

# Programmable Matter and Emergent Phenomena

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# Collectives at various scales

People



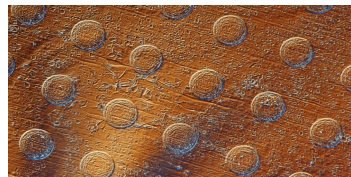
Biology



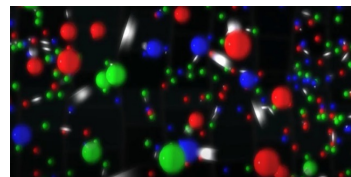
Robots



Tiny  
Robots



Particles



## Questions:

- What can they do **collectively**?
- What type of **computation**?  
**Communication? Memory?**
- Can we **understand / program** it?
- How **predictable** is the behavior?

# Swarm Robotics / Programmable Matter

(as Self-Organizing Particle Systems)

Active Matter: ensemble  
of self-organizing  
computational "particles"



Programmable to change  
their collective physical  
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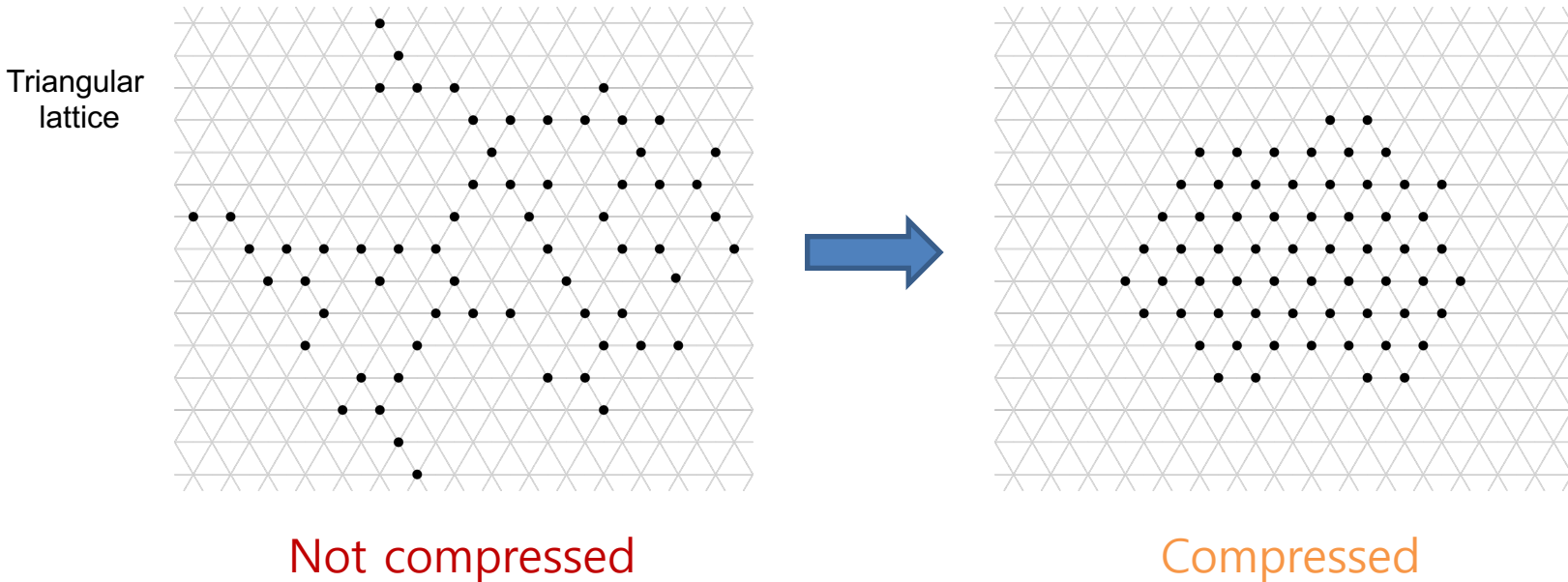
Programmable to change  
their collective physical  
properties

Algorithms: Devise the local, distributed rules  
that each particle runs in order to achieve  
the desired emergent, collective behavior

- No human intervention or central control
- Scalable
- Indistinguishable particles
- Oblivious to global properties

# E.g. Compression

**Question:** Using local, distributed rules, how can particles “compress” (or “aggregate”)? \*Assume particles are simply connected.



**Def:** A configuration is  $\alpha$ -compressed if its perimeter is at most  $\alpha$  times the minimum perimeter (for this number of particles).

# Compression Algorithm

[Cannon, Daymude, R., Richa '16]

A **distributed, stochastic algorithm** for compression:

- Ensures **system connectivity** on the triangular lattice.
- **Poisson clocks** to activate particles (i.e., **no synchronization**).
- Metropolis probabilities to converge to  $\pi(\sigma) \propto \lambda^{e(\sigma)}$ , for  $\lambda > 1$ .

Fix  $\lambda > 1$ . Start in any connected configuration.

When a particle activates (according to its **Poisson clock**):

- Pick a **random neighboring node**.
- If the proposed node is unoccupied, and certain properties hold\*,  
**move** with probability  $\min\{\lambda^{\Delta e}, 1\}$ .
- Otherwise, do nothing.

\*To maintain connectivity.

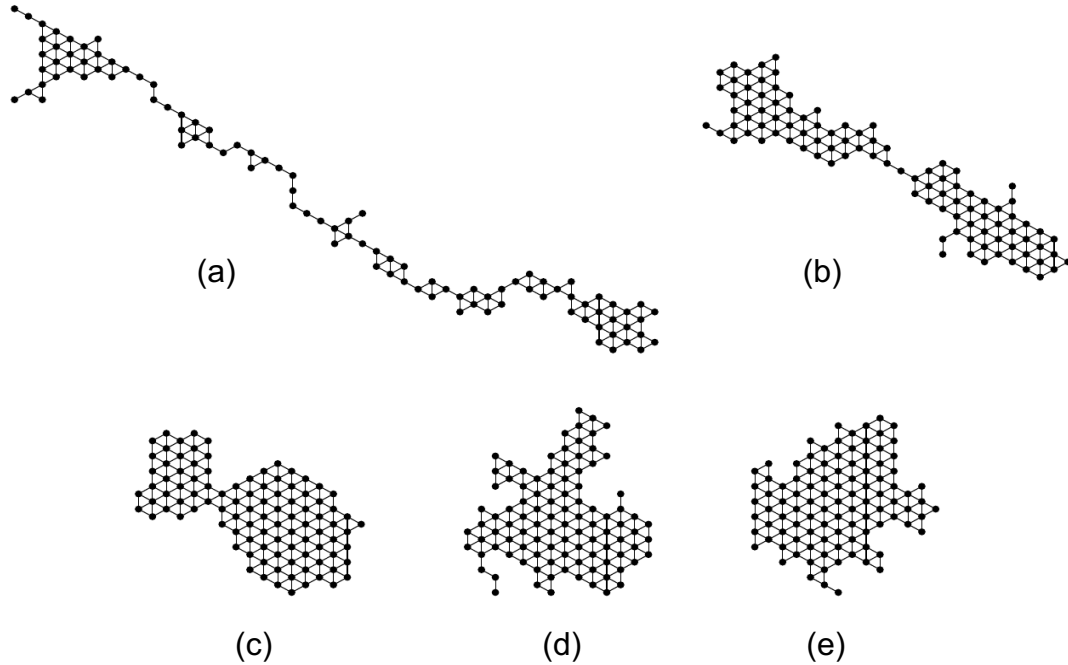
# Compression Simulations

$$\lambda = 4$$

100 particles after:

- a) 1 million
- b) 2 million
- c) 3 million
- d) 4 million
- e) 5 million iterations.

Compression.

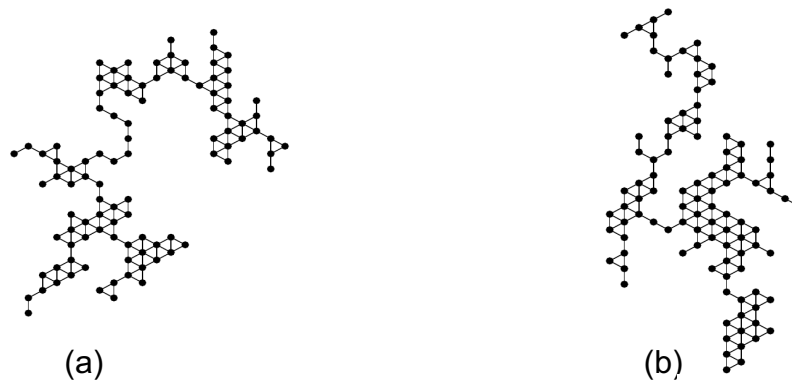


$$\lambda = 2$$

100 particles after:

- a) 10 million
- b) 20 million iterations.

No compression.



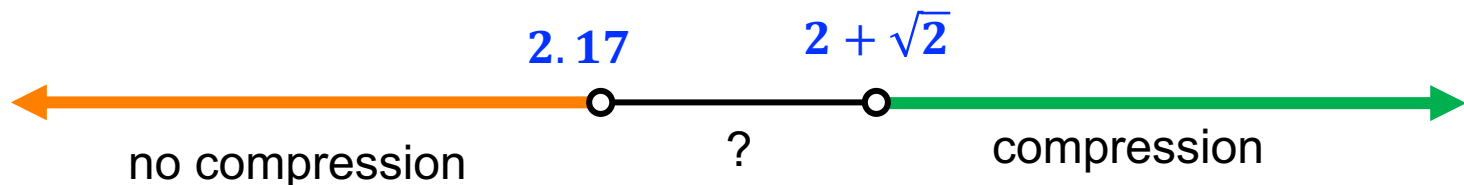
# Compression: Theorems

[Cannon, Daymude, R., Richa '16]

**Defn:** A configuration is  $\alpha$ -compressed if its perimeter is at most  $\alpha$  times the minimum perimeter.

**Thm:** For all  $\lambda > 2 + \sqrt{2}$ , there exists  $\alpha = \alpha(\lambda)$  s.t. particles are  $\alpha$ -compressed at stationarity almost surely.  
(E.g., when  $\lambda = 4$ ,  $\alpha = 9$ .)

**Thm:** When  $\lambda < 2.17$ , for any  $\alpha > 1$ , the probability particles are  $\alpha$ -compressed at stationarity is exponentially small.



**Note:** Expansion works similarly for small  $\lambda$ .



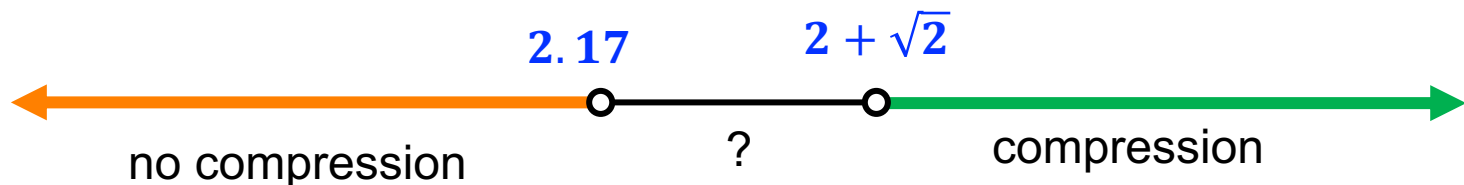
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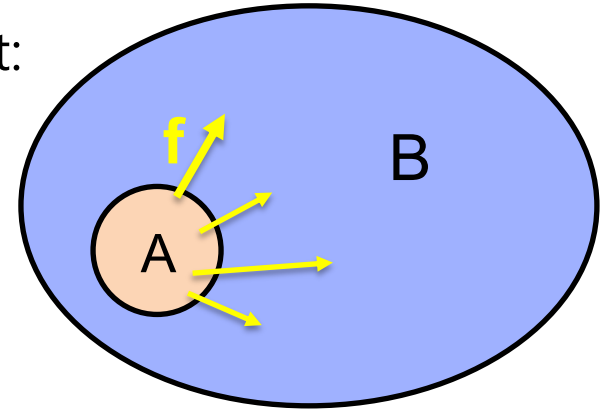
# Main proof technique: Peierls Argument

To show that some subset has exponentially small probability:

Define  $f : A \rightarrow B$  so that, for  $c_1 > c_2 > 1$  such that:

- For all  $a \in A$ ,  $\pi(a) e^{c_1 n} < \pi(f(a))$
- For all  $b \in B$ ,  $|\{f^{-1}(b)\}| < e^{c_2 n}$

Then:  $\pi(A) < e^{(c_2 - c_1)n} \ll 1$ .



Physics: Distinguish Gibbs states;

Comp Sci: Bound mixing times through identifying small cutsets;

Active Matter: Bound likelihood of (un)desirable ensemble behavior.

# Proof Techniques

**Thm:** For all  $\lambda > 2 + \sqrt{2}$ , there exists  $\alpha = \alpha(\lambda)$  s.t. particles are  $\alpha$ -compressed at stationarity almost surely.

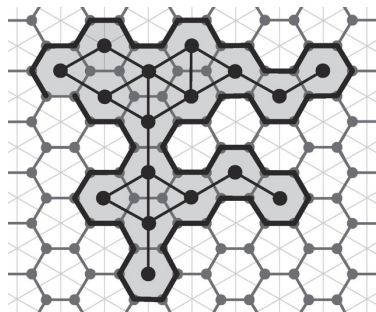
**Pf:** Note  $p(\sigma) = 3n - e(\sigma) - 3$ , so we can express the stat. dist'n as:

$$\pi(\sigma) \propto \lambda^{e(\sigma)} = \lambda^{-p(\sigma)} / Z$$

Let  $S_\alpha =$  configurations with perimeter  $> \alpha p_{min}$   
 $m_k =$  number of configurations with perimeter  $k$ .

$$\pi(S_\alpha) = \sum_{k = \alpha p_{min}}^{p_{max}} m_k \lambda^{-k} / Z$$

The (# configs with perim.  $k$ )  $<$  (# SAWs in the hexagonal lattice), i.e.,



$$\{ \text{SAWs of length } t \} \sim (\mu_{\text{hex}})^t = (2 + \sqrt{2})^{t/2}$$

[Duminil-Copin and Smirnov '12]

# Proof Techniques

**Thm:** For all  $\lambda > 2 + \sqrt{2}$ , there exists  $\alpha = \alpha(\lambda)$  s.t. particles are  $\alpha$ -compressed at stationarity almost surely.

**Pf:** Let  $S_\alpha =$  configurations with perimeter  $> \alpha p_{min}$   
 $m_k =$  number of configurations with perimeter  $k$ .

$$\pi(S_\alpha) = \sum_{k=\alpha p_{min}}^{p_{max}} m_k \lambda^{-k} / Z \lesssim \sum_{k=\alpha p_{min}}^{p_{max}} (2 + \sqrt{2})^{k+5/2} \lambda^{-k} / Z$$

... which is exponentially small for  $\lambda > 2 + \sqrt{2}$ .

**Thm:** When  $\lambda < 2.17$ , for any  $\alpha > 1$ , the probability particles are  $\alpha$ -compressed at stationarity is exponentially small.

Another Peierls argument to show non-compression whp using bijection between configs and hydrocarbons (or "animals" on the hex lattice).

# More Self-Organization in Nature

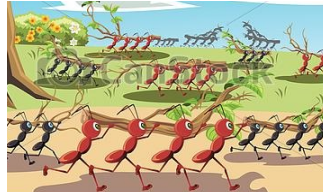
Compression



Bridging



Separation



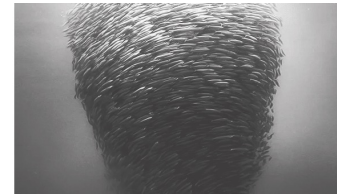
Locomotion



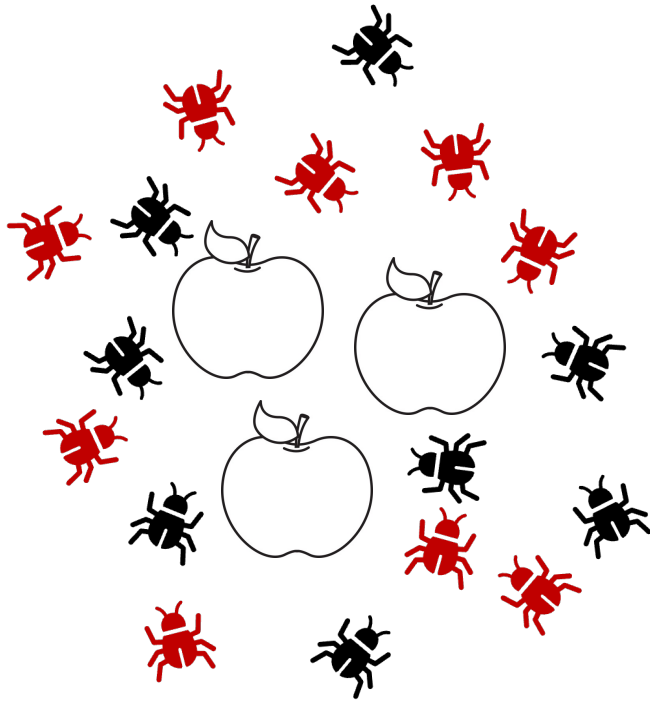
Alignment



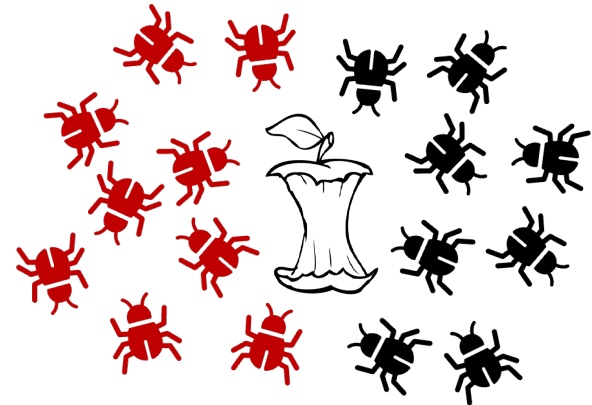
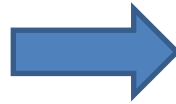
Flocking



# Separation (or Speciation)



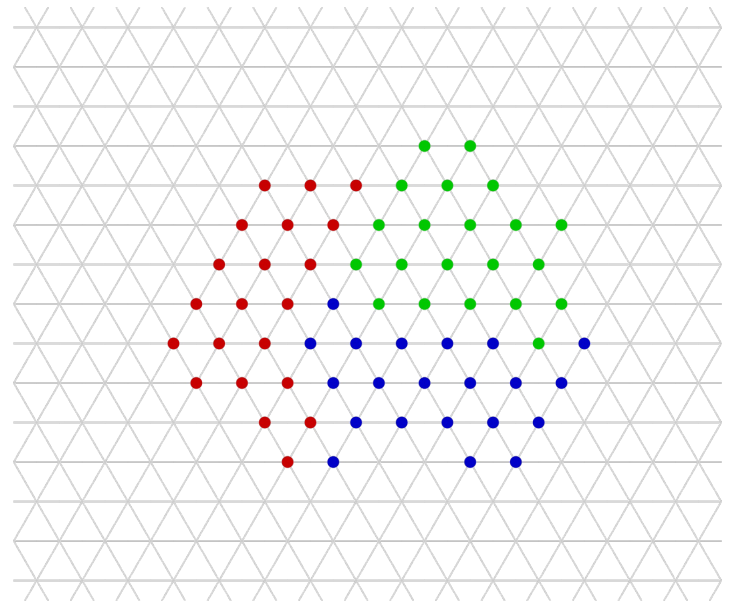
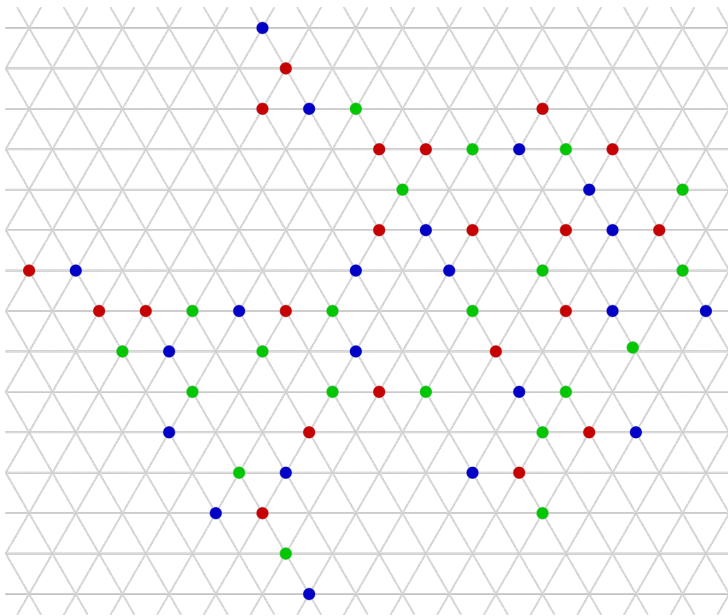
"Integrated"



"Separated"

# Separation

**Question:** Using **local, distributed rules**, how can **heterogeneous** particles “compress” overall while also “separating” into (mostly) monochromatic groups?



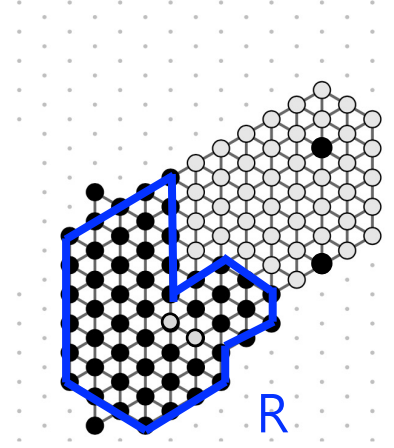
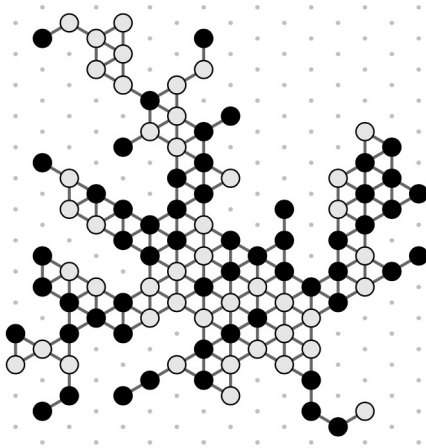
Neither compressed nor separated

compressed and separated

# Definition of Separated

**Defn:** A configuration is  $(\beta, \delta)$ -separated if there is a subset of particles  $R$  s.t.:

1. There are at most  $\beta\sqrt{n}$  particles with exactly one endpoint in  $R$ ;
2. The *density* of particles of color  $c_1$  *inside*  $R$  is at least  $1-\delta$ ;
3. The *density* of particles with color  $c_1$  *outside*  $R$  is at most  $\delta$ .



Compressed and separated



# MC for Separation

Distributed algorithm for separation:

- Ensures global connectivity and is not synchronized.
- Uses Metropolis probabilities to converge to:

$$\pi(\sigma) \propto \lambda^{e(\sigma)} \cdot \gamma^{m(\sigma)},$$

for bias parameters  $\lambda$  (for **compression**) and  $\gamma$  (for **separation**), where  $m(\sigma)$  is the # of monochromatic edges.

Fix  $\lambda$  and  $\gamma$ . Start in any connected configuration.

When a particle activates (according to its Poisson clock):

- Pick a random neighbor.
- Move with probability  $\min \{(\lambda^{\Delta e} \cdot \gamma^{\Delta m}), 1\}$ .
- Otherwise, do nothing.

# Separation for large $\gamma$

Stationary distribution:  $\pi(\sigma) \propto \lambda^{e(\sigma)} \cdot \gamma^{m(\sigma)} = (\lambda\gamma)^{-p(\sigma)} \cdot \gamma^{-h(\sigma)}$ .

**Thm:** When  $\lambda\gamma > 6.83$  and  $\gamma > 5.66$ , there exists  $\alpha$  s.t. the particle system is  $\alpha$ -compressed and separated at stationarity a.s.

Have to account for both *monochromatic / heterogenous* edges!

Proof uses the *cluster expansion* + a *Peierls arg.*

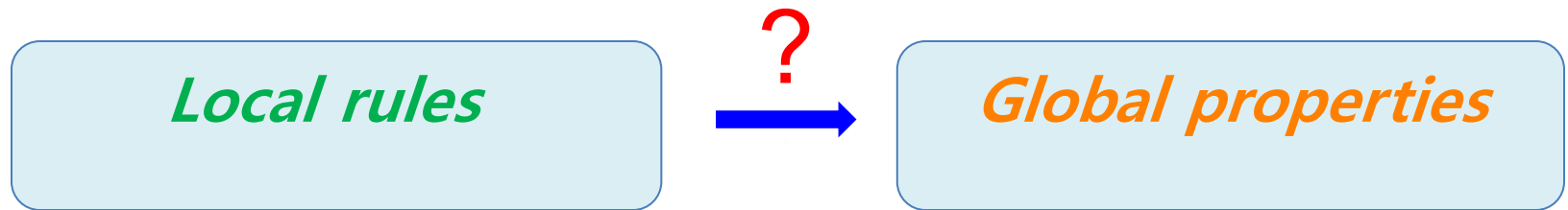
**Thm:** When  $\lambda(\gamma + 1) > 6.83$  and  $0.98 \leq \gamma \leq 1.02$ , there exists  $\alpha(\lambda, \gamma)$  s.t. the particle system will be  $\alpha$ -compressed and integrated (i.e., not separated) at stationarity a.s.

Uses the *high temperature expansion* (to express  $Z$  as a weighted sum even degree subgraphs):

$$\sum_{\sigma \in \Omega_\Lambda} \gamma^{-h(\sigma)} = (\dots) \sum_{\text{even } E \subseteq E(\Lambda)} \left( \frac{\gamma - 1}{\gamma + 1} \right)^{|E|}$$

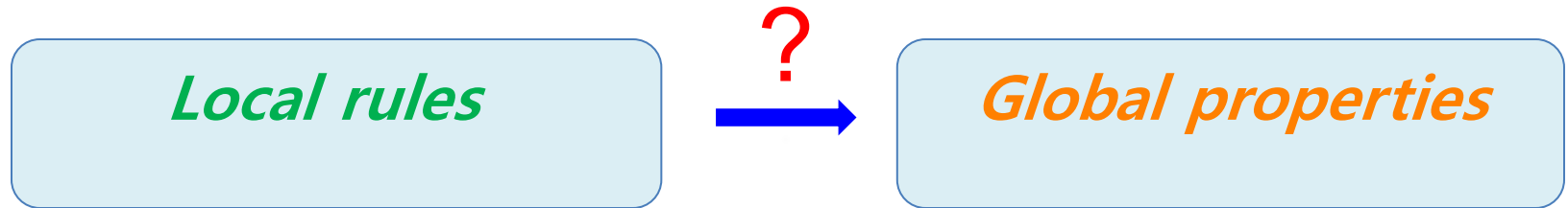
+ a similar strategy with the *cluster expansion* + a *Peierls arg.*

# Strategies for Collective Behaviors



- Carefully choreograph interactions
- Define a useful potential function
- Infer global behavior from a meaningful stationary dist'n

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# Driving Collective Behavior

*Local rules*



*Global properties*

***Boltzmann Distribution***

$$\Pi(\sigma) = e^{-\beta H(\sigma)} / Z$$

# Driving Collective Behavior

*Local rules*



*Global properties*

For a desirable set  $A \subseteq \Omega$ ,

$$\Pi(A) \geq 1 - e^{-cn}$$

*Boltzmann Distribution*

$$\Pi(\sigma) = e^{-\beta H(\sigma)} / Z$$

Peierls  
Argument

# Driving Collective Behavior

**Local rules**

$$P(\sigma, \tau) = \min(1, \Pi(\tau)/\Pi(\sigma))$$



**Global properties**

For a desirable set  $A \subseteq \Omega$ ,

$$\Pi(A) \geq 1 - e^{-cn}$$

Metropolis-Hastings  
Algorithm

**Boltzmann Distribution**

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# Driving Collective Behavior

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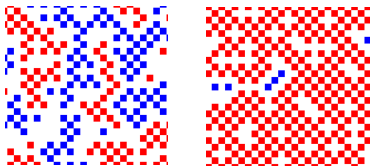
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Argument

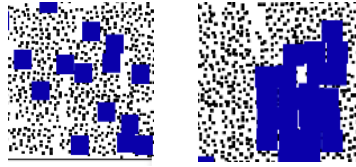
*Boltzmann Distribution*

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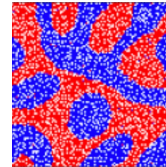
Particle/spin systems



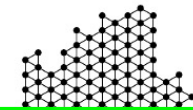
Colloids



Schelling Segregation



Collective Behavior



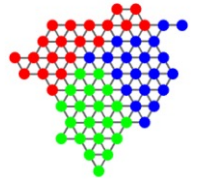
Local rules  $\rightarrow$  Emergent behavior

Emergent behavior  
 $\rightarrow$  Local rules

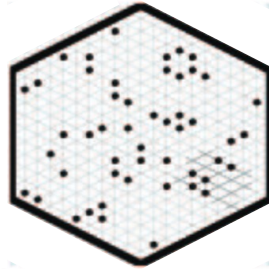


# Stochastic Distributed Algorithms for Collectives

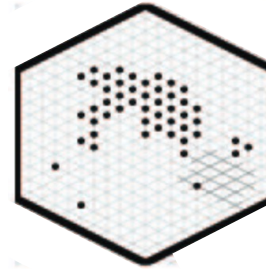
- Separation / Integration [Cannon, Daymude, Gokmen, R., Richa, '18]
- Aggregation / Dispersion [Li, Dutta, Cannon, Daymude, Avinery, Aydin, Richa, Goldman, R. '21]



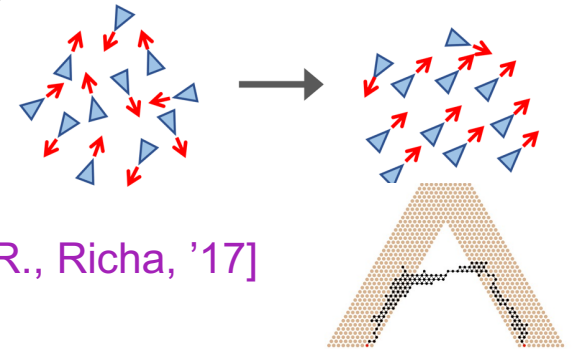
Dispersion



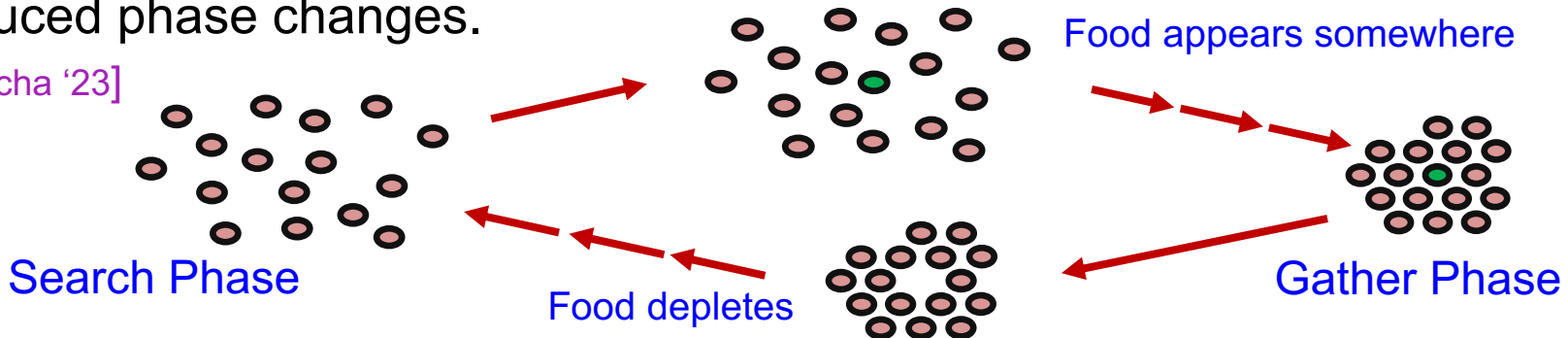
Aggregation



- Alignment [Kedia, Oh, R. '22]
- Shortcut bridging [Arroyo, Cannon, Daymude, R., Richa, '17]
- Phototactic locomotion [Savoie, Cannon, Daymude, Warkentin, Li, Richa, R., Goldman '18]

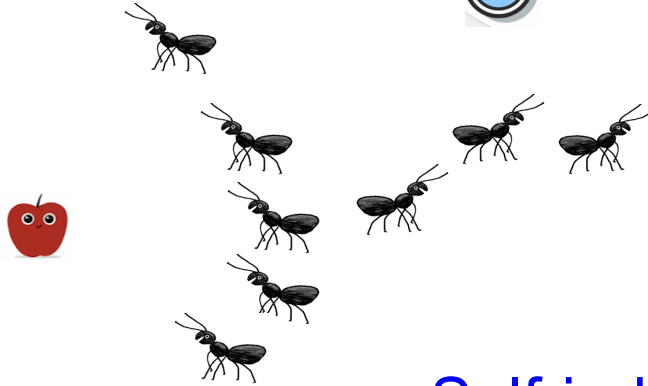
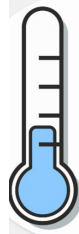


- Self-induced phase changes. [Oh, R. Richa '23]

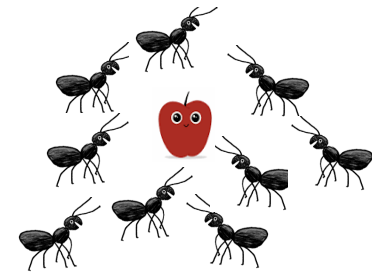
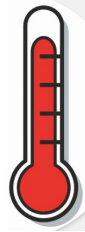


# Foraging

$\lambda < 2.17$

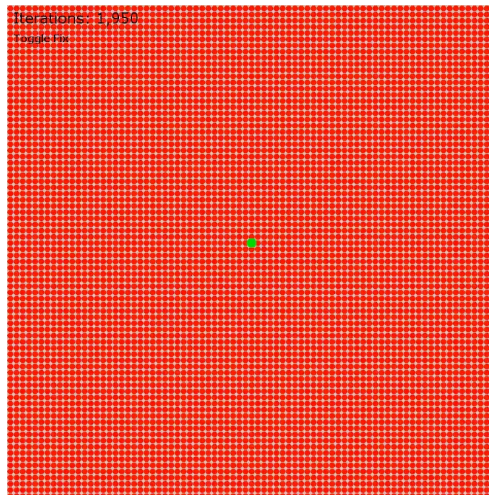


$\lambda > 3.4$



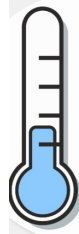
Self-induced phase changes?

**Green** = food  
**Red** = compression  
**Yellow** = dispersion  
**Purple** = dispersion

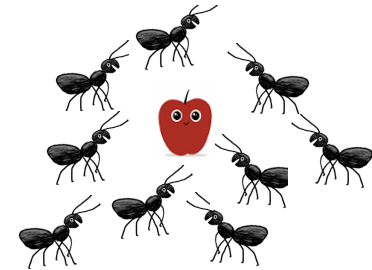
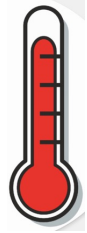


# Foraging

$$\lambda < 2.17$$



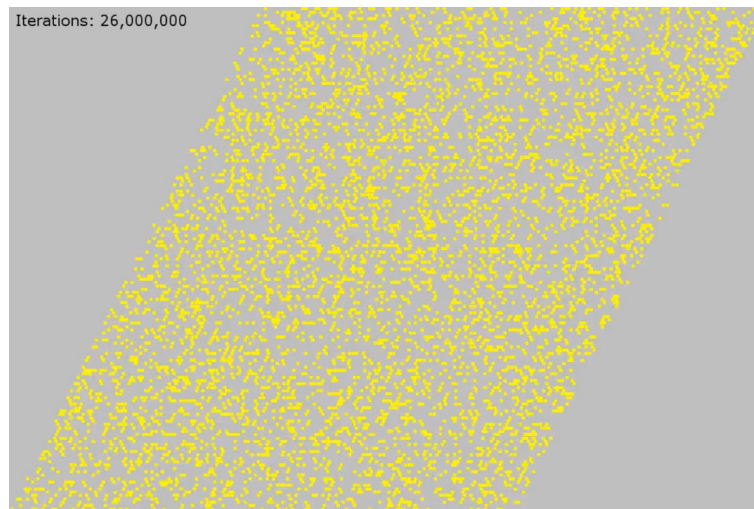
$$\lambda > 3.4$$



## Self-induced phase changes

[Oh, R., Richa, 23]

Use careful message passing.



# The real world is not reversible!

*Nonreversible  
Local rules*



*Global properties*

~~*Boltzmann Distribution*~~

$$\Pi(\sigma) = e^{-\beta E(\sigma)/Z}$$

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HOME > SCIENCE > VOL. 371, NO. 6524 > LOW RATTLING: A PREDICTIVE PRINCIPLE FOR SELF-ORGANIZATION IN ACTIVE COLLECTIVES

🔒 | **REPORT** f 🐦 in 🎵 🗨️ ✉️

## Low rattling: A predictive principle for self-organization in active collectives

[PAVEL CHVYKOV](#) <sup>id</sup>, [THOMAS A. BERRUETA](#) <sup>id</sup>, [AKASH VARDHAN](#) <sup>id</sup>, [WILLIAM SAVOIE](#) <sup>id</sup>, [ALEXANDER SAMLAND](#) <sup>id</sup>, [TODD D. MURPHEY](#) <sup>id</sup>, [KURT WIESENFELD](#) <sup>id</sup>,  
[DANIEL I. GOLDMAN](#) <sup>id</sup>, AND [JEREMY L. ENGLAND](#) <sup>id</sup> [Authors Info & Affiliations](#)

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# Real Systems of Collective Models

[Calvert, R. '24]

*Nonreversible  
Local rules*



*Global properties*

## *New "Boltzmann-like" Distributions*

(for continuous time Markov Chains)

**Local** part: exit rates



$$q_i = \sum_j q_{ij}$$

**Nonlocal** part: "jump chain"



$$p_{ij} = \frac{q_{ij}}{q_i}$$

;

$\Psi_i$

Stationary dist

Note:

$$\pi_i = \frac{\Psi_i}{q_i} / Z.$$

# The real world is not reversible!

[Calvert, R. '24]

*Nonreversible  
Local rules*



*Global properties*

*Rattling Distribution*

$$\Pi(\sigma) = e^{-\gamma R(\sigma)} / Z$$

$$r^2 = \frac{\text{Var}(\log \psi_I)}{\text{Var}(\log q_I)} \quad \text{and} \quad \tilde{\rho} = \text{Corr}(\log \psi_I, -\log q_I).$$

The correlation  $\rho$  of  $\log \pi_I$  and  $-\log q_I$  satisfies

$$\rho = \frac{1 + \tilde{\rho}r}{\sqrt{1 + 2\tilde{\rho}r + r^2}}.$$

The best linear approximation of  $\log \pi_I$  by  $-\log q_I$  has an MSE of  $(1 - \tilde{\rho}^2) \text{Var}(\log \psi_I)$  and a slope of  $\gamma = 1 + \tilde{\rho}r$ .

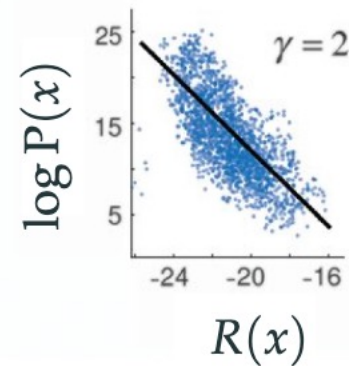
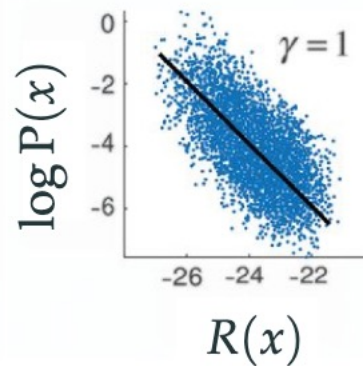
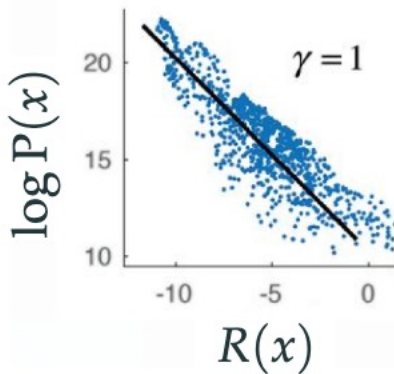
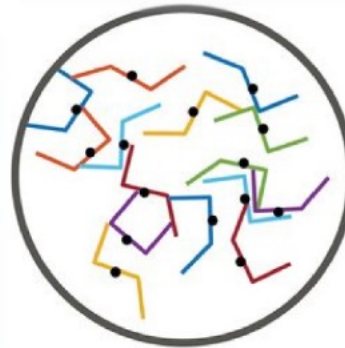
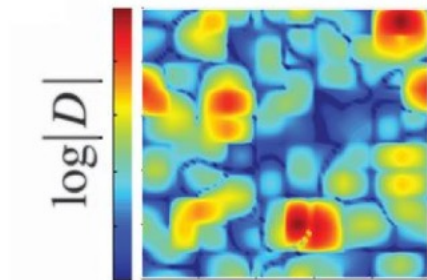
# The real world is not reversible!

[Calvert, R. '24]

*Nonreversible  
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*Global properties*



(Adapted from Chvykov et al.)

# Takeaways



- Equilibrium systems are so nice, it's magic!
- ...But many nonequilibrium systems also have rich structures connecting local features to global behaviors.
- Emergent properties of collectives can be useful design tools.
- Collectives define a rich class of "stat. phys."-type problems based on stochastic, distributed algorithms.



**Thank you !**



*Questions?*