar{J}(t)$  is  
 a Jacobi vector field, and  $J, \bar{J}$  have same initial conditions  
 so  $J = \bar{J}$ .  $\square$



Now:  $F(s, t) = \exp(t\nu(s))$ ,  $J(t) = \frac{\partial F}{\partial s}(0, t)$ ,  $\nu(0) = \nu = \gamma'(0)$   
 $J(0) = 0$ ,  $J'(0) = w = \nu'(0)$ . Assume  $|w| = 1$ .

Prop With these assumptions:

$$|J(t)|^2 = t^2 - \frac{1}{3} R(\nu, w, \nu, w) t^4 + o(t^4)$$

$$\lim_{t \rightarrow 0} \frac{o(t^4)}{t^4} = 0.$$

Pf  $J(0) = 0$ ,  $J'(0) = w$ ,  $J''(0) = -R(\gamma', J)\gamma'(0) = 0$ .

$$\langle J, J \rangle(0) = 0$$

$$\langle J, J \rangle'(0) = 2 \langle J(0), J'(0) \rangle = 0$$

$$\langle J, J \rangle''(0) = 2 \langle J, J'' \rangle(0) + 2 \langle J', J' \rangle(0) = 2$$

$$\langle J, J \rangle'''(0) = 2 \langle J, J''' \rangle(0) + 6 \langle J', J'' \rangle(0) = 0$$

$$\langle J, J \rangle^{(4)}(0) = 2 \langle J, J^{(4)} \rangle(0) + 8 \langle J', J''' \rangle(0) + 6 \langle J'', J'' \rangle(0)$$

To calculate  $J'''(0)$ , note for any vector field  $W$  along  $\gamma$ :

$$\langle R(\gamma', J)\gamma', W \rangle = \langle R(\gamma', W)\gamma', J \rangle$$

$$\frac{d}{dt} \Big|_{t=0} \rightarrow \langle \underbrace{D_{\gamma'} R(\gamma', J)\gamma', W}_{-J''} \rangle = \langle R(\gamma', W)\gamma', J' \rangle(0)$$

$$= \langle R(\gamma', J')\gamma', W \rangle(0)$$

$$- \langle J'''(0), W(0) \rangle$$

$$\rightarrow J'''(0) = -R(\gamma', J')\gamma'(0) = -R(\nu, w)\nu$$

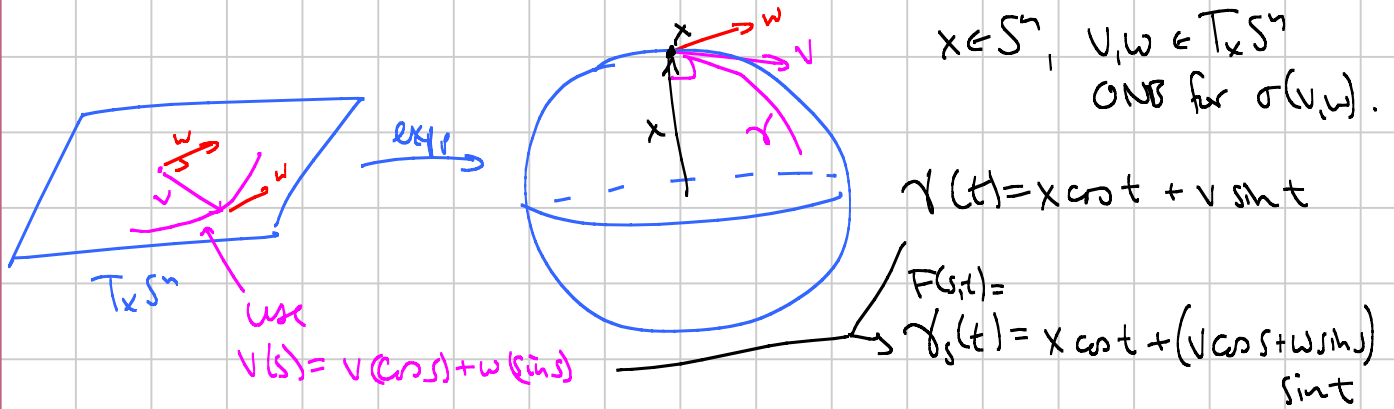
$$\Rightarrow \langle J, J \rangle^{(4)}(0) = 8 \langle J', J''' \rangle(0) = -8 R(\nu, w, \nu, w). \quad \square$$

Cor If  $|v| = 1$  ( $\gamma$  par. by arclength),  $|w| = 1$ ,  $\langle \nu, w \rangle = 0$ ,

and  $\sigma =$  plane gen'd by  $\nu, w$ , then

$$|J(t)|^2 = t^2 - \frac{1}{3} K(\sigma) t^4 + o(t^4).$$

Can use this to calculate sectional curvature for  $(S^n, \text{round metric})$ .



$$\Rightarrow J(t) = \frac{\partial F}{\partial s}(0, t) = w \sin t$$

$$\Rightarrow |J(t)|^2 = \sin^2 t = \left(t - \frac{t^3}{6} + \dots\right)^2 = t^2 - \frac{t^4}{3} + \dots$$

$$\Rightarrow K(\sigma(v, w)) = 1.$$

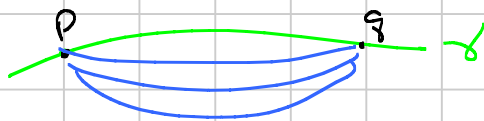
(Similarly for sphere of radius  $R$ :  $K(\sigma(v, w)) = \frac{1}{R^2}$ .)

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## Conjugate Points

Def  $\gamma: [a, b] \rightarrow M$  geodesic. Two points  $p = \gamma(t_0), q = \gamma(t_1)$  along  $\gamma$  are conjugate if  $\exists$  nonzero Jacobi field  $J$  along  $\gamma$  with  $J(t_0) = J(t_1) = 0$ .

One way to get this:  $\gamma =$  part of a family of geodesics between  $p$  and  $q$ .



The dimension of the vector space  $\{J(t_0) = J(t_1) = 0, J \text{ Jacobi}\}$  is the multiplicity of the conjugate point (think:  $k$ -dim family of geodesics).

Ex.  $(S^n, \text{round})$ : antipodal pts are conjugate along any geodesic, with multiplicity  $(n-1)$ .

Def  $q$  is the first conjugate to  $p$  along  $\gamma$  if no other conjugate points before  $q$ . The Conjugate locus of  $p$  is  $\{\text{first conjugates}\}$  over all geodesics.

Ex:  $S^n$ : conjugate locus of  $p$  is  $\{-p\}$

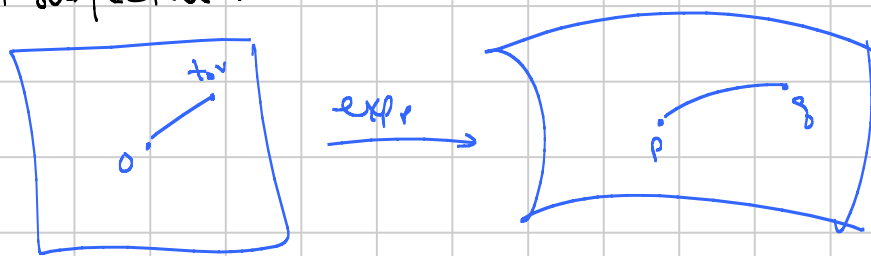
2-d ellipsoid:  
(more generic)



$\mathbb{R}^n$ : no conjugate locus (Jacobi fields satisfy  $J''(t)=0$ )

Prop  $\gamma: [0, a] \rightarrow M$  geodesic,  $\gamma(0)=p$ ,  $\gamma(t) = \exp_p(tv)$ ,  $v = \gamma'(0)$ .

Then  $q = \gamma(t_0) = \exp_p(t_0v)$  is conjugate to  $p$  along  $\gamma \iff t_0v$  is a critical point of  $\exp_p$ , i.e.  $d(\exp_p)_{t_0v}: T_pM \rightarrow T_qM$  is not surjective.



Pf  $q$  conjugate  $\iff \exists$  nonzero Jacobi field  $J(t)$  with  $J(0)=J(t_0)=0$ .  
Recall if  $J'(0)=w \neq 0$  then  $J(t) = d(\exp_p)_{tv}(tw)$ , so

$\exists J \neq 0$  with  $J(t_0)=0 \iff \exists w \neq 0$  with  $d(\exp_p)_{t_0v}(w) = 0 \iff \ker d(\exp_p)_{t_0v} \neq \emptyset$ .  $\square$

## Hadamard (Hadamard-Cartan) Theorem

by Hopf-Rinow,  $\exp_p$  is defined on all of  $T_p M$

$M$  complete Riemannian with nonpositive sectional curvature:

$$K(\sigma) \leq 0 \quad \forall \sigma \in T_p M \quad \forall p.$$

Then  $\forall p \in M$ ,  $\exp_p: T_p M \xrightarrow{\cong \mathbb{R}^n} M$  is a covering map.

If  $M$  is simply connected, then  $\exp_p: \mathbb{R}^n \rightarrow M$  is a diffeomorphism.

Ex:  $\mathbb{R}^n, \mathbb{H}^n$ ; not  $S^n$  (cor:  $S^n$  can't have a metric of  $\leq 0$  sect. curv.)

Lemma 1  $M$  (good) complete Riem, nonpositive sectional curvature.

(1) Conjugate locus  $(p) = \emptyset \quad \forall p \in M$ : no conjugate pts to  $p$  along any geodesic.

(2)  $\exp_p$  is a local diffe.

Pf (1)  $J$  = Jacobi field along  $\gamma$ ,  $\gamma(0) = p$ ,  $\gamma(t_0) = q$ ,  $J(0) = J(t_0) = 0$ .

$$\frac{d}{dt} \langle J(t), J(t) \rangle = 2 \langle J', J \rangle$$

$$\frac{d^2}{dt^2} \langle J, J \rangle = 2 \langle J', J' \rangle + 2 \langle J'', J \rangle$$

$$= 2 |J'|^2 - 2 \langle R(\gamma', J) \gamma', J \rangle$$

$$= 2 |J'|^2 - 2 K(\sigma) \underbrace{|\gamma' \wedge J|^2}_{\geq 0}$$

$$\geq 0. \quad \text{sign by } \gamma', J$$

But if  $J$  has two zeros then  $|J|^2$  has a max, where  $\frac{d^2}{dt^2} |J|^2 < 0$ .

(2) Follows from previous prop.  $\square$

Lemma 2  $M, N$  Riem,  $M$  good, complete,  $f: M \rightarrow N$  surjective local isometry (in particular, local diffe). Then  $f$  is a covering map.

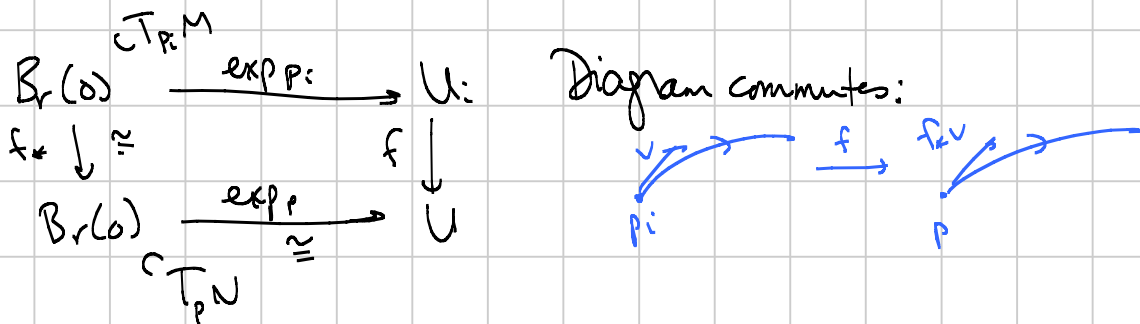
PF  $p \in N$ ,  $f^{-1}(p) = \{p_i\}$ . Let  $B_r(p) = \text{normal ball in } N$ ,  $\exp_p: B_r(0) \rightarrow B_r(p)$  diffe.  
 Write  $U = B_r(p)$ ,  $U_i = \exp_{p_i}(B_r(0)) \subset M$  ( $\exists$  since complete).



Claim:  $f^{-1}(U) = \coprod U_i$ ,  $f: U_i \rightarrow U$  diffeo.

①  $f(U_i) \subset U$ :  $q \in U_i \Rightarrow \exists$  geodesic  $\gamma$  from  $p_i$  to  $q$ ,  $\ell(\gamma) < r$ .  
 Local isometry  $\Rightarrow f \circ \gamma = \text{geodesic from } p \text{ to } f(q)$ ,  
 $\ell(f \circ \gamma) < r \Rightarrow f(q) \in U$ .

②  $f: U_i \rightarrow U$  diffeo.



Since  $f_*$ ,  $\exp_p$  are diffeos,  $\exp_{p_i}$  is injective  $\Rightarrow$  bijective, so  $f$  is bijective  $\Rightarrow \exp_{p_i}, f$  are diffeos.

③ PF of claim:  $f^{-1}(U) = \coprod U_i$ . Suppose  $\bar{q} \in f^{-1}(U)$ ,  $q = f(\bar{q})$ .



Reverse geod.  $p \rightarrow q$  to get geod.  $\gamma$  from  $q$  to  $p$ .

Write  $v = \gamma'(0) \Rightarrow \exists$  geodesic  $\bar{\gamma}$  with  $\bar{\gamma}(0) = \bar{q}$

$\bar{\gamma}'(0) = (f_*)^{-1}(v)$ . Then  $f \circ \bar{\gamma} = \gamma$  so

the endpt of  $\bar{\gamma}$  is some  $p_i \Rightarrow \bar{q} \in U_i$ .

If  $\exists 2$  geods  $\bar{\gamma}_1, \bar{\gamma}_2$  from  $\bar{q}$  to  $p_1, p_2$  then they must project to the same geodesic from  $q$  to  $p$  by uniqueness.  $\Rightarrow \square$



Pf of Hadamard  $\exp_p: T_p M \rightarrow M$  well-defined, surjective.  
 Lemma 1  $\Rightarrow$  local diffeos. So can pull back metric on  $M$  to  
~~get~~ metric on  $T_p M$ ; then  $\exp_p$  is a local isometry.  
 Now straight lines  $\{tv\}$  through  $0 \in T_p M$  are geodesics since  
 they map to geodesics, so by Hopf-Linow,  $T_p M$  is geod.  
 Complete. Lemma 2  $\Rightarrow$  covering map.  $\square$

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## Variations of Energy

Idea:  $p, q \in M \rightarrow$  let  $\mathcal{P}(p, q) = \{\text{piecewise diff'ble paths from } p \text{ to } q\}$ .

length gives a map  $l: \mathcal{P}(p, q) \rightarrow \mathbb{R}_{\geq 0}$ :

$$l(\gamma) = \int_a^b \langle \gamma'(t), \gamma'(t) \rangle^{1/2} dt \quad \gamma: [a, b] \rightarrow M$$

A minimizing geodesic is a global minimum for  $l$ .

More generally, a geodesic is a critical pt for  $l$ :

" $dl_\gamma: T_\gamma \mathcal{P}(p, q) \rightarrow \mathbb{R}$ " satisfies  $dl_\gamma = 0$ .

We'll make this precise.

First note: if  $\gamma$  is a length-minimizing curve from  $p$  to  $q$ ,

$$l(\gamma) \leq l(\tilde{\gamma}) \quad \forall \tilde{\gamma} \in \mathcal{P}(p, q),$$

then  $\gamma$  is a reparametrization of a geodesic.

Can get rid of reparam. by considering instead the energy function

$$E(\gamma) = \int_a^b \langle \gamma'(t), \gamma'(t) \rangle dt$$

which, unlike  $l(\gamma)$ , changes under reparam.

Prop  $\gamma: [a, b] \rightarrow M$  length-min geodesic between  $p$  and  $q$ . Then  $E(\gamma) \leq E(\tilde{\gamma})$

for any  $\tilde{\gamma}: [a, b] \rightarrow M$  between  $p$  and  $q$ , equality  $\Leftrightarrow \tilde{\gamma}$  = length-min. geodesic.

Lemma  $l(\gamma)^2 \leq (b-a)E(\gamma)$  for any path  $\gamma$ , equality  $\Leftrightarrow$  Constant speed.

PF  $l(\gamma)^2 = \left( \int_a^b |\gamma'(t)| dt \right)^2 \stackrel{\text{Cauchy-Schwarz}}{\leq} \left( \int_a^b 1 dt \right) \left( \int_a^b |\gamma'(t)|^2 dt \right) = (b-a)E(\gamma)$ ,

equality  $\Leftrightarrow |\gamma'(t)|, 1$  are proportional.  $\square$

PF of Prop  $(b-a)E(\gamma) = l(\gamma)^2 \leq l(\tilde{\gamma})^2 \leq (b-a)E(\tilde{\gamma})$ ,  
equality  $\Leftrightarrow l(\gamma) = l(\tilde{\gamma})$  and  $\tilde{\gamma}$  has constant speed.  $\square$

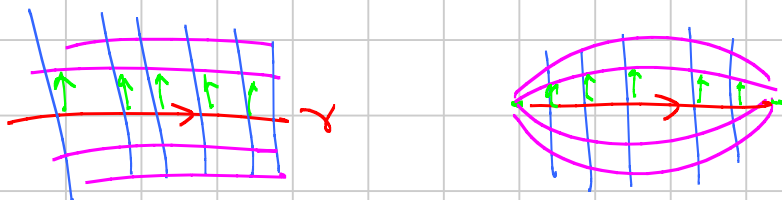
Next: define " $T_x \mathcal{P}(p, q)$ " = infinitesimal deformation of a path.

Def A variation of  $\gamma: [a, b] \rightarrow M$  is a smooth map

$$F: (-\epsilon, \epsilon) \times [a, b] \rightarrow M \quad \text{for some } \epsilon > 0$$

with  $F(0, t) = \gamma(t)$ .

A proper variation is a variation with  $F(s, a) = \gamma(a), F(s, b) = \gamma(b)$ .



Variation  $\rightarrow$  variational field  $V(t) = \frac{\partial F}{\partial s}(0, t)$  vector field along  $\gamma$ .

Proper variation  $\rightarrow V(t)$  with  $V(a) = V(b) = 0$ .

Prop If  $V(t) =$  smooth vector field along  $\gamma$  the  $\exists$  variation  $F(s, t)$  (for some  $\epsilon$ ) s.t.  $V =$  its variational field. If  $V(a) = V(b) = 0 \Rightarrow \exists$  proper variation.



Pf  $t \in [a, b] \Rightarrow \exists \epsilon(t)$  s.t.  $S \mapsto \exp_{\gamma(t)}(sV(t))$  is defined for  $|s| < \epsilon(t)$ . Compactness  $\Rightarrow \exists \epsilon$  s.t.

$F(s, t) = \exp_{\gamma(t)}(sV(t))$  is defined on  $(-\epsilon, \epsilon) \times [a, b]$ .

- smooth since geodesic flow is smooth
- $\frac{\partial F}{\partial s}(0, t) = V(t)$  since  $F(\cdot, t) =$  geod. through  $\gamma(t)$ , initial velocity  $V(t)$
- $V(a) = 0 \Rightarrow F(s, a) = \gamma(a)$ .  $\square$

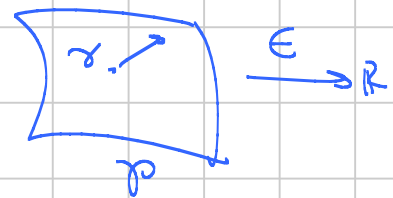
Now: Consider  $E: \mathcal{P}(p, q) \rightarrow \mathbb{R}$ : want to find minima.

Want to calculate 1st and 2nd derivatives.

1st:  $dE_\gamma: T_\gamma \mathcal{P}(p, q) \rightarrow \mathbb{R}$ .



$V(t)$  vect. field along  $\gamma$ ,  $V(a) = V(b) = 0$ .



Prop (First variation formula)

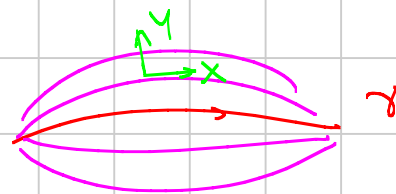
$F: (-\epsilon, \epsilon) \times [a, b] \rightarrow M$  variation of  $\gamma: [a, b] \rightarrow M$ ,  
Variational field  $V(t)$ . Then

$$\frac{1}{2} \frac{d}{ds} \Big|_{s=0} E(\gamma_s) = \langle V(t), \gamma'(t) \rangle \Big|_{t=a}^{t=b} - \int_a^b \langle V(t), \frac{D}{dt} \gamma'(t) \rangle dt$$

Notation: write  $Y(s, t) = \frac{\partial F}{\partial s}$ ,  $X(s, t) = \frac{\partial F}{\partial t}$

$$Y(0, t) = V(t), \quad X(0, t) = \gamma'(t).$$

$$X(s, t) = \gamma'_s(t)$$



PF  $E(s) = \int_a^b \langle X, X \rangle dt$

$\Rightarrow \frac{1}{2} E'(s) = \frac{1}{2} \int_a^b \frac{\partial}{\partial s} \langle X, X \rangle dt$

$= \int_a^b \langle \frac{D}{\partial s} X, X \rangle dt$

$= \int_a^b \langle \frac{D}{\partial t} Y, X \rangle dt$

$= \int_a^b \left( \frac{\partial}{\partial t} \langle Y, X \rangle - \langle Y, \frac{D}{\partial t} X \rangle \right) dt$

$= \langle Y, X \rangle \Big|_{t=a}^{t=b} - \int_a^b \langle Y, \frac{D}{\partial t} X \rangle dt.$

$\frac{DX}{\partial s} = \frac{D}{\partial s} \frac{\partial F}{\partial X} = \frac{D}{\partial t} \frac{\partial F}{\partial s} = \frac{DY}{\partial t}$

Now plug in  $s=0$ .  $\square$

Cor  $\gamma$  is a geodesic  $\Leftrightarrow \forall$  proper variations of  $\gamma$ ,  $E'(0) = 0$ .

PF.  $\Rightarrow$  follows from Prop.

$\Leftarrow$ : by Prop,  $\forall$  vector field  $V(t)$  along  $\gamma$  with  $V(a) = V(b) = 0$ ,  
 $\int_a^b \langle V(t), \nabla_{\gamma'} \gamma' \rangle dt = 0$ .

Choose  $V(t) = f(t) \gamma'(t)$  where  $f(a) = f(b) = 0$ ,  $f > 0$  on  $(a, b)$ .

$\int_a^b f(t) |\nabla_{\gamma'} \gamma'|^2 dt = 0 \Rightarrow \nabla_{\gamma'} \gamma' = 0. \quad \square$

So geodesics = critical pts of  $E$ . To determine if they're minimizing, need 2nd derivative.

Prop (Second Variation Formula)

$\gamma: [a, b] \rightarrow M$  geodesic,  $F: (-\epsilon, \epsilon) \times [a, b] \rightarrow M$  variation. Then

$\frac{1}{2} E''(0) = \left\langle \frac{D}{\partial s} \frac{\partial F}{\partial s}(0, t), \gamma' \right\rangle \Big|_{t=a}^{t=b} + \int_a^b \left( |V'|^2 - R(V, \gamma', V, \gamma') \right) dt.$

PF As before:  $\frac{1}{2} \frac{\partial}{\partial s} \langle X, X \rangle = \langle \frac{DY}{\partial t}, X \rangle$

$$\Rightarrow \frac{1}{2} \frac{\partial^2}{\partial s^2} \langle X, X \rangle = \underbrace{\left\langle \frac{D}{\partial s} \frac{DY}{\partial t}, X \right\rangle}_{\frac{D}{\partial t} \frac{DY}{\partial s} - R \left( \frac{\partial F}{\partial s}, \frac{\partial F}{\partial t} \right) Y} + \underbrace{\left\langle \frac{DY}{\partial t}, \frac{DX}{\partial s} \right\rangle}_{\frac{DY}{\partial t}}$$

$$= \left\langle \frac{D}{\partial t} \frac{DY}{\partial s}, X \right\rangle - R(Y, X, Y, X) + \left| \frac{DY}{\partial t} \right|^2$$

$$\frac{\partial}{\partial t} \left\langle \frac{DY}{\partial s}, X \right\rangle - \underbrace{\left\langle \frac{DY}{\partial s}, \frac{DX}{\partial t} \right\rangle}_{=0 \text{ at } s=0 \text{ since } \gamma = \text{geodesic}}$$

$$\Rightarrow \frac{1}{2} \frac{\partial^2}{\partial s^2} \langle X, X \rangle \Big|_{s=0} = \frac{\partial}{\partial t} \left\langle \frac{DY}{\partial s}, \gamma' \right\rangle - R(V, \gamma', V, \gamma') + |V'|^2;$$

now integrate from  $t=a$  to  $t=b$ .  $\square$

Cor If  $F = \text{proper variation}$  then

$$\frac{1}{2} E''(0) = \int_a^b (|V'|^2 - R(V, \gamma', V, \gamma')) dt$$

depends only on  $V$ .

Remark:  $E''(0) = \text{"Hessian" } d^2E(V, V)$ .

If  $\gamma$  is a local min for  $E$  then  $E''(0) \geq 0 \forall V$ .

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Two applications: Myers' Theorem and Synge's Theorem.

Myers' (Bonnet-Myers) Theorem

$M$  complete Riem. Suppose there is  $r > 0$  such that

$$\text{Ric}_p(V) \geq \frac{1}{r^2}$$

for all  $p \in M$  and all  $v \in T_p M$  with  $|v| = 1$ .

Then  $M$  is compact and  $\text{diam}(M) \leq \pi r = \text{diam}(S^n(r))$ .

$$\sup \{d(p, q) \mid p, q \in M\}$$

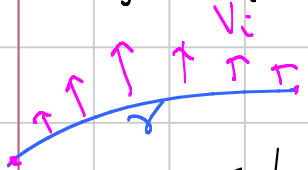
PF Suffices to show  $\forall p, q \in M$ ,  $\gamma = \text{min. geodesic between } p \text{ and } q \Rightarrow l(\gamma) \leq \pi r$ .  
 Then  $\text{diam}(M) \leq \pi r \Rightarrow M \text{ bounded, complete} \Rightarrow \text{Compact}$ .

Suppose  $l(\gamma) = l$  and assume  $|\gamma'| = 1$ . Choose ONB  $\{e_1 = \gamma'(0), e_2, \dots, e_n\}$   
 of  $T_p M \rightarrow$  extend by parallel transport to ONB  $\{e_i(t) = \gamma'(t), e_2(t), \dots\}$   
 along  $\gamma$ .

Along  $\gamma$ , define vector field  $V_i(t) = \sin\left(\frac{\pi t}{l}\right) e_i(t)$ ,  $2 \leq i \leq n$ ,

$F_t =$  proper variation of  $\gamma$  with variational field  $V_i$ .

$\gamma$  minimizes energy  $\Rightarrow$  by 2nd variation



$$0 \leq \frac{1}{2} E''(0) = \int_0^l (|V_i'|^2 - R(V_i, \gamma', V_i, \gamma')) dt$$

$$= \int_0^l \left( \left( \frac{\pi}{l} \cos \frac{\pi t}{l} \right)^2 - \sin^2 \left( \frac{\pi t}{l} \right) R(e_i, \gamma', e_i, \gamma') \right) dt$$

Average over  $i$ :

$$0 \leq \frac{\pi^2}{2l} - \int_0^l \sin^2 \left( \frac{\pi t}{l} \right) \text{Ric}_{\gamma(t)}(e_i(t)) dt$$

$$\leq \frac{\pi^2}{2l} - \int_0^l \frac{1}{r^2} \sin^2 \left( \frac{\pi t}{l} \right) dt$$

$$= \frac{\pi^2}{2l} - \frac{l}{2r^2}$$

$\Rightarrow l \leq \pi r. \square$

Cor  $M$  complete Riem,  $\text{Ric}_p(V) \geq \frac{1}{r^2}$ .

Then the universal cover of  $M$  is compact and  $\pi_1(M)$  is finite.

PF Let  $\tilde{M} \xrightarrow{\pi} M$  be the universal cover. Pull back metric on  $M$  to  $\tilde{M}$ ;  
 $\pi =$  local isometry. Then  $\tilde{M}$  is complete; apply Myers to  $\tilde{M}$   
 $\Rightarrow \tilde{M}$  cpt, # of sheets = finite.  $\square$

Note Complete,  $\text{Ric} > 0 \not\Rightarrow$  cpt, finite diameter. Ex:  $\{z = x^2 + y^2\} \subset \mathbb{R}^3$ .

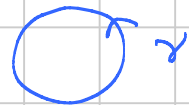
Synge's Thm  $M$  cpt, even-dimensional, orientable,  
Strictly positive sectional curvature. Then  $M =$  Simply  
Connected.

Props 1. Statement in odd dim:  $M$  cpt, odd-dim,  $K > 0$ . Then  
 $M$  is orientable. (see book)

2. Can't remove even-dim or orientable assumption:  
 $\mathbb{R}P^n$  has  $K > 0$  with metric induced from  $S^n$ .

Can't weaken to  $K \geq 0$ :  $T^n = \mathbb{R}^n / \mathbb{Z}^n$ .

Key to Synge: closed geodesics.



A geodesic  $\gamma: [0, a] \rightarrow M$  is closed if  $\gamma(0) = \gamma(a)$  and and  $\gamma'(0) = \gamma'(a)$ :  
think of this as a smooth map  $S^1 \rightarrow M$ .

Def A homotopy class of free loops in  $M$  is a map  $S^1 \rightarrow M$  up to homotopy.

Prop  $M$  compact. In any htpy class of free loops in  $M$ , there  
is a closed geodesic.

PF Cpt  $\Rightarrow \exists \epsilon > 0$  with  $B_\epsilon(p)$  normal  $\forall p \in M$ .

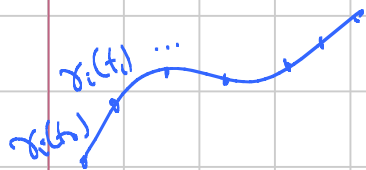
Consider  $l = \inf \{l(\gamma) \mid \gamma \in \text{htpy class}\}$ .

If  $\exists \gamma$  with  $l(\gamma) = l$  then  $\gamma$  locally minimizes length  $\Rightarrow$  geodesic.

Otherwise, assume  $\exists \gamma_i$  with  $l(\gamma_i) \rightarrow l$ . Reparametrize s.t.

$\gamma_i: [0, 1] \rightarrow M$ ,  $|\gamma_i'| = l(\gamma_i)$ .

Choose  $0 = t_0 < t_1 < \dots < t_n = 1$  with  $t_{k+1} - t_k < \frac{\epsilon}{\max |\gamma_i'|}$ .



Then  $\gamma_i(t_k), \gamma_i(t_{k+1})$  lie in a normal  $\epsilon$ -ball

so we can replace  $\gamma_i$  by piecewise smooth curve st.  $\gamma_i|_{[t_k, t_{k+1}]}$  is a geodesic.

By passing to a subsequence, can assume  $\forall k$ ,  $\gamma_i(t_k) \xrightarrow{\text{converge}} p_k$   
 $\gamma_i'(t_k) \rightarrow v_k$

Now define  $\gamma: [0, 1] \rightarrow M$  by  $\gamma|_{[t_k, t_{k+1}]} =$  geodesic starting at  $p_k$  with deriv  $= v_k$ .  
 Then  $\gamma_i|_{[t_k, t_{k+1}]} \rightarrow \gamma|_{[t_k, t_{k+1}]}$  so  $l(\gamma) = \lim l(\gamma_i) = l$ .  $\square$

Pf of Lyngse Suppose  $M$  not simply connected. Then  $\exists$  closed geodesic  $\gamma: [0, a] \rightarrow M$  in a nontrivial homotopy class of minimum length.  
 Say  $\gamma(0) = \gamma(a) = p$ .

Parallel transport along  $\gamma$  gives  $P: T_p M \rightarrow T_p M$ ,  
 orientation preserving, and  $P(\gamma'(0)) = \gamma'(0)$ .

Let  $T_p^\perp M =$  orthogonal complement to  $\gamma'(0)$  in  $T_p M$ .

Then  $P: T_p^\perp M \rightarrow T_p^\perp M$  so  $P \in SO(n-1) \Rightarrow \exists v$  with  $P(v) = v$ .

Let  $V =$  parallel vector field along  $\gamma$  with  $V(0) = V(a) = v$ ,  
 and let  $\gamma_s =$  corresponding variation.

$\gamma = \gamma_0$  minimizes energy  $\Rightarrow E(s) = E(\gamma_s)$  has local min at  $s=0$ .

But

$$\begin{aligned} \frac{1}{2} E''(0) &= \left( \frac{D}{ds} \frac{\partial F}{\partial s'}(0, t, \gamma') \right) \Big|_{t=0}^{t=a} + \int_0^a (|V'|^2 - R(V, \gamma', V, \gamma')) dt \\ &= - \int_0^a \underbrace{R(V, \gamma', V, \gamma')}_{> 0} dt \\ &< 0. \end{aligned}$$

$\circ$  since  $\gamma_s$  periodic

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$\Rightarrow \Leftarrow$

$\square$



# Constant Sectional Curvature

Three manifolds of constant sectional curvature

•  $\mathbb{R}^n$ , flat:  $K \equiv 0$

•  $S^n$ , round:  $K \equiv 1$

•  $H^n$ , hyperbolic:  $K \equiv -1$  (see HW).

} all complete,  
simply connected

If  $(M, g)$  has constant  $K$ , we can rescale to get  $K \in \{1, 0, -1\}$ :

if  $\lambda > 0$  then  $\tilde{g} = \lambda g$  is a metric with  $\tilde{\nabla} = \nabla$ ,  $\tilde{R} = \lambda R$ ,  $\tilde{K} = \lambda^{-1} K$ .  
(0,4) tensor

Can get more by quotienting by a group of isometries.

Thm  $M^n$  complete Riem mfd, constant sectional curvature  $K \in \{1, 0, -1\}$ . Then its universal cover is:

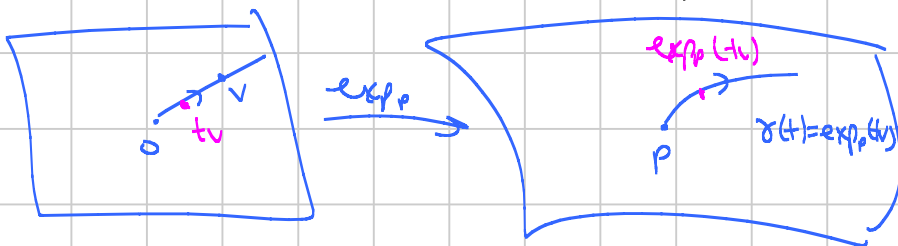
1.  $\mathbb{R}^n$ , flat if  $K = 0$

2.  $S^n$ , round if  $K = 1$

3.  $H^n$ , hyperbolic if  $K = -1$ ,

i.e. any complete mfd with constant  $K$  is a quotient of one of them by isometries.

Pf Idea:  $p \in M \rightsquigarrow \exp_p: T_p M \rightarrow M$  is a local isometry if  $K = 0$ , almost a local isometry if  $K = \pm 1$ . Choose  $v \in T_p M$  unit vector.



look at  $(d \exp_p)_{tv} : T_{tv}(T_p M) \rightarrow T_{\exp_p(tv)} M$ .

Note this maps

$$v \longmapsto \gamma'(t) \quad (\text{these have the same length})$$

$$v^\perp \longmapsto (\gamma'(t))^\perp \quad \text{by Gauss lemma.}$$

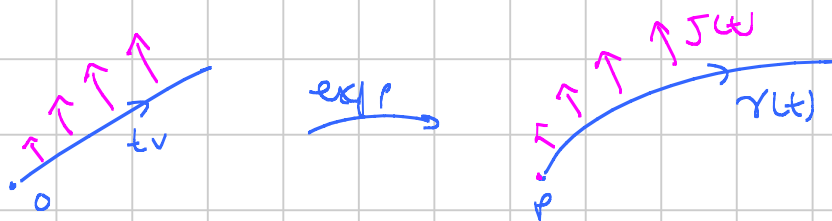
So it suffices to see what this does on  $v^\perp \subset T_p M$ .

Choose  $u \in T_p M$  with  $u \perp v$ .

$\rightsquigarrow U(t)$  = parallel vector field along  $\gamma$  with  $U(0) = u$ ;

$J(t)$  = Jacobi field with  $J(0) = 0$ ,  $J'(0) = u$ ;

$$J(t) = (d \exp_p)_{tv}(tu).$$



How are these related? Jacobi equation  $J'' = -R(\gamma', J)\gamma'$ ,

Constant sectional curvature  $\Rightarrow R(X, Y)Z = K(\langle X, Z \rangle Y - \langle X, Y \rangle Z)$

$$\Rightarrow R(\gamma', J)\gamma' = K(\langle \gamma', \gamma' \rangle J - \langle J, \gamma' \rangle \gamma') = KJ$$

$$\Rightarrow \boxed{J'' = -KJ}.$$

Case 1.  $K=0$ : already done in HW.

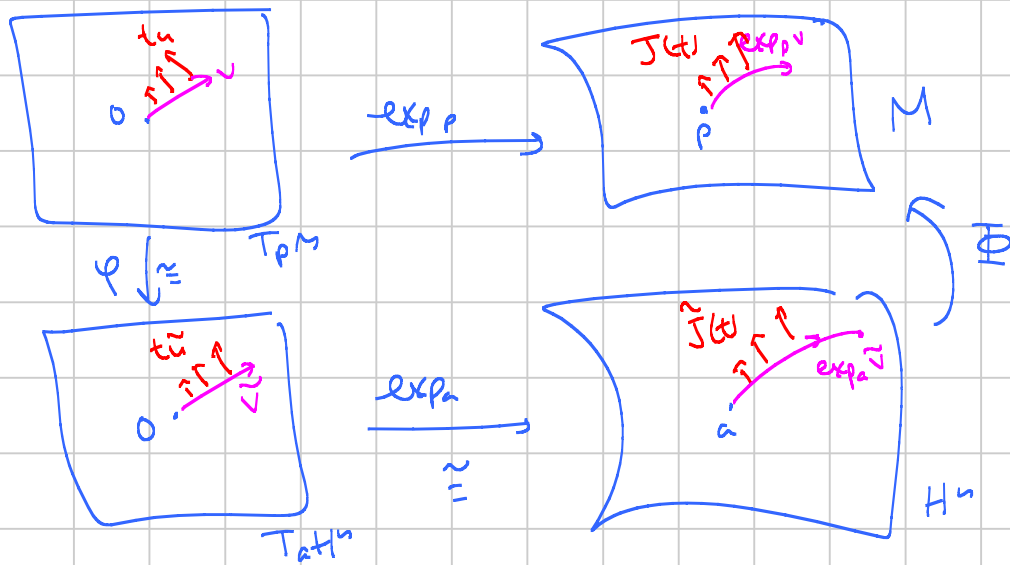
$$J''=0 \Rightarrow J(t) = tU(t)$$

$$\Rightarrow (d \exp_p)_{tv}(u) = U(t)$$

and parallel transport preserves inner product, so  $(d \exp_p)_{tv}$  is an isometry on  $v^\perp \Rightarrow$  on all of  $T_{tv}(T_p M)$ .

Thus  $\exp_p$  is a local isometry  $T_p M \rightarrow M$ , so by the proof of Hadamard, it's a covering map.

Case 2  $K = -1$ .  $J'' = +J \Rightarrow J(t) = (\sinh t) U(t)$ .



Choose  $a \in H^n$  and pick a linear isometry  $\varphi: T_p M \rightarrow T_a H^n$ .

Claim:  $\Phi := \exp_p \circ \varphi^{-1} \circ (\exp_a)^{-1}$  is a local isometry  
 $H^n \rightarrow M \rightarrow \text{Covering map.}$

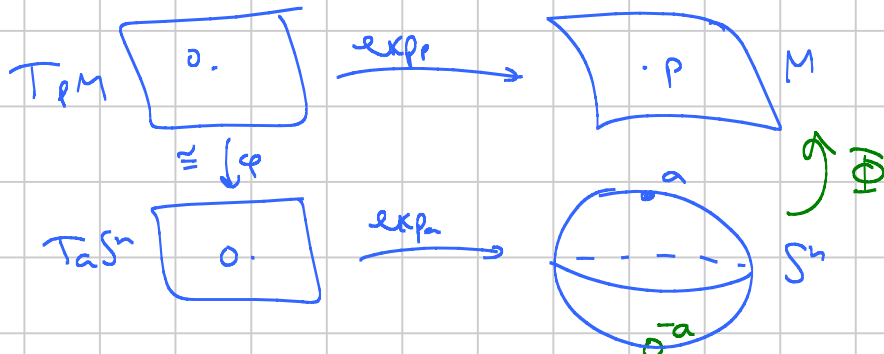
PF Let  $\tilde{v} \in T_a H^n$  be any unit vector,  $v = \varphi^{-1} \tilde{v}$  = unit vector in  $T_p M$ .  
 Want to show: isometry at  $\exp_a(t\tilde{v})$ . Suffices to show on  $\perp$ .

Choose  $u \perp v$ ,  $\tilde{u} = \varphi(u) \perp \tilde{v} \Rightarrow$  Jacobi fields  $J(t) = d(\exp_p)_{t\tilde{v}}(tu)$ ,  
 $\tilde{J}(t) = d(\exp_a)_{t\tilde{v}}(t\tilde{u})$ . Note  $J(t) = \Phi_* \tilde{J}(t)$ .

Now if we have  $u_1, u_2 \perp v \rightsquigarrow J_1(t), J_2(t)$  on  $M$ ,  $\tilde{J}_1(t), \tilde{J}_2(t)$  on  $H^n$ ,  
 then suffices to show  $\langle J_1(t), J_2(t) \rangle = \langle \tilde{J}_1(t), \tilde{J}_2(t) \rangle$ .

$J_i(t) = (\sinh t) U_i(t) \Rightarrow \langle J_1(t), J_2(t) \rangle = (\sinh t)^2 \langle U_1(t), U_2(t) \rangle = (\sinh t)^2 \langle u_1, u_2 \rangle$   
 and similarly  $\langle \tilde{J}_1(t), \tilde{J}_2(t) \rangle = (\sinh t)^2 \langle \tilde{u}_1, \tilde{u}_2 \rangle$   
 as desired.

Case 3  $k=1$ .  $J'=-J \Rightarrow J(t)=(\sin t)U(t)$ .

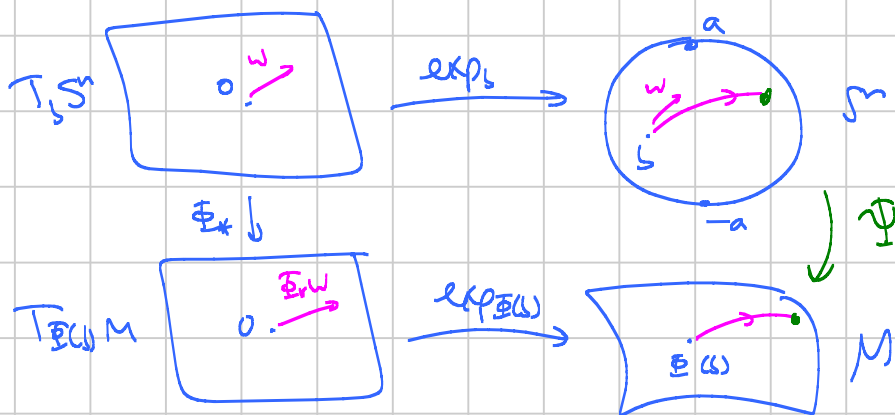


$\exp_a =$  diffeo from  $B_{\pi}(0)$  to  $S^n - \{-a\}$ .

Same argument as before:  $\Phi := \exp_p \circ \varphi^{-1} \circ (\exp_a)^{-1}: S^n - \{-a\} \rightarrow M$  is a local isometry.

Now choose  $b \neq \pm a$  in  $S^n$ . Define

$$\Psi := \exp_{\Phi(b)} \circ \Phi_* \circ (\exp_b)^{-1}: S^n - \{-b\} \rightarrow M.$$



Claim:  $\Phi = \Psi$ . Why? A geodesic from  $b$  to  $c$  with tangent vector  $w$  maps under both  $\Phi$  and  $\Psi$  to a geodesic from  $\Phi(b)$  with tangent vector  $\Phi_* w$ , so  $\Phi, \Psi$  map  $c$  to the same thing.

$\therefore \Phi, \Psi$  together give  $\Phi: S^n \rightarrow M$  local isometry  $\rightarrow$  covering map, as before.  $\square$

# Cut Locus

$M$  complete  $\Rightarrow \exp_p: T_p M \rightarrow M$  surjective. Can we always model  $M$  by part of  $T_p M$ ? eg. for  $S^n$ ,  $\exp_p: B_\pi(0) \rightarrow S^n - \{-p\}$  diffeom.

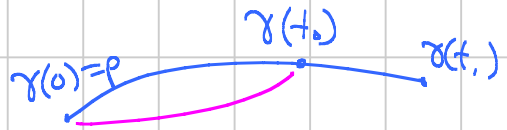
For a geodesic  $\gamma(t)$ ,  $0 \leq t < \infty$ , starting at  $p$ , we know that  $\gamma|_{[0,t]}$  is a minimizing geodesic for small  $t$ . Define

$$I = \{t_0 \geq 0 \mid \gamma|_{[0,t_0]} \text{ is a minimizing geodesic}\}.$$

$i.e. t_0 = d(p, \gamma(t_0))$

- $I$  is closed

- if  $t_0 \notin I$  and  $t_1 > t_0$  then  $t_1 \notin I$ :



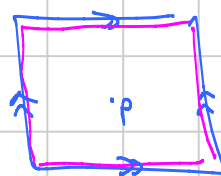
So either  $I = [0, T]$  for some  $T > 0$  or  $I = [0, \infty)$ .

Def The cut point of  $p$  along  $\gamma$  is  $\gamma(T)$  (if  $I = [0, \infty)$ , no cut point).  
The cut locus of  $p$  is  $\text{Cut}(p) = \{\text{cut points over all } \gamma\}$ .

Ex: round sphere:  $\text{Cut}(p) = \{-p\}$

- $\mathbb{R}^2/\mathbb{Z}^2$ :

$\text{Cut}(p) =$

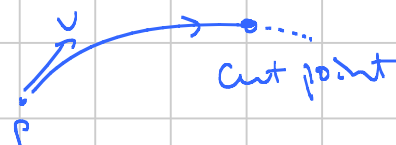


- Complete, simply connected,  $K \leq 0 \Rightarrow \text{Cut}(p) = \emptyset$ . (Hadamard)

Now let the geodesic vary:  $V \in T_p M, |V|=1 \rightsquigarrow \exp_p(tV)$



$\xrightarrow{\exp_p}$



Define  $T(v) = \begin{cases} T & \text{if } I = [0, T] \\ \infty & \text{if } I = [0, \infty) \end{cases}$ .

The preimage of the geodesic up to the cut point is the ray to  $T(v) v$ .

Write  $U(p) = \cup(\text{these open rays})$  i.e.

$$U(p) = \{tv \mid v \in S^{n-1}, 0 \leq t < T(v)\} \\ = \{t_0 v \mid |v|=1, \exp_p(t_0 v) \text{ is minimizing past } t_0\}.$$



- $U(p)$  is star-shaped
- Can show  $T: S^{n-1} \rightarrow \mathbb{R}^+ \cup \{\infty\}$  is continuous  $\Rightarrow U(p) \overset{\text{homeo}}{\cong} D^n$   
(in fact, diffeo)
- $\text{Cut}(p) = \exp_p(\partial U(p))$

Prop  $M$  (complete) is the disjoint union  $\exp_p(U(p)) \perp \text{Cut}(p)$ .

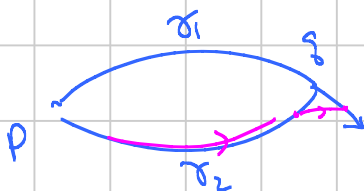
PF  $g \in M \Rightarrow \exists$  min geodesic from  $p$  to  $g$  (Hopf-Rinow).

Either this stops being minimizing past  $g \Rightarrow g \in \text{Cut}(p)$   
or not  $\Rightarrow g \in \exp_p(U(p))$ .

If  $g \in \exp_p(U(p)) \cap \text{Cut}(p)$  then  $\exists \geq 2$  minimizing geodesics between  $p$  and  $g$   
one minimizing past  $g$ , one not. Prop now follows from  
the next result.  $\square$

Lemma IF  $\exists$  2 minimizing geodesics between  $p$  and  $q$ , then neither minimizes past  $q$ .

PF



$d(\gamma_1) = d(\gamma_2)$ . Extend  $\gamma_2$  past  $q$ .

A shorter path is  $\gamma_2 \cup \text{cutoff}$ .  $\square$

Note: this argument actually shows

$\exp_p$  is an injection  $U(p) \rightarrow M$   
 + a bijection  $U(p) \rightarrow M - \text{Cut}(p)$ .

Prop  $\exp_p: U(p) \rightarrow M - \text{Cut}(p)$  is a diffeomorphism.

PF



$v \in U(p) \subset T_p M \rightarrow \gamma(t) = \exp_p(tv)$  is minimizing past  $t=1$ . Then  $\gamma$  has no conjugate points to  $p$

between  $p$  and  $\exp_p((1+\epsilon)v)$  (from HW) so  $\exp_p v$  isn't conjugate to  $p \rightarrow \exp_p$  is a local diffeomorphism at  $v$ .

$\Rightarrow \exp_p$  is a local diffeo on  $U(p)$

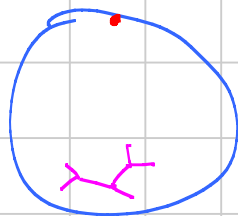
$\Rightarrow$  diffeo since bijective.  $\square$

All the interesting topology in  $M$  is in  $\text{Cut}(p)$ :

$$\exp_p: D^n \cong U(p) \xrightarrow{\cong} M - \text{Cut}(p)$$

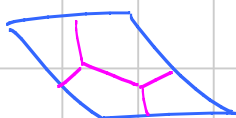
$$\partial U(p) \longrightarrow \text{Cut}(p).$$

Weird examples:  $S^n$ :



Note  $M \rightarrow p$  deformation retracts onto  $\text{Cut}(p)$ .

$$T^2 = \mathbb{R}^2 / \mathbb{Z}^2 = \mathbb{C}^2 / \langle 1, e^{2\pi i/3} \rangle:$$



Prop (see do Carmo Ch 13 Prop 2.2)

$g = \text{cut point of } p \text{ along } \gamma \Leftrightarrow \text{first point along } \gamma \text{ where either}$

- Conjugate to  $p$
- $\exists$  two minimizing geodesic between  $p$  and  $g$ .

$g \in M \setminus \text{Cut}(p) \Rightarrow \exists!$  min geodesic from  $p$  to  $g$

$\Rightarrow \exp_p: B_r(0) \rightarrow B_r(p)$  is injective  $\Leftrightarrow r \leq d(p, \text{Cut}(p))$ .

Def The injectivity radius  $i(M) := \inf_{p \in M} d(p, \text{Cut}(p))$ .

(if  $r \leq i(M)$  then  $\exp_p: B_r(0) \rightarrow M$  is injective  $\forall p$ ).

Intuitively: if  $K = \text{small}$  then  $i = \text{large}$ . Sample them.

Thm (Ch 13 Prop 2.13) If  $0 < a \leq K \leq K_{\max}$  for some  $a, K_{\max}$ , then either  $\exists$  closed geodesic  $\gamma$  with  $i(M) = \frac{1}{2}l(\gamma)$  or  $i(M) \geq \frac{\pi}{\sqrt{K_{\max}}}$ .

(Note by Myers that  $M$  is cpt.)

Thm (Klingenberg)  $M$  simply connected, cpt,  $\dim \geq 3$ ,

$\frac{K_0}{4} < K \leq K_0$  for constant  $K_0 > 0$ .

Then  $i(M) \geq \frac{\pi}{\sqrt{K_0}}$ .

(idea: rule out short geodesics)

Sphere Theorem  $M$  simply connected, cpt,

$0 < \frac{K_0}{4} < K \leq K_0$ .

Then  $M$  is homeomorphic to  $S^n$ .



# Submanifolds

$M^n \subset \bar{M}^{n+1}$  submfld, Riem. metric on  $\bar{M} \rightarrow$  Riem. metric on  $M$ .

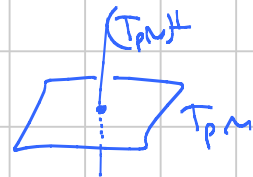
Levi-Civita connections  $\nabla, \bar{\nabla}$ .

Recall:  $X, Y \in \text{Vect}(M)$  extending to  $\bar{X}, \bar{Y} \in \text{Vect}(\bar{M})$

$$\Rightarrow \nabla_X Y = (\bar{\nabla}_{\bar{X}} \bar{Y})^\top$$

independent of the extensions  $\bar{X}, \bar{Y}$  (HW).

where  $p \in M \rightsquigarrow T_p \bar{M} = T_p M \oplus (T_p M)^\perp$   
 $v \mapsto v^\top \oplus v^\perp$



Def  $X, Y \in \text{Vect}(M)$

$$\rightsquigarrow B(X, Y) := (\bar{\nabla}_{\bar{X}} \bar{Y})^\perp = \bar{\nabla}_{\bar{X}} \bar{Y} - \nabla_X Y$$

vector field along  $M$ .  
(in  $(T_p M)^\perp$ ).

"vector valued second fundamental form"

Facts.

1. Indep of extensions  $\bar{X}, \bar{Y}$ .

as in HW: if  $\bar{X}, \bar{X}'$  are extensions of  $X$ ,  $\bar{\nabla}_{\bar{X}} \bar{Y} - \bar{\nabla}_{\bar{X}'} \bar{Y} = \bar{\nabla}_{\bar{X} - \bar{X}'} \bar{Y} = 0$   
 since  $\bar{X} - \bar{X}' = 0$  on  $M$

$\bar{Y}, \bar{Y}'$  extensions of  $Y \Rightarrow \bar{\nabla}_{\bar{X}} \bar{Y} - \bar{\nabla}_{\bar{X}} \bar{Y}' = \bar{\nabla}_{\bar{X}} (\bar{Y} - \bar{Y}') = 0$  since  $\bar{Y} - \bar{Y}' = 0$  on  $M$   
 $\bar{X}$  tangent to  $M$ .

2. tensor: clearly tensorial in  $X$ .

$$B(X, fY) = \bar{\nabla}_{\bar{X}}(f\bar{Y}) - \nabla_X(fY) = f B(X, Y) + (\bar{X}f)\bar{Y} - (Xf)Y \\ = f B(X, Y)$$

3. symmetric:

$$B(X, Y) - B(Y, X) = [\bar{X}, \bar{Y}] - [X, Y] = 0 \text{ on } M.$$

Choose a unit normal vector field  $\nu$  along  $M$ .

(if  $M, \bar{M}$  orientable, then  $\nu$  is well-defined globally, up to  $\pm$ ).



Def The second fundamental form is the symmetric bilinear form

$\mathbb{II}: T_p M \otimes T_p M \rightarrow \mathbb{R}$  given by

$$B(X, Y) = \mathbb{II}(X, Y) \nu.$$

(Note: 1st fundamental form is just the metric.)

Def  $\sigma = 2$ -plane in  $T_p M$  generated by  $X, Y$ .

The Gaussian curvature  $G(\sigma)$  is

$$G(\sigma) := \frac{\mathbb{II}(X, X)\mathbb{II}(Y, Y) - \mathbb{II}(X, Y)^2}{\langle X, X \rangle \langle Y, Y \rangle - \langle X, Y \rangle^2}.$$

This is independent of choice of  $X, Y$  (as in sectional curv.).

Def The shape operator  $S: T_p M \rightarrow T_p M$  is defined by

$$\langle S(X), Y \rangle = \mathbb{II}(X, Y).$$

Alternate def for  $S$ : note

$$\begin{aligned} \mathbb{II}(X, Y) &= \langle B(X, Y), \nu \rangle = \langle \bar{\nabla}_X \bar{Y} - \nabla_X Y, \nu \rangle = \langle \bar{\nabla}_X \bar{Y}, \nu \rangle \\ &= \bar{X} \langle \bar{Y}, \nu \rangle - \langle \bar{Y}, \bar{\nabla}_X \nu \rangle = -\langle \bar{Y}, \bar{\nabla}_X \nu \rangle \\ &\Rightarrow S(X) = -(\bar{\nabla}_X \nu)^\top. \end{aligned}$$

Eigenvalues of  $S$  are principal curvatures.

For surfaces in  $\mathbb{R}^3$ ,  $G(\sigma) = \lambda_1 \lambda_2$  product of eigenvalues.

Then (Gauss)  $X, Y, U, V \in T_p M$ . The

$$R(X, Y, U, V) = \bar{R}(X, Y, U, V) + \mathbb{II}(X, U)\mathbb{II}(Y, V) - \mathbb{II}(X, V)\mathbb{II}(Y, U)$$

Sectional, Gaussian curv. of plane through  $X, Y$

$$\rightarrow K(X, Y) = \bar{K}(X, Y) + G(X, Y).$$

PF Extend  $X, Y, U, V$  at  $p$  to vector fields  $X, Y, U, V$  on  $M$ ,  
 $\bar{X}, \bar{Y}, \bar{U}, \bar{V}$  on  $\bar{M}$ .

$$\begin{aligned} \bar{\nabla}_{\bar{X}} \bar{Y} &= \nabla_X Y + \mathbb{I}(X, Y) \nu && \text{on } M \\ \Rightarrow \bar{\nabla}_{\bar{X}} \bar{\nabla}_{\bar{Y}} \bar{U} &= \bar{\nabla}_{\bar{X}} (\nabla_Y U + \mathbb{I}(Y, U) \nu) \\ &= \nabla_X \nabla_Y U + \mathbb{I}(X, \nabla_Y U) \nu + \mathbb{I}(Y, U) \bar{\nabla}_{\bar{X}} \nu + (\bar{X} \mathbb{I}(Y, U)) \nu \\ \Rightarrow \langle \bar{\nabla}_{\bar{X}} \bar{\nabla}_{\bar{Y}} \bar{U}, \bar{V} \rangle &= \langle \nabla_X \nabla_Y U, V \rangle + \mathbb{I}(Y, U) \langle \bar{\nabla}_{\bar{X}} \nu, \bar{V} \rangle \\ &= \langle \nabla_X \nabla_Y U, V \rangle - \mathbb{I}(Y, U) \mathbb{I}(X, V). \quad \square \end{aligned}$$

Important special case:

Thm If  $\bar{M} = (\mathbb{R}^{n+1}, \text{flat})$  then  $K(X, Y) = G(X, Y)$ .

Rank For  $n=3$  this is Gauss's Theorema Egregium.

in his language: the extrinsic quantity  $G(X, Y)$  (depends on the isometric embedding of  $M$  in  $\mathbb{R}^3$ ) is actually an intrinsic quantity.

Ex  $S^n(r) \subset \mathbb{R}^{n+1}$ .

$$\begin{aligned} x \in S^n(r) \Rightarrow \nu(x) &= \frac{x}{r} = \frac{1}{r} (x^i \partial_i). \quad \text{For } Y \in T_x(S^n(r)), \\ \bar{\nabla}_Y \nu &= Y \nu = \frac{1}{r} (Y(x^i) \partial_i) = \frac{Y}{r} \\ &\quad \#_{Yr=0} \end{aligned}$$

$$\text{So } S(Y) = -\frac{Y}{r} \Rightarrow \mathbb{I}(X, Y) = -\frac{1}{r} \langle X, Y \rangle$$

$$\text{and } G(X, Y) = \frac{1}{r^2} \Rightarrow K = \frac{1}{r^2}.$$

## Totally geodesic submanifolds

Def  $M \subset \bar{M}$  is totally geodesic if every geodesic in  $M$  is also a geodesic in  $\bar{M}$ .

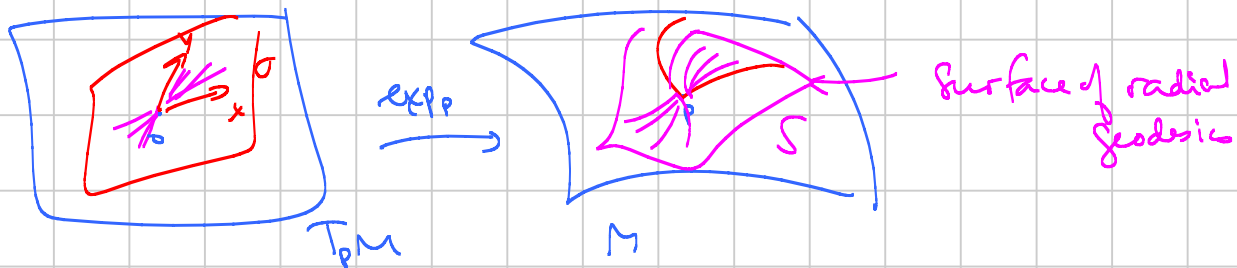
Prop  $M$  is totally geodesic  $\Leftrightarrow B \equiv 0$  (vector valued 2nd fund. form).

PF Let  $\gamma$  be a path in  $M$ ,  $\gamma(0) = p$ ,  $\gamma'(0) = x$ . Let  $N$  be a normal vector field to  $M$ ,  $X = \text{extension of } x$ .

$$\langle B(x, x), N \rangle = \langle \bar{\nabla}_x X - \nabla_x X, N \rangle \\ = \langle \bar{\nabla}_x X, N \rangle$$

$\Leftrightarrow B(x, x) = 0 \Leftrightarrow \bar{\nabla}_x X$  has no normal component  
 $\Leftrightarrow$  geodesics in  $M$  (satisfying  $\nabla_x X = 0$ )  
 are also geodesic in  $\bar{M}$  (satisfying  $\bar{\nabla}_x X = 0$ ).  $\square$

Riemann's original definition of sectional curvature:



$S$  is geodesic at  $p$  (ptwise version of totally geodesic)

$\rightarrow$  by above Prop,  $B(p) = 0$

$\rightarrow$  Give  $S$  the metric induced by  $M$ ; then  $R = \bar{R}$

and  $K(S) = K(\sigma)$ .

(unique) sectional curvature of  $S$

$\nwarrow$  usual sectional curvature